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The Arc High Street Clowne S43 4JY

To: Chair & Members of the Executive

Monday, 27th October 2025

Contact: Alison Bluff Senior Governance Officer Telephone: 01246 242528

Email: alison.bluff@bolsover.gov.uk

Dear Councillor,

#### **EXTRAORDINARY EXECUTIVE**

You are hereby summoned to attend an extraordinary meeting of the Executive of the Bolsover District Council to be held in the Council Chamber, The Arc, Clowne on Wednesday, 5th November, 2025 at 11:00 hours or at the rising of Extraordinary Council.

Register of Members' Interests - Members are reminded that a Member must within 28 days of becoming aware of any changes to their Disclosable Pecuniary Interests provide written notification to the Authority's Monitoring Officer.

Executive Members are asked to bring the hard copy of their Extraordinary Council papers with them.

You will find the contents of the agenda itemised on page 3.

Yours faithfully,

Solicitor to the Council & Monitoring Officer

J. S. Fieldrend



#### **Equalities Statement**

Bolsover District Council is committed to equalities as an employer and when delivering the services it provides to all sections of the community.

The Council believes that no person should be treated unfairly and is committed to eliminating all forms of discrimination, advancing equality and fostering good relations between all groups in society.

#### **Access for All statement**

You can request this document or information in another format such as large print or **language** or contact us by:

• Phone: <u>01246 242424</u>

• Email: enquiries@bolsover.gov.uk

- **BSL Video Call:** A three-way video call with us and a BSL interpreter. It is free to call Bolsover District Council with Sign Solutions, you just need Wi-Fi or mobile data to make the video call, or call into one of our Contact Centres.
- Call with <u>Relay UK</u> a free phone service provided by BT for anyone who
  has difficulty hearing or speaking. It's a way to have a real-time conversation
  with us by text.
- Visiting one of our <u>offices</u> at Clowne, Bolsover, Shirebrook and South Normanton

## EXTRAORDINARY EXECUTIVE AGENDA

Wednesday, 5th November, 2025 at 11:00 hours or at the rising of Extraordinary Council taking place in the Council Chamber, The Arc, Clowne

Item No. Page No.(s)

- 1. Apologies For Absence
- 2. Declarations of Interest

Members should declare the existence and nature of any Disclosable Pecuniary Interest and Non Statutory Interest as defined by the Members' Code of Conduct in respect of:

- a) any business on the agenda
- b) any urgent additional items to be considered

#### **KEY DECISIONS**

3. Local Government Reorganisation Proposal

4 - 333



#### **BOLSOVER DISTRICT COUNCIL**

#### **MEETING OF THE EXECUTIVE ON 05 NOVEMBER 2025**

#### **LOCAL GOVERNMENT REORGANISATION PROPOSAL**

#### REPORT OF THE LEADER

Classification	This report is Public
Report by	Karen Hanson - Chief Executive

#### **PURPOSE/SUMMARY OF REPORT**

- 1. Following the publication of the English Devolution White Paper on 16 December 2024, all councils in Derbyshire have been invited to submit a proposal for local government reorganisation (LGR). This report summarises the Case for Change for Derbyshire which has been developed in collaboration by all eight borough and district councils and Derby City Council and is due to be submitted to Government on 28 November 2025 subject to Executive approval.
- 2. The Case for Change (Appendix A [within Appendix 1]) makes the case for two unitary councils on a North/South geography, underpinned by a robust options appraisal and thorough financial analysis.

#### **REPORT DETAILS**

#### 1. <u>Background</u>

- 1.1 Following the publication of the English Devolution White Paper on 16 December 2024, all councils in Derbyshire have been invited to submit a proposal for local government reorganisation (LGR).
- 1.2 On the 05 November 2025 the Council will receive a report from the Chief Executive and Leader setting out the Case for Change for Derbyshire. This report (attached at Appendix 1) summarises the Case for Change which has been developed in collaboration by all eight borough and district councils and Derby City Council and is due to be submitted to Government on or before 28 November 2025.

#### 2. Local Government Reorganisation Submission

2.1 Following the Council meeting, and any subsequent recommendations made by Council, Members of the Executive are requested to consider the Case for

Change for Derbyshire as presented and consider which of Options A, A1, B or B1 of the Case for Change to formally endorse as part of the submission to Government on or before 28 November 2025.

- 2.2 The Case for Change puts forward an evidence-based case for the most effective local government reorganisation to meet the Government's criteria. This is based upon the formation of a northern unitary authority and a southern unitary authority that splits the geographic boundary of Derbyshire.
- 2.3 Building upon the interim proposal, our Case for Change is designed to meet the needs of local communities; we are proud to be one Derbyshire but with northern and southern areas that have distinct features, challenges, and opportunities. By establishing two unitary councils we will combine the scale needed to deliver effective and efficient public services and reducing complexity while avoiding a 'one size fits all' model of local government.
- 2.4 The northern and southern unitary model is organised on sensible geographies that enable housing markets to address local housing needs and enable place and community-based solutions for critical issues such as homelessness, social care, and education. Functional economic geographics are reflected to drive inclusive economic growth with huge opportunities around tourism, minerals and extraction, railways, advanced manufacturing, aerospace, and clean energy. Engagement during proposal development highlighted real opportunities to build deeper connections with local businesses and support their ambitions for growth on a regional, national, and international stage.
- 2.5 Four possible options have been identified to shape the two new councils which are in accordance with Government criteria. The two options identified within the interim plan submitted to Government in March 2025 were based on whole district building blocks. Option A included Amber Valley in the northern unitary council and Option B included Amber Valley in the southern unitary council. The third Option (A1), which also formed part of the interim plan submission, proposed to split the district of Amber Valley using parish council boundaries between the northern and southern unitary councils. A further variance of the Amber Valley split has also been developed as Option B1.
- 2.6 Based upon the detailed analysis set out in the Case for Change and the accompanying Council report (Appendix 1), attention of the Executive Members is drawn to the Options Analysis at Section 4.0 and Options Appendix 3 of the Case for Change.
- 2.7 For Bolsover District Council, the proposed Case for Change will see our district geography join the new northern unitary authority of Derbyshire. A two unitary Council structure would best meet the Government's criteria and provide the most effective solution for local government reorganisation in Derbyshire.
- 2.8 Through careful consideration of the Options Analysis and Appendices a unitary council split, established from base Option A but based upon the proposed boundary split of Amber Valley by parish council boundaries set out in Option A1 is the recommended option for Bolsover.

- 2.9 Option A1 continues to demonstrate financial sustainability and delivery of strong public services however it is a better fit to the Government's criteria because it builds on the strengths of the base proposal Option A, whilst enhancing it further. The key benefits of this modification include:
  - The southern Unitary having less geographic constraint, with Derby City able to grow in all directions, particularly the north-western border.
  - A better overall balance of population.
  - An almost equal level of GVA (gross value added).
  - A more balanced Council tax base.
  - More balanced 65+ populations.
- 2.10 Along with the important metrics detailed above, Option A1 also recognises the experience of the people of Amber Valley, taking cognisance of community ties, their functionality, social connection, and integration. This option demonstrates a commitment to reflecting actual social and geographical realities, rather than relying solely on administrative convenience. Areas in southern Amber Valley share stronger cultural, economic, and infrastructural links with what would become a southern unitary council. This modification helps to ensure that communities remain connected to the areas they naturally gravitate toward, preserving a sense of belonging and shared purpose into the future.

#### 3. Reasons for Recommendation

3.1 In line with the Government's criteria, modification to Option A to Option A1 represents the best balance of a council large enough to deliver high quality services and value for money, but small enough to be connected to the place and the needs of the people the council serves. The Council would request the Secretary of State to make a modification to Option A involving the proposed boundary changes as shown in Option A1 in the proposal, using the modification powers under the Local Government and Public Involvement in Health Act 2007, as this represents a stronger case for change.

#### 4. Alternative Options and Reasons for Rejection

- 4.1 Do nothing has not been considered, as the Government have issued a statutory invitation for all councils affected.
- 4.2 All other options outlined within the Case for Change Options Analysis (Options A, B and B1) have been considered in detail, however Option A1 is considered to be the most beneficial option for the residents and businesses of Bolsover, for the reasons set out within this report.

#### RECOMMENDATION(S)

#### That Executive:

1. Approve the submission of the Case for Change for Local Government Reorganisation in Derbyshire to Government and endorse formal support for Option A1.

2. Approve delegated authority to the Chief Executive in consultation with the Leader to make any minor amendments to the Case for Change for Local Government Reorganisation in Derbyshire and associated appendices, prior to its submission to Government on or before the 28th of November 2025.

Approved by Councillor Jane Yates, Leader of the Council

#### **IMPLICATIONS**:

#### <u>Finance and Risk</u> Yes⊠ No □ Details:

Like all local authorities, we continue to operate in a challenging financial environment, with budget pressures and future funding uncertainty. Despite coming from a position of strong financial resilience, we are not immune to the impact of increasing demand and costs of service delivery and therefore must ensure we continue to place significant importance on financial management, to protect service delivery and achieve a balanced budget position each year for the life of this council.

Following the submission of the Interim Plan in March 2025, extensive work has been undertaken to refine the LGR financial appraisal. The Section 151 Officers across Derbyshire have worked collaboratively to ensure base data used for modelling is as robust and credible as possible.

Given the relatively short time scale available to produce the submission, assumptions used in the KPMG financial model have been tested as far as possible using local knowledge to refine as appropriate. All financial models of this scale have their drawbacks and can never be 100% accurate as they are too reliant on assumptions to be so. The important thing is to understand the limitations of the model and make the assumptions as credible as possible.

Full details of the financial case can be found in Appendix A (Section 5 Criteria 2 of the Case for Change) where it sets out in detail the base data used for modelling, along with the modelling assumptions applied and financial risks.

#### **Breakeven, Savings and Implementation Costs**

The annual savings and implementation costs modelled are presented globally in the Case for Change as they are largely constant across all options.

The financial analysis projects an <u>annual</u> savings potential after 6 years of £44m, equivalent to 3% of the £1.4bn budget of all Derbyshire councils. The gradual build-up of the realisation of savings, beginning with £4.4m in year 1 before peaking at £44m in year 6, supports the model's financial viability over the payback period.

One-off costs of £65.4m are required to implement the reorganisation, these costs are essential to unlock recurring efficiencies in the future. The investment is proportionate and supports a positive return on investment over the planning period.

A breakeven analysis for each option has been produced showing when cumulative savings will outweigh the one-off implementation costs. All four options being considered have a payback between 3.55 – 3.58 years.

#### Financial Sustainability

To demonstrate that the new unitaries are of the right size to achieve efficiencies, improve capacity and be better positioned to withstand financial shocks their future financial sustainability has been modelled. The metrics used to test this are:

- 1. A Medium-Term Financial Plan (MTFP) modelled for the new councils
- 2. Reserves availability
- 3. Future Funding, including a high-level indicative analysis of the assumed impact of the Fair Funding Review 2.0
- 4. Balance Sheet Health

The existing consolidated forecast budget gap across Derbyshire highlights significant financial pressures. In 2025/26, the combined budget gap exceeds £41 million, indicating the scale of the challenge.

LGR presents funding opportunities to close the budget gap. Council Tax Harmonisation presents such an opportunity as council tax is lifted to create parity at each new council. The importance of this additional revenue stream on future financial sustainability is demonstrated in the table found at Appendix A (Section 5 Criteria 2 - Pg 57 in the Case for Change). This has been modelled using the assumption that maximises income generation, harmonising to the highest rate as quickly as possible, within referendum limits.

The route to council tax harmonising will be a decision for the new councils. Opting for harmonisation that generates a lower income yield than modelled will create a greater risk to the future financial sustainability of the new Councils, putting additional pressure on service delivery. This has been considered in the financial risks (see below).

Overall, modelling outcomes show that the trajectory is healthy, with a balanced position forecast from year three for all options. The early years are marked by substantial deficits before savings from reorganisation and transformation are fully realised. This places pressure on financial planning and necessitates careful financial management. The financial outlook shows a steady improvement over time, reflecting the long-term benefits of reorganisation, harmonisation efforts including council tax, and funding reforms. Balance sheet health metrics modelled indicate that the new Derbyshire unitaries will be able to manage debt locally.

Financial risks have been fully considered when producing the submission and full details of the financial risks along with "asks" of the government to help mitigate these risks can be found in Appendix A (Section 5 Criteria 2 - Pg 60 - 62 of the Case for Change).

One such risk is availability of reserves to meet ongoing budget pressures and implementation costs associated with LGR. Using current MTFP's, it is estimated that at 31st March 2028, there will be £90m of available reserves across Derbyshire which can be used to fund the implementation costs and help the new councils to withstand future financial shocks. However, unanticipated funding and/or expenditure pressures

could adversely affect this position before the new councils are created in 2028.A future decision will be required on how the available reserves are released from each
legacy council and utilised.
On babalt of the Section 151 Officer
On behalf of the Section 151 Officer
Legal (including Data Protection) Yes⊠ No □
<b>Details:</b> The process for the preparation of proposals and their consideration by the Secretary of State are contained in sections 2, 7 and 11 of the Local Government and Public Involvement in Health Act 2007.
Section 2 sets out the 4 types of proposal that can be proposed. There are, as a result of the way this section operates, more than 4 types of proposal involving Counties, Districts and "relevant adjacent areas".
The proposal in this report is the fourth option – a combined proposal.
Although each proposal is to be based on Districts as building blocks, the Secretary of State can depart from these 4 types. Further in the Guidance the Secretary of State has also expressly invited proposals that suggest boundary change.
Under section 7 the Secretary of State may:  a. By Order implement the proposal with or without modification  b. Implement the Local Government Boundary Commission's alternative proposal under section 5 if there is one  c. Decide to take no action
There is further power under section 11 for the Secretary of State to implement something which could not itself have been so specified but this must be done in accordance with the requirements of Section 2 of the Act.
The Council has submitted an Interim Plan for Local Government reorganisation and feedback has been provided on this from MHCLG. A full proposal is required to be submitted by 28 November 2025.
The proposal is provided at Appendix A and the approval of this proposal is an executive function in accordance with the Local Government Act 2000 Section 9D(2) Executive will therefore be required to make a final decision on approving this proposal taking into consideration the views of Council.
Following submission of the proposal, the Secretary of State may implement the proposal, with or without modification, or decide to take no action. The Secretary of State may not make an order implementing a proposal unless he consults every authority affected by the proposal (except the authority or authorities which made it), and such other persons as he considers appropriate.
On behalf of the Solicitor to the Council

No □

Yes⊠

Staffing Details:

Central to the work ahead is the desire for minimal impact on our staff. Through the implementation of this programme, it will be update staff so they are brought along in the process and understainplications these organisational changes may have for them.	vital to en	gage and
A communications and engagement plan will be developed to ensconsistency around communication and engagement opportunities trade unions.		
The council will ensure adherence to all appropriate policies relatir	ng to orgar	nisational
change. On behalf of the H	lead of Pa	id Service
Environment Yes□ No ⊠ N/A		
DECISION INFORMATION:		
Please indicate which threshold applies:		
Is the decision a Key Decision?  A Key Decision is an Executive decision which has a significant impact on two or more wards in the District, or which results in income or expenditure to the Council above the following thresholds:	Yes⊠	No □
Revenue (a) Results in the Council making Revenue Savings of £75,000 or more or (b) Results in the Council incurring Revenue Expenditure of £75,000 or more.  Capital (a) Results in the Council making Capital Income of £150,000 or more or (b) Results in the Council incurring Capital Expenditure of £150,000 or more.		
District Wards Significantly Affected:	AII 🗵	
Is the decision subject to Call-In?	Yes□ Item ex from ca	•
Consultation carried out:  Leader ☑ Deputy Leader ☑ Executive ☑ SLT ☐  Relevant Service Manager ☐ Members ☐ Public ☐  Other ☐	Yes⊠	No □
Links to Council Ambition: Customers, Economy, Environment	nt Housir	na -
	it, nousii	ıg
All.		
DOCUMENT INFORMATION:		
Appendix No		

1 05 November 2025 Council Report (inc. all associated appendices)

#### Background Papers

(These are unpublished works which have been relied on to a material extent when preparing the report. They must be listed in the section below. If the report is going to Executive, you must provide copies of the background papers).



#### **BOLSOVER DISTRICT COUNCIL**

#### **MEETING OF THE COUNCIL ON 05 NOVEMBER 2025**

#### **LOCAL GOVERNMENT REORGANISATION PROPOSAL**

#### REPORT OF THE LEADER

Classification	This report is Public
Report by	Karen Hanson - Chief Executive

#### **PURPOSE/SUMMARY OF REPORT**

- 1. Following the publication of the English Devolution White Paper on 16 December 2024, all councils in Derbyshire have been invited to submit a proposal for Local Government Reorganisation (LGR). This report summarises the Case for Change for Derbyshire which has been developed in collaboration by all eight district and borough councils and Derby City Council and is due to be submitted to the Government on 28 November 2025 subject to Executive approval.
- 2. The Case for Change (Appendix A) makes the case for two unitary councils on a North/South geography, underpinned by a robust options appraisal and thorough financial analysis.

#### **REPORT DETAILS**

#### 1. <u>Background</u>

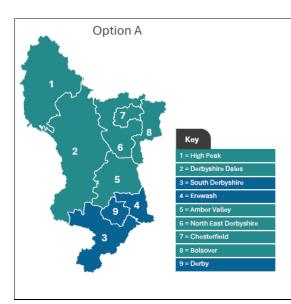
- 1.1 On 16 December 2024 the Government released the English Devolution White Paper, setting out ambitions for deepening and widening devolution across England. The paper also signalled the start of a programme of LGR to simplify and streamline local government.
- 1.2 On 5 February 2025 the Minister wrote to the Leaders of all Derbyshire councils inviting them to submit proposals for a single tier of local government. Subsequently, the Derbyshire District and Borough Council's Interim Plan was submitted to Government on 21 March 2025. The Government provided feedback on the Interim Proposal which is included in Appendix C. This feedback has been used to inform the development of the full proposal.

#### 2. Local Government Reorganisation Submission

- 2.1 This report introduces the Case for Change for LGR in Derbyshire (Appendix A). The Case for Change puts forward an evidence-based case for the most effective local government reorganisation to meet the Government's criteria.
- 2.2 Our core objectives were defined having regard to Derbyshire's local context, needs and aspirations and then aligned to the Government's criteria set for LGR. This resulted in a long list of 15 options for potential local government reorganisation in Derbyshire. This initial longlist was evaluated against the following criteria:
  - 1. Establishing a single tier of local government for the whole of Derbyshire including Derby City.
  - 2. Unitary authorities that are the right size to achieve efficiencies, improve capacity and withstand financial shocks.
  - 3. Unitary authorities that prioritise the delivery of high quality and sustainable public services to citizens.
  - Working together to develop a proposal that meets local needs and is informed by local views.
  - 5. A structure that supports devolution arrangements.
  - 6. Enabling stronger community engagement and delivering genuine opportunity for neighbourhood empowerment.
- 2.3 We also carried out baseline data reviews of financial positions and service performance alongside key population and demographic data. This initial assessment led to the emergence of a shortlist of two options, both of which had two unitary authorities, one with Amber Valley in the North and one with Amber Valley in the South. This formed the basis for the interim proposal submitted in March 2025.
- 2.4 Building upon the interim proposal, our Case for Change is designed to meet the needs of local communities; we are proud to be one Derbyshire but with northern and southern areas that have distinct features, challenges, and opportunities. By establishing two unitary councils we will combine the scale needed to deliver effective and efficient public services and reducing complexity while avoiding a 'one size fits all' model of local government.
- 2.5 The northern and southern unitary model is organised on sensible geographies that enable housing markets to address local housing needs and enable place and community-based solutions for critical issues such as homelessness, social care, and education. Functional economic geographics are reflected to drive inclusive economic growth with huge opportunities around tourism, minerals and extraction, railways, advanced manufacturing, aerospace, and clean energy. Engagement during proposal development highlighted real opportunities to build deeper connections with local businesses and support their ambitions for growth on a regional, national, and international stage.
- 2.6 We have combined independent expert analysis and extensive stakeholder engagement to enable a broad evidence-based evaluation of options. The development of the proposal was guided by a clear understanding of both the government's criteria and Derbyshire's unique opportunities and challenges. In addition, a range of sub-criteria and metrics were used to enhance the evaluation model and scored against the sub-criteria detailed in the proposal. The

North/South geography showed strong results in qualitative, quantitative and financial analysis and is our preferred option.

- 2.7 This structured approach to evaluation based on research and sector learning, data and insights, independent analysis, engagement, and collaboration have helped us to develop a vision and proposal for local government reorganisation in Derbyshire: One Derbyshire, two councils.
- 2.8 Four possible options have been identified to shape the two new councils which are in accordance with Government criteria. The two options identified within the interim plan submitted to Government in March 2025 were based on whole district building blocks. Option A included Amber Valley in the northern unitary council and Option B included Amber Valley in the southern unitary council.
- 2.9 Subsequently during evidence review for the Case for Change, two further variations have emerged which would require a Modification Order as they involve a division of parishes within Amber Valley between the northern and southern Councils. Option A1 was consulted on as part of the public consultation alongside Option A and B. Option B1 has been developed following public consultation. All four options have been appraised comprehensively as part of the Case for Change.



#### **Key statistics**

Unitary Council 1: Amber Valley, Derbyshire Dales, High Peak, Bolsover, Chesterfield, North East Derbyshire

Population: 584,000

Area (sq. km): 2,103

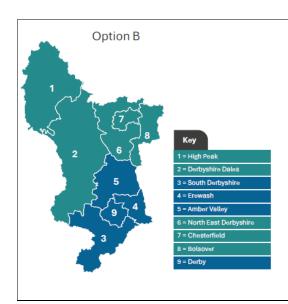
Council Tax Base: 194,804

Unitary Council 2: Derby City, South Derbyshire, Erewash

Population:494,000

Area (sq. km): 526

Council Tax Base: 147, 434



#### Key statistics

Unitary Council 1: High Peak, Derbyshire Dales, North East Derbyshire, Chesterfield, Bolsover

Population: 456,000

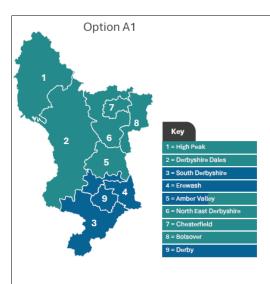
Area (sq. km): 1,838

Council Tax Base: 152,247

Unitary Council 2: South Derbyshire, Erewash, Amber Valley, Derby City

Population: 622,000Area (sq. km): 791

Council Tax Base: 189,991



#### **Key statistics**

Unitary Council 1: High Peak, Derbyshire Dales, North East Derbyshire, Bolsover, Chesterfield, part of Amber Valley

Population: 567,000

Area (sq. km): 2,068

Council Tax Base: 187,572

Unitary Council 2: Derby City, Erewash, South Derbyshire, part of Amber Valley

Population: 511,000

Area (sq. km): 560

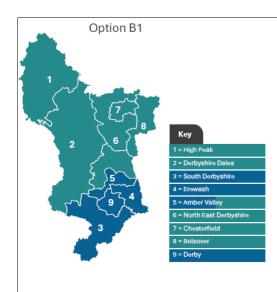
Council Tax Base: 154,666

#### Parishes in the North

Aldercar and Langley Mill, Alderwasley, Alfreton, Ashleyhay, Belper, Codnor, Crich, Denby, Dethick, Lea and Holloway, Hazelwood, Heanor and Loscoe, Idridgehay and Alton, Ironville, Kilburn, Pentrich, Ripley, Shipley, Shottle and Postern, Somercotes, South Wingfield, Swanwick.

#### Parishes in the South

Duffield, Holbrook, Horsley, Horsley Woodhouse, Kedleston, Kirk Langley, Mackworth, Mapperley, Quarndon, Ravensdale Park, Smalley, Turnditch, Weston Underwood, Windley.



#### **Key statistics**

Unitary Council 1: High Peak, Derbyshire Dales, North East Derbyshire, Bolsover, Chesterfield, part of Amber Valley\*

Population: 539,000

Area (sq. km): 2,012

Council Tax Base: 180,133

Unitary Council 2: Derby City, Erewash, South Derbyshire, part of Amber Valley\*

Population: 538,000

Area (sq. km): 617

Council Tax Base: 162,105

#### Parishes in the North:

Aldercar and Langley Mill, Alderwasley, Alfreton, Ashleyhay, Codnor, Crich, Dethick, Lea and Holloway, Hazelwood, Heanor and Loscoe, Idridgehay and Alton, Ironville, Mapperley, Pentrich, Ravensdale Park, Ripley, Shottle and Postern, Somercotes, South Wingfield, Swanwick, Turnditch, Weston Underwood, Windley.

#### Parishes in the South:

Belper, Denby, Duffield, Holbrook, Horsley, Horsley Woodhouse, Kedleston, Kilburn, Kirk Langley, Mackworth, Quarndon, Shipley, Smalley.

#### **Democracy**

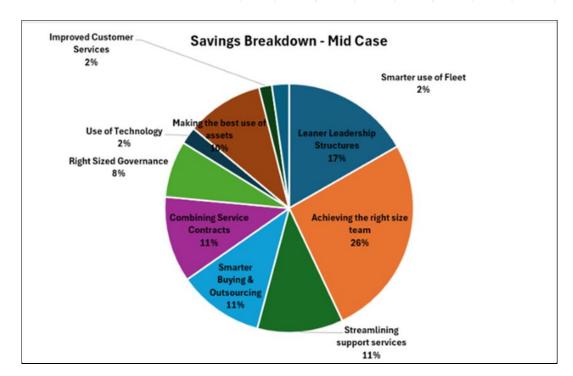
2.10 To enable strong democratic representation and close ties to the community for North and South of Derbyshire, the proposal suggests a councillor to elector ratio of between 5,200 and 5,500 (based on 2029 electoral estimates). This strikes a balance between efficiency in local governance while retaining local accountability and strong local advocacy. Interim council size and ward arrangements have been developed in line with Local Government Boundary Commission guidance and locally important governance issues. We also considered local demographic information, existing councillor workloads. This would lead to 162 councillors across the two unitary councils.

#### Financial Sustainability

- 2.11 Derbyshire's LGR will be taking place in the context of significant financial challenges. Service delivery costs are increasing due to rising demands in key areas including adult social care, children's services and homelessness and the impact of the Fair Funding Review is still uncertain. Our proposal for creating two similarly sized unitary councils is designed to harness efficiencies, bolster capacity, and ensure financial resilience across Derbyshire. By streamlining administrative processes and reducing duplication across councils, efficiencies will be realised that enhance both cost-effectiveness and service delivery.
- 2.12 The financial case is supported by robust evidence, detailed modelling, and collaborative validation, projecting substantial savings and manageable implementation costs. The comprehensive financial sustainability analysis evaluates long-term financial resilience, efficiency gains, and value for money, using structured methodology aligned with Government criteria.

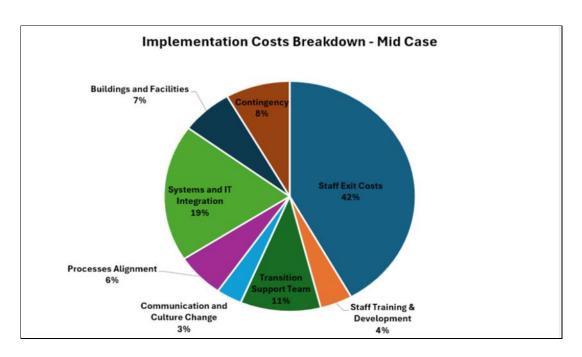
2.13 The annual savings modelled as shown below are projected to reach £44 million by year six. Savings ramp up gradually starting at £4.4 million in year one.

	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
	Base Year	Year -1	Shadow Year	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6
Saving Name	2025/26	2026/27	2027/28	2028/29	2029/30	2030/31	2031/32	2032/33	2033/34
Leaner Leadership Structures	-	-	-	-	737	2,946	5,893	7,366	7,366
Achieving the Right Team Size	-		-	-	2,308	5,770	8,078	10,386	11,540
Streamlining Support Services	-	-	-	-	982	2,455	3,438	4,420	4,911
Smarter Buying and Outsourcing	-			982	2,946	4,420	4,911	4,911	4,911
Combining Service Contracts	-	-	-	491	1,964	3,929	4,911	4,911	4,911
Right Sized Governance	-	-	-	2,590	3,238	3,238	3,238	3,238	3,238
Use of Technology	-	-	-	147	491	982	982	982	982
Making the Best Use of Assets	-	-	-	-	884	1,768	2,652	3,536	4,420
Improving Customer Services	-	-	-	110	368	737	737	737	737
Smarter Use of Fleet	-	-	-	98	393	688	884	982	982
Further Service Transformation	-	-	-	-	-	-	-	-	-
Total	-	-	-	4,419	14,311	26,932	35,722	41,468	43,997



2.14 The one-off implementation costs modelled are estimated at £65.4 million and phased over 2026/27 to 2030/31.

Mid Costs - Implementation	Base Year	Year -1	Shadow Year	Year 1	Year 2	Year 3	Total
Category	2025/26	2026/27	2027/28	2028/29	2029/30	2030/31	
Staff Exit Costs	-	-	2,613	7,840	10,453	5,226	26,132
Staff Training & Development	-	-	1,291	1,291	-	-	2,582
Transition Support Team	-	-	2,582	1,291	1,291	1,291	6,456
Communication and Culture Change	-	-	1,033	1,033	-	-	2,066
Processes Alignment	-	1,356	775	1,356	387	-	3,873
Systems and IT Integration	-	-	4,800	3,600	3,600	-	12,000
Buildings and Facilities	-	-	-	620	1,446	2,066	4,132
Contingency	-	516	516	1,291	1,033	1,808	5,165
District Realignment/Upper Tier Disaggregation Cost (one-off)	-	-	1,500	1,500	-	-	3,000
Total		1,872	15,111	19,821	18,210	10,391	65,406



- 2.15 Financial modelling for all options indicates breakeven is achieved by 2030/31, with cumulative savings exceeding implementation costs, supporting long-term resilience. The forecasts also include budget gap analyses, showing opportunities to generate additional revenues and manage budget pressures.
- 2.16 Available reserves across Derbyshire are expected to cover early reorganisation costs, though risks remain from budget pressures and unanticipated expenditure, and the Fair Funding Review 2.0's impact is still uncertain. Metrics modelled indicate strong financial health relative to benchmarks, with local debt management feasible; however, risks include service demand pressures, funding uncertainties, and the timing and delivery of savings.
- 2.17 The estimated impact of the Fair Funding Review 2.0 is also expected to contribute to improved financial sustainability for the unitary councils over time, although the precise scale of this benefit remains too uncertain to quantify at the time of writing as the Government is currently undertaking a consultation on the proposals. Indicative modelling suggests that the Southern Unitary may experience a quicker improvement in their budget position compared to the Northern Unitary, due to differences in funding allocations.
- 2.18 A suite of metrics measuring both capital and revenue financial health have been modelled across all options for the new unitaries. Across all the metrics, based on available data for 2024/25 the proposed new unitaries have comparatively strong financial health outcomes, relative to the benchmarked unitaries. It should be noted that many of the younger existing unitaries are not in a strong financial position, many needing exceptional financial support from the Government in their early years, so we have treated these outcomes with caution, but the results indicate that the new Derbyshire unitaries will be able to manage debt locally.
- 2.19 Modelling has been completed for all options and full details can be found in Appendix A (Section 5 Criteria 2 and the Options Appendices in the Case for Change).

- 2.20 A further consideration for ensuring financial sustainability for the new councils is the level of council tax income they require, and how this affects what residents will be required to pay in future. Currently council tax band Ds differ between all the councils. As part of LGR in Derbyshire, the combined current council tax band Ds will need to be harmonised to a single set of charges for each unitary within seven years of vesting day (April 2028).
- 2.21 It will be for the new councils to decide how to harmonise council tax for their areas. Modelling has been included in the Case for Change to illustrate the options.
- 2.22 Six of the Councils in Derbyshire have Housing Revenue Accounts (HRAs). Whilst these are assumed to be ringfenced throughout the modelling and therefore unaffected it is important to contextualise the impact on delivery due to regulatory changes. These housing reforms have placed significant pressures onto HRAs, and it will be challenging for the sector to fund enhanced service provision and service debt through rents at their current levels. The amount of HRA debt in Derbyshire at 31 March 2025 was £644m.

#### **Implementation Plan**

- 2.23 To deliver against the ambitious timescales, preparations have begun to plan for the implementation of LGR, with a dedicated section in the Case for Change (Appendix A). Our LGR programme has six phases each triggered by key events in the process, some of which are externally driven e.g. the Minister of State decision and others are within the control of the programme e.g. the appointment of key officers.
- 2.24 The phases are described below:
  - Plan and define Before a decision on the proposed shape of the new unitary councils.
  - **Building the foundations** When a decision on the shape of the proposed new unitary councils has been made but before the election of shadow members or appointment of officer leadership.
  - Shadow authorities With members elected but only with interim, programme or unofficial groupings of officers for capacity.
  - **Leadership** When Tier 1-3 officers have been appointed and can prepare the new councils.
  - Go-live Vesting day when new councils are operational.
  - Extended transformation Driving a continuous transformation agenda post vesting day.
- 2.25 There is limited disaggregation in our proposal as we already have two upper tier councils delivering core services, but care will still be needed to minimise any disruption for residents and enable service quality to be maintained. There will be district disaggregation with Options A1 and B1 which split the boundary of Amber Valley Borough Council. It is vital that the transition is undertaken effectively and with positive resident outcomes being placed at the forefront of the changes.

#### Consultation

- 2.26 The engagement of residents, staff, the voluntary and community sector, local businesses, community groups and councils, and public sector providers has been central to our work in shaping the future of local government in Derbyshire.
- 2.27 An extensive programme of communication and engagement has been undertaken to inform the development of this Case for Change to understand what matters most to our residents. This insight will also help future unitary councils set their direction and values.
- 2.28 Staff and residents have been engaged through a series of in-person and online mechanisms, including staff briefings, roadshows and events and questionnaires to our residents. Elected members have been engaged throughout the process across all councils.
- 2.29 The Case for Change has been presented for consideration at Full Council. It will be an Executive decision to submit the plans to Government.

#### **Equality Impact Assessment**

2.30 A comprehensive Equalities Impact Assessment has been undertaken and is included to support the Case for Change at Appendix B.

#### **Project Risks**

- 2.31 The Case for Change highlights the key risk areas along with high level mitigations. These risks are detailed in Appendix A (Section 8 of the Case for Change).
- 2.32 LGR risks continue to be reviewed at the programme level but also align with the Council's Risk Management processes and will continue to be monitored on a regular basis at the Council's Risk Management Group.
- 2.33 There is also a specific challenge around the current strategic alliance established in 2008 between High Peak Borough Council (Derbyshire) and Staffordshire Moorlands District Council (Staffordshire). Close coordination of timelines in reorganisation between Derbyshire and Staffordshire will be required to avoid service disruption for the communities currently served by these councils. The Derbyshire LGR would also benefit from mirroring timelines with Nottinghamshire through the relationship with the East Midlands Combined County Authority (EMCCA).

#### 3. Reasons for Recommendation

3.1 To update Members on Local Government Reorganisation and provide full details of the Case for Change, all associated appendices and the decision to be made by Executive following the Council meeting.

#### 4 Alternative Options and Reasons for Rejection

4.1 No decision is required by Council. The report and appendices are for consideration and noting.

#### **RECOMMENDATION(S)**

#### That Council:

1. Consider and note the Local Government Reorganisation Case for Change for Derbyshire as set out in Appendix A.

Approved by Councillor Jane Yates, Leader of the Council

#### **IMPLICATIONS**:

#### Finance and Risk Yes⊠ No □ Details:

Like all local authorities, we continue to operate in a challenging financial environment, with budget pressures and future funding uncertainty. Despite coming from a position of strong financial resilience, we are not immune to the impact of increasing demand and costs of service delivery and therefore must ensure we continue to place significant importance on financial management, to protect service delivery and achieve a balanced budget position each year for the life of this council.

Following the submission of the Interim Plan in March 2025, extensive work has been undertaken to refine the LGR financial appraisal. The Section 151 Officers across Derbyshire have worked collaboratively to ensure base data used for modelling is as robust and credible as possible.

Given the relatively short time scale available to produce the submission, assumptions used in the KPMG financial model have been tested as far as possible using local knowledge to refine as appropriate. All financial models of this scale have their drawbacks and can never be 100% accurate as they are too reliant on assumptions to be so. The important thing is to understand the limitations of the model and make the assumptions as credible as possible.

Full details of the financial case can be found in Appendix A (Section 5 Criteria 2 of the Case for Change) where it sets out in detail the base data used for modelling, along with the modelling assumptions applied and financial risks.

#### **Breakeven, Savings and Implementation Costs**

The annual savings and implementation costs modelled are presented globally in the Case for Change as they are largely constant across all options.

The financial analysis projects an <u>annual</u> savings potential after 6 years of £44m, equivalent to 3% of the £1.4bn budget of all Derbyshire councils. The gradual build-up

of the realisation of savings, beginning with £4.4m in year 1 before peaking at £44m in year 6, supports the model's financial viability over the payback period.

One-off costs of £65.4m are required to implement the reorganisation, these costs are essential to unlock recurring efficiencies in the future. The investment is proportionate and supports a positive return on investment over the planning period.

A breakeven analysis for each option has been produced showing when cumulative savings will outweigh the one-off implementation costs. All four options being considered have a payback between 3.55 – 3.58 years.

#### **Financial Sustainability**

To demonstrate that the new unitaries are of the right size to achieve efficiencies, improve capacity and be better positioned to withstand financial shocks their future financial sustainability has been modelled. The metrics used to test this are:

- 1. A Medium-Term Financial Plan (MTFP) modelled for the new councils
- 2. Reserves availability
- 3. Future Funding, including a high-level indicative analysis of the assumed impact of the Fair Funding Review 2.0
- 4. Balance Sheet Health

The existing consolidated forecast budget gap across Derbyshire highlights significant financial pressures. In 2025/26, the combined budget gap exceeds £41 million, indicating the scale of the challenge.

LGR presents funding opportunities to close the budget gap. Council Tax Harmonisation presents such an opportunity as council tax is lifted to create parity at each new council. The importance of this additional revenue stream on future financial sustainability is demonstrated in the table found at Appendix A (Section 5 Criteria 2 - Pg 57 in the Case for Change). This has been modelled using the assumption that maximises income generation, harmonising to the highest rate as quickly as possible, within referendum limits.

The route to council tax harmonising will be a decision for the new councils. Opting for harmonisation that generates a lower income yield than modelled will create a greater risk to the future financial sustainability of the new Councils, putting additional pressure on service delivery. This has been considered in the financial risks (see below).

Overall, modelling outcomes show that the trajectory is healthy, with a balanced position forecast from year three for all options. The early years are marked by substantial deficits before savings from reorganisation and transformation are fully realised. This places pressure on financial planning and necessitates careful financial management. The financial outlook shows a steady improvement over time, reflecting the long-term benefits of reorganisation, harmonisation efforts including council tax, and funding reforms. Balance sheet health metrics modelled indicate that the new Derbyshire unitaries will be able to manage debt locally.

Financial risks have been fully considered when producing the submission and full details of the financial risks along with "asks" of the government to help mitigate these

risks can be found in Appendix A (Section 5 Criteria 2 - Pg 60 – 62 of the Case for Change).

One such risk is availability of reserves to meet ongoing budget pressures and implementation costs associated with LGR. Using current MTFP's, it is estimated that at 31st March 2028, there will be £90m of available reserves across Derbyshire which can be used to fund the implementation costs and help the new councils to withstand future financial shocks. However, unanticipated funding and/or expenditure pressures could adversely affect this position before the new councils are created in 2028.A future decision will be required on how the available reserves are released from each legacy council and utilised.

On behalf of the Section 151 Officer

## <u>Legal (including Data Protection)</u> Yes⊠ No □ Details:

The process for the preparation of proposals and their consideration by the Secretary of State are contained in sections 2, 7 and 11 of the Local Government and Public Involvement in Health Act 2007.

Section 2 sets out the 4 types of proposal that can be proposed. There are, as a result of the way this section operates, more than 4 types of proposal involving Counties, Districts and "relevant adjacent areas".

The proposal in this report is the fourth option – a combined proposal.

Although each proposal is to be based on Districts as building blocks, the Secretary of State can depart from these 4 types. Further in the Guidance the Secretary of State has also expressly invited proposals that suggest boundary change.

Under section 7 the Secretary of State may:

- a. By Order implement the proposal with or without modification
- b. Implement the Local Government Boundary Commission's alternative proposal under section 5 if there is one
- c. Decide to take no action

There is further power under section 11 for the Secretary of State to implement something which could not itself have been so specified but this must be done in accordance with the requirements of Section 2 of the Act.

The Council has submitted an Interim Plan for Local Government reorganisation and feedback has been provided on this from MHCLG. A full proposal is required to be submitted by 28 November 2025.

The proposal is provided at Appendix A and the approval of this proposal is an executive function in accordance with the Local Government Act 2000 Section 9D(2) Executive will therefore be required to make a final decision on approving this proposal taking into consideration the views of Council.

Following submission of the proposal, the Secretary of State may implement the proposal, with or without modification, or decide to take no action. The Secretary of State may not make an order implementing a proposal unless he consults every

#### **DECISION INFORMATION:**

Please indicate which threshold applies:		
Ticase maioate winon anesnoia applies.		
Is the decision a Key Decision?  A Key Decision is an Executive decision which has a significant impact on two or more wards in the District, or which results in income or expenditure to the Council above the following thresholds:  Revenue (a) Results in the Council making Revenue Savings of £75,000 or more or (b) Results in the Council incurring Revenue Expenditure of £75,000 or more.  Capital (a) Results in the Council making Capital Income of £150,000 or more or (b) Results in the Council incurring Capital Expenditure of £150,000 or more.	Yes□	No ⊠
District Wards Significantly Affected:	All 🗵	
Is the decision subject to Call-In?	Yes□	No ⊠
Consultation carried out:  Leader ☑ Deputy Leader ☑ Executive ☑ SLT ☑  Relevant Service Manager □ Members □ Public □  Other □	Yes⊠	No □

Links to Council Ambition: Customers, Economy, Environment, Housing
All.

#### **DOCUMENT INFORMATION:**

Appendix No	Title
A	One Derbyshire: Two Councils "Delivering for Derbyshire, meeting local needs" – Case for Change - October 2025
В	Equalities Impact Assessment – LGR in Derbyshire and Derby
С	MHCLG Interim Plan Feedback Derby and Derbyshire Letter - 15 <sup>th</sup> May 2025

#### **Background Papers**

(These are unpublished works which have been relied on to a material extent when preparing the report. They must be listed in the section below. If the report is going to Executive, you must provide copies of the background papers).





# ONE DERBYSHIRE TWO COUNCILS

Delivering for Derbyshire, meeting local needs

October 2025



#### **Foreword**

We want Derbyshire to be a place where we proudly Work, Live, Belong, and Thrive. Our ambition is to inspire hearts and minds by reimagining services in a way that is inclusive, agile, and future-focused. As we reshape local government, we are embracing new technologies, including artificial intelligence (AI), to significantly transform how we deliver services and meet the diverse needs of our county. Our vision is "One Derbyshire, Two Councils," ensuring that we remain big enough to deliver at scale, yet close enough to understand and respond to local aspirations.

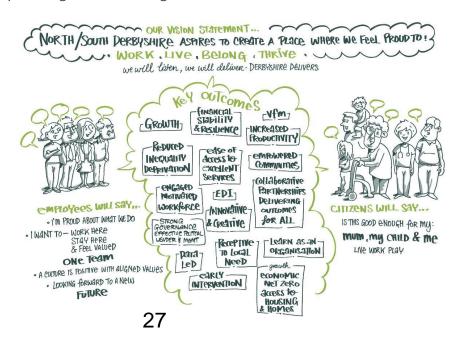
Our approach is rooted in reimagining public services; focusing on early intervention, prevention, and integrated commissioning so people receive the right help at the right time. By leveraging cutting-edge technology and AI, we will deliver truly modern solutions that can adapt to changing needs and unlock fresh economic opportunities. We are determined to bring together the best of all sectors; public, private, voluntary, and community, to create a thriving ecosystem of jobs, local enterprise, and social support that resonates with the lives people lead today.

This reorganisation is a once-in-a-generation opportunity to remove artificial barriers, foster stronger local leadership, and improve coordination for the greatest impact. Aligned with our regional

partners, including the East Midlands Combined County Authority (EMCCA), we will attract significant investment in housing, transport, and infrastructure to strengthen Derbyshire's economy and enhance people's lives.

This proposal is underpinned by a strong focus on driving economic growth and ensuring its benefits reach every resident across Derbyshire by streamlining governance, fostering strategic partnerships, and investing in infrastructure and skills to create new opportunities and enhance community well-being.

By balancing county-wide scale with genuine local accountability, we can build **financial resilience** without ever losing touch with the people we serve. we will foster a dynamic ecosystem of employment opportunities, innovation, and social support—anchored in Derbyshire's rich heritage and poised to tackle the challenges of the future.





## Foreword (cont.)

Above all, we want every Derbyshire resident to share a sense of belonging and opportunity in a vibrant, prosperous county. In this proposal, we set out how two new Unitary Councils will preserve Derbyshire's proud history, while leveraging modern, transformative approaches so that everyone, now and into the future, can flourish.

This vision has been forged through extensive collaboration, with councils working together to create a place where people are proud to belong and to be from. Our aim is to build two Unitary Councils where people are proud to work, delivering services that meet the standard of 'is this good enough for my family,' and fostering the conditions that support growth and thriving communities. This commitment was reinforced through a dedicated workshop involving representatives, leaders, and executives from these councils, who co-created a shared vision and design principles for the two new Unitary Councils. This collaborative effort leverages collective skills, knowledge, and expertise to shape a compelling proposal, and to give local government back its pride, creating an exciting and ambitious workplace that attracts the best talent.

















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# **Executive Summary**



## **Executive Summary**

Local Government Reorganisation (LGR) provides opportunity to create a brighter, more responsive future for all who live, work, and invest in Derbyshire; a future where services are simpler, communities feel supported, and every part of our county thrives. As Council Leaders across Derbyshire, we recognise LGR will allow us to change the structure of Local Government to improve outcomes for our communities, businesses and partners. We also see LGR as a powerful catalyst to collaborate more, embrace innovation, and amplify the pride we all feel for Derbyshire's distinctive character and heritage.

We present this proposal for LGR with a clear purpose: to build on and strengthen services, ensure long-term financial resilience, and reinforce the deep local pride that makes Derbyshire unique. We firmly believe this transformation will unlock Derbyshire's untapped potential, ensuring a more prosperous tomorrow for our residents and businesses.

Emerging from extensive collaboration between Derby City Council and Derbyshire's District and Borough Councils, with data supplied by the County Council, we recommend unanimously to moving to two Unitary Councils: a Northern Unitary Council and a Southern Unitary Council, tailored to our county's distinctive blend of rural landscapes, industrial heritage, areas of outstanding natural beauty, modern manufacturing, market towns and city life.

#### Why Derbyshire Needs Change

From the rolling hills of the Peak District to the thriving global manufacturers in Derby and South Derbyshire, our communities are diverse, proud, and rich in potential. The strength of Derby and Derbyshire lies in its vibrant communities and commitment to diversity, fostering an environment where innovation and collaboration flourish. Yet, our current structure of multiple District/Borough Councils, a County Council and a Unitary City Council, has grown increasingly complex for our residents and businesses to navigate and for us, as Councils, to sustain. As local government financial pressures intensify, it is clear we need a more cost effective and sustainable structure. LGR offers us the chance to create simpler governance, reduce duplication, and deliver services that are more attuned to the communities we serve.







## **Executive Summary (cont.)**

#### What we seek to achieve in reorganising Derbyshire

Big enough to deliver but close enough to listen and respond to local needs

At the heart of the transformation is a unifying aspiration, **Derbyshire is a place where we feel proud to Work, Live, Belong and Thrive.** 

Our vision aims to make Derbyshire more vibrant, inclusive, and responsive by replacing the current Unitary Council and two-tier system with two similarly sized Unitary Councils. This change balances county-wide strengths; — advanced manufacturing in the south and flourishing tourism in the north, with local decision-making shaped by each area's distinct needs. In doing so, we can retain the identity and heritage that our residents and visitors demand in our towns and villages, while simplifying "who does what" for partners, businesses, residents and visitors. Above all, this reorganisation embraces the sense of pride already thriving in Derbyshire, both urban and rural, and channels it toward stronger communities, and a shared commitment to ensure everyone can work, live, belong, and thrive in our county.

# 33

#### Why two unitary councils

# Criteria 1: Establishing a single tier of local government for the whole of Derbyshire

The two new authorities will:

- Mirror established commuter flows and natural business clusters, enabling each new Council to design targeted strategies for investment, skills, growth and tourism, aligned to regional and national priorities
- Enable balanced taxation with a relatively even split of revenue opportunities and service pressures
- Share their strengths, while celebrating their differences, ensuring distinct local identities, history and culture are retained and supported through strong neighbourhood arrangements
- Enable more effective and efficient public services with less duplication, consistent service standards, joined up policy and faster decision making.

Our proposal for two Unitary Councils allows each area to leverage its key sectors. By delineating the county area along these natural economic geographies, we avoid a "one-size-fits-all" approach in favour of locally attuned strategies, ensuring neither Unitary Council is disproportionately advantaged or disadvantaged.

Two similar sized Unitary Councils working in partnership also enable us to shape distinct, yet complementary housing strategies, building on established housing market areas.



## **Executive Summary (cont.)**

# Criteria 2: Unitary Council authorities that are the right size to achieve efficiencies, improve capacity and withstand financial shocks

Our financial analysis projects cumulative savings up to year 6 of £167m, and an annual saving of £44m after 6 years, equivalent to 3% of the budget of the Derbyshire Councils. In summary, the payback for all options is within the range of 3.5 - 3.6 years.

Across all metrics, based on available data for 2024/25, the proposed new Unitary Councils have comparatively strong financial health outcomes, relative to relevant benchmarks.

# Criteria 3: Unitary Council authorities that prioritise the delivery of high quality and sustainable public services to citizens

Creating two new Unitary Councils allows us to develop more streamlined, people focused services, while taking advantage of local expertise and relationships.

By bringing together the best of the city's, districts and boroughs, and county's expertise, we can reduce duplication, align our leadership, and foster innovative practice under one umbrella.

We envision two new Unitary Councils collaborating to deliver consistently high standards, promote inclusive cultures, and raise aspirations, aligning education with local communities and employment to foster a generation ready for Derbyshire's future economic ambitions.

We will unify Derbyshire's current patchwork of policy, planning, and funding, enabling more coherent decision-making and localised support. We can streamline decision-making and better serve local needs.

By adopting a joined-up approach, we will avoid fragmentation, optimise whole-system benefits and costs, achieve economies of scale, and enhance service quality.

The consolidation of back-office services represents a significant opportunity to remove duplication, improve efficiency, and reduce costs.

# Criteria 4: Working together to develop a proposal that meets local needs and is informed by local views

Over 27 engagement sessions took place throughout the county, with over 500 conversations taking place to help inform the proposal, and over 7,300 people responding to our resident engagement survey.

From our local engagement many respondents recognised the need to modernise local government, improve efficiency, reduce duplication and streamline Councils. Being able to navigate Councils more easily to access services and improving the quality and consistency of services were significant factors for those in favour of reorganisation.

As we move through to implementation, further targeted engagement will be developed around specific service design options.



## **Executive Summary (cont.)**

# **Criteria 5: A structure that supports devolution arrangements**

Our proposal presents a simpler and more unified structure, enabling clearer delineation of where responsibility sits for functioning and streamlined decision-making for EMCCA and the Mayor.

Replacing 10 local authorities in Derbyshire with two partner authorities that can work effectively with EMCCA, and the Mayor of the East Midlands, will drive sustainable growth and progress for the region. The new structures embody the respective roles set out for strategic authorities and principal authorities within the Devolution White Paper, providing the framework for delivery within the current and future devolution arrangements. This approach will ensure that Derbyshire's voice is heard on a regional and national scale.

#### **Growth**

The establishment of two sustainable Unitary Councils in the north and south of Derbyshire will transform the ability of local government in the area to remove barriers that are presently stifling growth. The new Unitary Councils will be of the right size and scale to collaborate with EMCCA, other key regional partners and national bodies.

Forming two sustainable and resilient Unitary Councils will underpin and complement the strategic role of EMCCA, creating an infrastructure to drive economic growth and the foundations for further devolution.

In the South of Derbyshire, the new Unitary Council will provide the scale and strategic relationships needed to support all components of the system to grow in the key industrial strategy sectors of clean energy, defence and advanced manufacturing.

In the North of Derbyshire, the new Unitary Council will seek to maximise the opportunities of its connectivity, supporting the development of key sectors such as aggregates and tourism, and ensuring that local communities benefit from growth in line with EMCCA's new Inclusive Growth Framework.

# Criteria 6: Enabling stronger community engagement and delivers genuine opportunity for neighbourhood empowerment

Neighbourhood Area Committees will act as a catalyst for partnership working at a local level, providing greater opportunities for community insight, and the early identification of local needs.

Progressing in time to be spoke neighbourhood plans, developed by a partnership of the public sector, community and voluntary sector and the private sector, will help to identify the core strengths of each area.

Our two new Unitary Councils will be at the forefront of working with public sector organisations, the community and voluntary sector and business community to deliver strong community partnerships that improve outcomes for local communities and neighbourhoods.

# **Executive Summary (cont.)**



# Proposed options for two unitary councils

Our proposal demonstrates that Derbyshire is best served by two sustainable Unitary Councils, one in the north of the County and one in the south. We, the Councils submitting this proposal, have worked collaboratively together and all agree that the two unitary council format is the best, and we each favour one of the four potential configurations shown below:

#### **Option A**

Built on existing district and borough boundaries with Amber Valley in the northern authority;

#### **Option B**

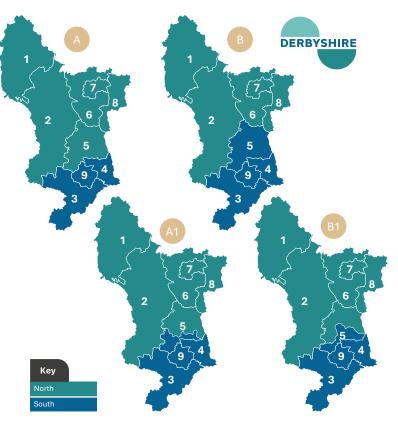
Built on existing district and borough boundaries with Amber Valley in the southern authority;

#### **Option A1**

A modification request with Option A as the base proposal and the modification being to split Amber Valley at parish level. This option was consulted on in the public consultation (Formerly Option C); or

#### **Option B1**

A modification request with Option B as the base proposal and the modification being to split Amber Valley along a different set of parish boundaries. This option was formulated after the consultation in response to evidence gathered and further analysis.



1 = High Peak	6 = North East Derbyshire
2 = Derbyshire Dales	7 = Chesterfield
3 = South Derbyshire	8 = Bolsover
4 = Erewash	9 = Derby

These four options have been appraised against the criteria laid out by Government, including their financial, geographical, and community impacts. Full details on the benefits of each option and how each option meets key standards and supports residents, businesses, and partners are provided in Appendix 3 together with confirmation of which Council supports each option.



5 = Amber Valley

#### Our approach and engagement

This proposal has been refined through extensive desktop research, data sharing, financial analysis, stakeholder dialogue, and public consultation. In our engagement activities we ran a survey, in which over 7,300 residents participated. We facilitated 27 in-person sessions that included hundreds of conversations, and interviewed all our key partners including the NHS, police and local businesses, which has provided valuable insights and information.



The consistent message was that Derbyshire's diverse communities desire simpler governance structures that:

- remain close to residents,
- improve services, and
- make prudent use of public resources.



### **Opportunities and challenges**

While the benefits are clear, we recognise that the transition must be carefully managed. Streamlining leadership and back-office functions are expected to produce significant annual savings, whilst acknowledging that the one-off investment in transitioning to the new authorities, will be approximately £65m.

These changes will need to be made without compromising our day-to-day operations, and ensuring staff morale is maintained throughout the transition. We will proactively engage with staff, unions, and professional bodies, creating robust retention strategies to preserve the local skills, knowledge, expertise and experience, which are vital to the delivery of quality public services.

Establishing two Unitary Councils also positions us for future devolution opportunities. Derby City Council and Derbyshire County Council are constituent members of the EMCCA, working alongside Nottinghamshire and Nottingham. Having balanced, coherent governance arrangements across the north and south of the county will improve our influence, open doors to new funding streams, and allow us to pursue joined-up solutions for key public services thereby boosting economic growth and prosperity.



# Sustainable unitary councils to meet differing needs

Our plan prioritises clarity and simplicity by replacing multiple Councils with two new Unitary Councils, each serving populations of around 500,000. This arrangement provides the flexibility to respond to local priorities and deliver desired public service outcomes, whilst being of sufficient size to provide for financial stability. Both Unitary Councils will be able to drive inclusive growth and connectivity, supporting their main economic centres of Chesterfield in the north and Derby in the south. The new Unitary Councils are based on logical boundaries that align with economic corridors, housing markets, and existing partnerships; this design will deliver a single tier of local government, firmly rooted in practicality, local identity, and balanced opportunities for economic development.







# **Shaping Derbyshire's financial future**

The financial case is not merely about viability; it represents a transformative opportunity to invest in Derbyshire's future, delivering tangible benefits to our residents and significantly improving the services they rely on. The proposed structure ensures the new Councils will be robust enough to navigate economic challenges, meet growing demands, and strategically reinvest in the services that matter to our communities. This includes fostering a culture of innovation, leveraging cutting-edge technology and AI to drive financial efficiencies, optimise resource allocation, and enhance the value delivered by public services.

The financial case for reorganising local government confirms the proposed structure is financially viable and delivers long-term value. The new Councils will be large enough to withstand economic shocks, manage rising demand, and reinvest in services that matter most to residents. Crucially, this financial strategy is underpinned by a commitment to social value, ensuring that our procurement and investment decisions create a positive impact on our communities, improving wellbeing and fostering interconnectedness. Investment in our communities underpins our ambition in this submission, with growth and housing aspirations targeting areas of greatest need and transformed service delivery leading to better connected communities.

We project recurring annual savings of £44 million by Year 6, equivalent to 3% of the combined budget. These savings will be achieved through streamlined leadership, consolidated support services, smarter procurement, and digital transformation.

The one-off investment in implementation of circa £65 million, which will be phased over five years, is considered proportionate and a capitalisation direction is sought to support flexibility in funding.

The financial case confirms a payback period of 3.5 to 3.6 years, with cumulative savings exceeding costs within four years. With £90 million in reserves forecast by 2028, the Councils will be well-positioned to support transition, manage risks and invest in transformation. This includes strategic investments that stimulate our local economies through expanding access to education, training, and employment, particularly for underrepresented groups, and developing new supply chains to create skilled jobs.

Financial health metrics show the new Councils will be able to manage debt locally, without reliance on exceptional Government support. This reinforces the strength and sustainability of the proposed model.

This proposal sets out a financially sound path for Derbyshire - modernising services, strengthening resilience, and supporting inclusive growth.





# Improving key services

Our proposal is grounded in the principle that high-quality public services should reach every resident, whether they live in a bustling urban neighbourhood or a remote rural parish. Forming two Unitary Councils, each responsible for adult social care, children's services, housing, public health, highways, waste collection and disposal, etc., creates a single point of accountability for each area. This approach not only eliminates confusion over who provides what service, but it also streamlines decision-making and enables more cohesive "whole system" thinking.



#### Adult social care

Derbyshire's population is ageing, with pronounced rural challenges in the north and denser urban pockets in the south. The current adult social care services (run separately by Derbyshire County Council and Derby City Council) are already grounded in a strong partnership with the Integrated Care System (ICS). Forming two Unitary Councils allows us to merge best practices and create more integrated commissioning approaches. We can unify and coordinate social care approaches. This move to a single-tier system eliminates the complexities and inefficiencies inherent in the current two-tier structure, streamlining decisionmaking and resource allocation, ensuring people receive the right help at the right time, wherever they live. In the north, existing expertise from the County

Council will be refocused to meet local needs more directly, while in the south, Derby's commissioning strengths will expand to cover a broader geography. Each new Council can embed technology-enabled care, ramp up prevention efforts to reduce hospital admissions, explore further opportunities for the integration of social care and health delivery, and develop closer ties with community organisations. This shift not only helps staff feel confident and valued, but it will also help stabilise finances. Ultimately, Derbyshire's older adults, and those with additional needs, will experience more personalised support, allowing them to lead safer, healthier, and more independent lives in the communities they call home.



### Children's social care & family help

Derby City Council's Ofsted "Outstanding" and Derbyshire County Council's "Good" ratings offer a strong foundation to reach every child in the county. By reorganising into two Unitary Councils, we bring children's services closer to local communities, whether they are in the heart of Derby or in more rural areas. This enables quicker interventions and a stable front door for families seeking early help, more consistent foster care provision, and stronger collaboration with schools, GPs, and police through the Derby and Derbyshire Safeguarding Children Partnership (DDSCP). Ultimately, the reorganisation reinforces Derbyshire's pledge to keep children safe in their home settings wherever possible and ensure those who cannot stay at home receive the right, high-quality support and care at the right time, closer to their communities.





### **Education, SEND, and adult learning**

Derbyshire's mix of rural and urban contexts has shaped two distinct approaches to education delivery. By merging these strengths under two new Councils, we can unify school improvement, SEND provision, and adult learning, in ways that match local needs. For schools and families, this translates to consistent approaches to admissions, transport, and meals, plus a clearer system of safeguarding support and special needs interventions. Derby City's outstanding partnership approach (evident in initiatives like the Derby Promise) will be expanded county-wide, with the County's Council broad geographical expertise ensuring every town, village, district and borough benefits. Meanwhile, adult learning and skills programmes (currently embedded in both local authorities) will gain fresh impetus by aligning with EMCCA's inclusive growth agenda. From bridging skills gaps to supporting people with long-term conditions into employment, our ambition is a vibrant, opportunity-rich county, where each community helps shape the next generation of workforce talent.



# Housing

Derbyshire's diverse geography, ranging from the Peak District's rural landscapes to Derby's vibrant urban centre, has created distinct housing needs across the county. By moving to two new Unitary Councils, we can unify strategies, ensuring that both the northern and southern areas receive equitable investment in housing developments and infrastructure, and respond to housing market need. This reorganisation strengthens the region's ability to build genuinely affordable homes, tackle homelessness, and coordinate with major partners such as the EMCCA and Homes England. A significant opportunity arises from this single-tier structure, as it places Adult Social Care, Occupational Therapists, Disabled Facilities Grants (DFG), and Housing within one organisation, fostering a cohesive strategy that directly improves upon the disjointedness of the two-tier system.

Each Unitary Council will tailor solutions for its local challenges, whether upgrading older rural properties or supporting major urban regeneration, while sharing best practice and pooling resources. From establishing a single housing allocations framework to driving large-scale regeneration projects, the new model prioritises transparency, fairness, and strategic planning. There is also an opportunity to join up district, borough and city housing services to deliver new social housing and safe and decent homes across Derbyshire, through consistent application of repairs and maintenance, tenancy management and housing services. By working at scale, the new Councils can provide a more effective response to tackling empty homes and the enforcement of the private rented sector. Fundamentally, we are ensuring every resident, in both rural and urban Derbyshire, can access safe, warm, and affordable housing, supporting local pride, well-being, and long-term community resilience.





#### **Public health**

With Derby and Derbyshire currently operating separate public health teams, transitioning to two new Unitary Councils lets us sharpen our focus on local wellbeing and prevention, from the former industrial heartlands of Northeastern Derbyshire to the deprived communities. This reorganisation ensures public health funding is managed transparently, so that crucial services like lifestyle programmes and clinical support can be scaled to fit each community's needs. By unifying and reimagining these functions, we can better tackle health inequalities and build on the County's and City's strong partnerships with the NHS and voluntary sector. Critically, staff and residents alike will see continuity in frontline services on day one, while we lay the groundwork for longer-term transformation, using innovative technology, robust data, and empowered local teams to deliver a healthier, fairer Derbyshire for every resident.



#### **Waste services**

Within the new two-Unitary Council framework, we will unify waste collection and disposal, delivering consistent, high-quality services that reflect Derbyshire's urban and rural diversity. By working as both collector and disposer in each area and building on the strengths of the Derbyshire Waste Partnership, we can coordinate routes, harmonise recycling rules, and ensure contracts are aligned for maximum efficiency.

This approach also paves the way for simpler, clearer bin services (uniform food and green waste collections) saving money and reducing confusion. Whether in Bolsover or Bakewell, residents will continue to see local employment and familiar faces providing dependable bin collections. At the same time, our joint purchasing power will let both Councils explore new technologies and environmentally friendly practices. The areas now served by in-house teams, outsourced arrangements, or Teckal companies will be streamlined under one overarching system, creating a greener Derbyshire with stronger community pride, safer streets, and a healthier environment for everyone.



# **Transport & Highways**

From the winding lanes of the Peak District to the major roads feeding Derbyshire's industrial centres, transport links are critical to Derbyshire's prosperity. Reorganising highways and transport services into two Unitary Councils will provide clearer, more coordinated planning, which is aligned to wider integration with the EMCCA. The northern Unitary Council can focus on tackling rural isolation and connecting market towns, while the southern Unitary Council can drive urban infrastructure projects, reduce congestion, and partner with EMCCA to secure strategic investment and unlock housing and commercial development to support sustainable inclusive growth. Better linkages between villages, towns, and the city will boost tourism, improve access to jobs, and promote sustainable travel for all.





#### Street scene

Core services such as grounds maintenance, fly-tipping removal, graffiti clean-up, and litter management are currently run by individual districts, boroughs and the City Council, creating fragmented oversight. Under two Unitary Councils, day-to-day responsibilities become clearer for residents, and operational teams can maintain consistent standards while retaining local responsiveness (from Chesterfield's market squares to Swadlincote's parks). On day one, each Council will safeguard existing staff and depots to keep neighbourhoods clean, safe, and well-presented.



### **Community safety**

Strong partnerships with Derbyshire Police, Fire and Rescue, and health bodies already exist, but responsibilities are spread across ten Councils. With reorganisation, each new Unitary Council will have a single point of accountability for multiagency work, sharing intelligence and resources to keep every part of Derbyshire safe, whether tackling anti-social behaviour in town centres or supporting rural communities vulnerable to crime. This streamlined approach preserves what works, avoids disruption for partners, and enables faster and more decisive action.



# Leisure, Culture & Libraries

Derbyshire boasts thriving leisure centres, sports programmes, heritage sites, cultural facilities and valued library services. The County's diverse geography is a true asset, offering a stunning array of experiences for both residents and visitors alike. From the breathtaking rugged beauty of the Peak District in the north, to the vibrant urban centres and charming market towns scattered throughout, and proudly incorporating the National Forest in the south.

Two Unitary Councils can coordinate provision of facilities, from Chesterfield's cultural venues and the historic market towns, to Derby's velodrome and new performance venue, leading to consistent quality and a clearer vision for investments, ensuring that all areas, whether rural, urban, or within the National Forest, benefit from enhanced cultural and recreational offerings. LGR provides the opportunity to better connect these diverse places, fostering a sense of shared identity and accessibility. Promoting culture, leisure and tourism will also be a key priority for the new authorities, working alongside EMCCA, to further promote Derbyshire and Derby as destinations on a national and international stage, and improve the lives of residents.







#### **Back-office services**

While frontline changes often receive the spotlight, efficient back-office operations, finance, HR, democratic support, ICT, procurement, and more, will form the "engine room" of the new Councils. By merging these functions, we can remove duplication, accelerate digital transformation, and offer more reliable support right where it's needed. Two larger Unitary Councils also create opportunities to retain and attract the specialists our services depend on, such as Derby's Al tools that have already saved millions, or the wide-scale IT solutions North East Derbyshire hosts for multiple partners. Our consolidation plan will ensure we hit the ground running on day one and beyond: essential systems will remain stable, staff terms and conditions will be handled fairly, and residents will see seamless services, such as integrated revenues and benefits or a single online portal to pay bills and seek help. From day one, we'll scale up Al, unify procurement, and optimise corporate functions, delivering better value for every pound spent, so each community can reap the benefits of more streamlined, future-focused Unitary Councils.





# Our collaborative engagement approach

Over the past six months, Derbyshire's eight district and borough Councils and Derby City Council have worked together through a structured governance model, involving leaders, chief executives and statutory officers. This sustained collaborative effort has been central to shaping this proposal.

Feedback from the public engagement has emphasised the importance of protecting Derbyshire's varied cultural heritage. The two Unitary model is designed to honour these identities while delivering modern, efficient services.

Throughout the development of the proposal there has been ongoing dialogue with local MPs, including the opportunity for briefings with relevant Leaders and Chief Executives for their constituency. Our staff and trade union representatives have also been engaged in proposal development throughout this period. Additionally, there has also been significant dialogue with the East Midlands Mayor to ensure that our proposal aligns to, and strengthens, regional priorities.

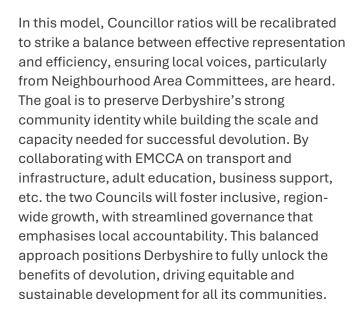






# Supporting devolution and regional growth

Our proposal is for sustainable Unitary authorities, and we understand our partners in Nottinghamshire and Nottingham City have shortlisted several options, these options are also based on a two Unitary authority model. Our proposal will, therefore, align more effectively with EMCCA and the Mayor, providing a simpler, stronger partner voice at the regional level. It would replace 10 local authorities in Derbyshire with two new principal authorities (and potentially from 19 Councils to 4, across the EMCCA footprint), to work with EMCCA, as it transitions to a Strategic Authority. These changes would yield significant reductions in duplication and clarify responsibilities, allowing EMCCA and the Mayor to engage more directly on strategic issues such as transport, housing, and the skills agenda. Each new Unitary Council, will be better optimised to align with EMCCA's timelines and funding cycles, speeding up project delivery and ensuring both rural and urban needs are met.







# Empowering neighbourhoods and communities

In our two Unitary Council model, we will strengthen local democracy by creating meaningful Neighbourhood Area Committees and collaborating closely with parish and town Councils. Underpinning this approach is the belief that local insight, shaped by residents, community groups, voluntary organisations, and elected members, best informs how services should be delivered. By working with Government to refine how committees are structured, we aim to build on Derby City's successful Local Area Coordination programme and other local innovations, fostering preventionfocused policies that reduce reliance on higher-cost services. In tandem, a new parish charter will be codesigned to clarify roles and responsibilities, ensuring parishes' deep community knowledge guides decision-making. We also plan to establish Charter Trustee status for Chesterfield in the North and Derby in the South, to preserve important civic and ceremonial traditions. Collectively, these measures will give residents a stronger voice in shaping day-to-day services, promoting safe and thriving communities across both rural and urban parts of Derbyshire.



# Managing the transition

Our driving priority is a smooth, well-organised transition that guarantees "day one" readiness for every critical service. Continuity of key service areas such as adult and children's social care, reliable bin collections, established housing registers, residents must see no break or reduction in service. Regular communication, fair treatment of staff, and robust governance structures will help retain our workforce's expertise, unify collective efforts, and maintain trust among the public.

Our transition will follow a clear six-phase plan:

- 1. Plan & Define
- 2. Building the Foundations
- 3. Shadow Authorities
- 4. Leadership
- 5. Day One/Go Live, and
- 6. Extended Transformation.

Drawing on both Derby City's almost 30-year experience as a unitary authority, along with examples of service consolidation, such as High Peak's successful strategic alliance with Staffordshire Moorlands, we have designed a robust programme to ensure both safe and legal operations on day one and a focused roadmap for long-term transformation and service delivery.



Critical to our approach is strong governance, featuring a single overarching programme that unites all ten existing Councils, a dedicated Programme Management Office, and active collaboration with partners such as EMCCA. From senior leadership appointments to comprehensive workforce engagement and clear service continuity plans, we will balance stability and innovation, ensuring minimal disruption to essential services, harmonising pay and conditions over time, and prioritising transparency through open communications. A rigorous risk-management strategy will underpin every aspect of implementation, helping us protect public trust and maintain quality of service provision for residents during the transition. Post vesting day, a multi-year transformation agenda will pursue deeper integration of services, promote shared technology solutions, and foster a culture of continuous improvement, delivering sustainable benefits well into the future.



## Looking ahead

Our two new Unitary Councils will enable us to serve every part of Derbyshire with renewed clarity and purpose. The northern Unitary will safeguard and enhance the cultural and environmental assets of the Peak District, its thriving market towns and former industrial heartlands, while the southern Unitary will leverage the city's manufacturing pedigree, champion innovation, and build upon the success of the National Forest in South Derbyshire, whilst also addressing the more urban challenges of housing and infrastructure. Uniting under this proposal, we commit to:

Maintaining Local Authenticity. We will reflect the distinctive identities and traditions of rural parishes, market towns, and urban centres, ensuring each area's culture and heritage remains at the forefront of decision making.

Collaborative Partnerships. From schools and community groups to the NHS, police, and major employers, our new Councils will continue building on Derbyshire's tradition of strong collaboration and partnership working. By aligning with EMCCA, we can attract investment and deliver strategic projects (whether in transport infrastructure, public health, or skills development) faster and more effectively.

Inclusive Governance. Neighbourhood area committees, parish Councils, and new local area committees will be "hardwired" into day-to-day decision-making, preserving local voices and leading to more responsive and equitable services throughout Derbyshire.

**Financial Prudence.** We will maintain responsible oversight of transition costs, delivering annual savings through a leaner governance structure, while reinvesting in core services that matter most to residents. Our emphasis on shared services, digital innovation, and unified procurement will further ensure good value for money.

Moving forward, we are committed to early and open dialogue with the Government, local stakeholders, communities and our dedicated staff to achieve this vision. By reorganising into two Unitary Councils, we will honour that pride and unlock fresh opportunities, so that every resident, business, and visitor can share in Derbyshire's bright future. We trust this proposal meets your expectations for a forward-thinking, community-focused solution. Through collaboration, strong leadership, and unwavering commitment, we can realise the next great chapter of Derbyshire's story, ensuring we remain a county where everyone truly belongs and thrives.





# Introduction To Derbyshire

# Introduction To Derbyshire



## Place: A Landscape Of Beauty And Contrast

Few counties can rival Derbyshire's scenery and heritage. The Peak District is one of the most popular national parks in the UK, with over 13.25 million visitors each year who come to explore its dramatic limestone valleys, heather-strewn moors, and ancient caverns. Alongside this quintessential countryside sits the Derwent Valley Mills World Heritage Site, internationally recognised as the cradle of the modern factory system—making Derbyshire pivotal in Britain's Industrial Revolution. Whether one visits Buxton's Georgian architecture and spa heritage, or Belper's pioneering textile mills, these places illustrate Derbyshire's blend of natural splendour and industrial innovation.

Derbyshire occupies a uniquely central position within the UK, bridging the northern and southern regions of England and enjoying ready connections to major urban centres such as Nottingham, Leicester, Manchester, and Sheffield. As well as this central location and ease of access, the county's character is best understood by looking at communities shaped by innovation and manufacturing excellence, cherished rural market towns, flourishing independent businesses, and an evolving population.

Area	Population	Pop'n density per hectare	Households	GVA (£m)	Classification
Amber Valley	130,451	4.9	58,560	3,456	Intermediate urban
Bolsover	83,773	5.2	37,166	2,481	Intermediate rural
Chesterfield	106,045	16.1	49,154	3,074	Urban
Derby	274,149	35.1	106,197	9,226	Urban
Derbyshire Dales	71,757	0.9	33,404	1,953	Majority rural
Erewash	114,253	10.4	52,412	2,084	Urban
High Peak	91,959	1.7	42,714	1,796	Intermediate urban
Northeast Derbyshire	106,646	3.9	46,585	1,820	Intermediate rural
South Derbyshire	117,493	3.5	49,111	3,112	Intermediate rural





# Introduction to Derbyshire (cont.)

# People: A county of evolving demographics

Derbyshire has a population of over 1.1 million which is expected to increase by 13% by 2043, but growth patterns vary between districts, ranging from a 5% rise in Erewash to an impressive 37% in South Derbyshire. As the county grows, it also ages. Already, around 21% of residents are aged 65 and over, higher than England's average of 19%, and the proportion of people aged 85-plus is on course to double by 2043.

Though often characterised as a rural county, Derbyshire presents a patchwork of environments. Blending dramatic landscapes, rich heritage, and vibrant communities, High Peak and Derbyshire Dales stand as distinctive rural heartlands within Derbyshire, harnessing tourism, agriculture, and the creative industries to drive local, in marked contrast to more urban areas such as Chesterfield, and the city of Derby. Our market towns, ranging from the former mining strongholds of Bolsover, Swadlincote and Shirebrook, to bustling Matlock, Bakewell and Ashbourne, retain a crucial place in the local economy and civic life, evolving to meet modern-day needs while preserving their distinct identities.

### **Economy:** Strong foundations and future prospects

Derbyshire's economy, collectively worth around £30 billion, reflects the county's mix of rural enterprise and industrial prowess. Approximately 37,000 businesses are registered in Derbyshire, 88% of which are micro-enterprises employing fewer than ten people: highlighting the depth of local entrepreneurship and small-business dynamism. Overall, more than 430,000 people work in Derbyshire-based firms, with manufacturing continuing to be the largest sector, followed by the health sector. This manufacturing strength includes a significant presence of Original Equipment Manufacturers (OEMs), where parts of the county have capitalised on highly skilled supply chains in aerospace, automotive, and rail, while others harness tourism, agriculture, and the creative industries to drive local prosperity. Notably, Long Eaton (Erewash) stands out as the UK's centre for quality upholstery and soft furnishings manufacturing, home to over 50 companies and a rich heritage of craftsmanship, contributing significantly to the local economy and employment.

#### **Looking Forward:** Our ambition for Derbyshire

Derbyshire thrives on its blend of breathtaking landscapes, industrial heritage, market towns, and strong entrepreneurial spirit; yet it also faces pressing pressures from demographic change, the cost of delivering services across diverse geographies, and economic competition beyond the East Midlands. It is on this foundation that we, as Council Leaders, propose reorganising local government in a way that is both simpler for our residents and makes it more sustainable. By embracing a future vision that recognises Derbyshire's exceptional natural beauty, longstanding manufacturing

prowess, and emerging opportunities for innovation, we can direct investment and resources where they are most needed. In doing so, we will shape a county that is fit for the evolving needs of its people, as well as for the countless visitors, businesses, and global connections that continue to place Derbyshire firmly on the map.







Our approach to developing

this proposal and assessing the options



### **Proposal aims**

Our vision for local government offers huge potential to transform how we deliver services and meet the diverse needs of our county. With a backdrop of rising demand for public services, economic pressures and financial constraints, we believe there are significant opportunities to provide greater value for money with less bureaucracy and duplication. While we are aiming for simpler, more accessible local government, we won't compromise on service delivery, meeting and responding to local needs and aspirations, democratic accountability and the need for enhanced local leadership. Our proposal aims to:

- Communicate our vision for local government in Derbyshire: One Derbyshire, Two Councils, which are big enough to deliver but close enough to listen and respond to local needs.
- Describe the collaborative process undertaken to assess the options and key considerations used to develop our proposal.
- Demonstrate how we meet the criteria established by the Ministry of Housing, Communities and Local Government (MHCLG) and present the strategic and operational benefits of the One Derbyshire, Two Councils approach, maximising our current strengths for the future.
- Demonstrate how (LGR) acts as a launchpad for the two Unitary Councils to invest in and deliver better services, drive economic growth, and improve outcomes for residents.
- Recognise the value of the district/borough councils and their needs when evaluating options and developing our proposal.
- Set out high level considerations for implementation including transitional arrangements, governance and community engagement.

#### Collaboration

The proposal has been jointly developed through collaboration between the Derbyshire district and borough Councils and Derby City Council, with strong stakeholder engagement including key public service providers, community and voluntary sector organisations, businesses, residents, staff and all tiers of local government. Derbyshire County Council have developed their own proposal; however, all the Councils have worked together effectively to ensure that data and information is shared, and the evidence base is accurate, robust and consistent between the two proposals. From the start this has been a data-led process, grounded through research, constructive dialogue, and strong local engagement.

#### Two stage process

The final proposal has been developed through a two-stage process, beginning with an outline that set the foundational vision and then moving on to a more detailed proposal refined through data analysis and stakeholder engagement.



### Stage one - Interim outline

Our core objectives were defined having due regard to Derbyshire's local context, needs and aspirations and then aligned to MHCLG criteria. This enabled the development and assessment of a long list of options for potential LGR in Derbyshire. An initial longlist of 15 options was considered and evaluated against the following criteria:

- Establishing a single tier of local government for the whole of Derbyshire including Derby City
- Unitary Council authorities that are the right size to achieve efficiencies, improve capacity and withstand financial shocks
- Unitary Council authorities that prioritise the delivery of high quality and sustainable public services to citizens
- Working together to develop a proposal that meets local needs and is informed by local views
- A structure that supports devolution arrangements
- Enabling stronger community engagement and delivering genuine opportunity for neighbourhood empowerment
- New Unitary Councils align with the Government's ambition to use existing district, borough, and city boundaries as "building blocks."
- Highlight the critical role of technology in enabling efficient service delivery, fostering collaboration, and enhancing citizen engagement throughout the transition and beyond.

At this stage, we also carried out baseline data reviews of Councils' finances and service performance alongside key population and demographic data. This assessment led to an initial shortlist of two options, both of which had two Unitary Council authorities, one with Amber Valley in the North and one with Amber Valley in the South. This formed the basis for the interim proposal submitted to Government in March 2025.

#### Stage two - Research, analysis, engagement and evaluation

Building upon the interim proposal, we have combined independent expert analysis and extensive stakeholder engagement to enable a broad evidence-based evaluation of options. The development of the proposal was guided by a clear understanding of both the MHCLG criteria and Derbyshire's unique opportunities and challenges.



### Research and baseline analysis

Comprehensive research and analysis of national and local datasets have been key to the development and evaluation of options. Key data sources have included local authority financial and service delivery metrics, demographic, deprivation, health and economic profiles. These have helped us to understand local needs and fully assess the potential impact of different options. We have also drawn upon sector led improvement mechanisms, worked closely with sector support organisations such as the Local Government Association and District Councils Network and sought lessons from other areas that have progressed LGR.

### Stakeholder and public engagement

Residents and a range of stakeholders have been key to shaping our proposals and ensuring they are informed by local views and experience. We developed a comprehensive communication and engagement strategy which included a robust independently led consultation reaching over 7,300 residents, businesses, community and voluntary sector organisations and a range of other stakeholders.

In-depth independent interviews took place with key partner agencies, including Derbyshire Constabulary, Derbyshire Fire and Rescue, NHS Derby and Derbyshire Integrated Care Board, NHS Community Health, University of Derby, East Midlands Chamber, Chesterfield Royal Hospital Foundation Trust and Royal Derby Hospital. It is critical for the future success of Derbyshire that we continue to engage the wealth of knowledge and experience of these organisations throughout the LGR process, to maximise shared opportunities for our communities.

Throughout the development of the proposal there has been ongoing dialogue with local MPs including the opportunity for briefings with relevant Leaders and Chief Executives for their constituency. There has also been significant dialogue with the East Midlands Mayor to ensure that our proposal aligns to and strengthens regional priorities.

Throughout the process, collaboration amongst local leaders has been key. A range of facilitated sessions have ensured that all voices are heard, and local challenges and opportunities have been fully explored to inform the final proposals.



## **Evaluation criteria and selection process**

Each option has been assessed against the MHCLG criteria and local context:

The table below summarises the criteria laid out in the letter and attachment from the Minister of State and MHCLG.

### Data based evaluation

# Establishing a single tier of local government

- Sensible economic areas, with an appropriate tax base
- Sensible geography to increase housing supply and meet local needs
- Supported by robust evidence and analysis and the outcomes it is expected to achieve
- Describe the single tier structures

# Efficiency, capacity and withstanding shocks

- Population of c500k
- Efficiencies to improve councils' finances and best value for taxpayers
- Set out how transition costs will be managed, including future service transformation
- No proposal for council debt to be addressed centrally

# High quality and sustainable public services

- Show how new structures will improve local government and service delivery and avoid unnecessary fragmentation
- Opportunities to deliver public service reform
- The impacts for social care, SEND and homelessness, and for wider public services including for public safety

# Working together to understand and meet local needs

- Engage locally in a meaningful and constructive way evidenced in your proposal
- Consider issues of local identity and cultural and historic importance
- Evidence of local engagement, an explanation of the views that have been put forward and how concerns will be addressed

# Supporting devolution arrangements

- Set out how EMCCA and its governance arrangements will need to change to continue to function effectively
- Whether this proposal is supported by EMCCA's Mayor
- Ensure there are sensible population size ratios between local authorities and EMCCA, with timelines that work for both priorities

# Stronger community engagement and neighbourhood empowerment

- Explain plans to make sure that communities are engaged
- Where there are already arrangements in place it should be explained how these will enable strong community engagement



A range of sub-criteria and metrics were used to enhance the Evaluation model and scored against the sub-criteria:

Government criteria	Criteria Weights	Sub-Criteria
Establishing a single tier of Local	100%	Geographic area (sq. km)
Government		GVA (£ million)
		GVA per capita $(\mathfrak{L})$
		Council Tax base
		Minimum housing need - standard method (2025)
		Housing need - current Local Plan
		Ratio of new minimum housing need to current Local Plan
		Population density (per sqm)
		Existing boundaries used as building blocks
Efficiency, capacity and	100%	Population
withstandingshocks		Population Growth (2033)
		Business Rates (£) per unit population
		Council tax income $(\mathfrak{L})$ per unit population
		General Reserves
		Financing Costs as % NRE
		(Including County allocations)
High quality and sustainable	100%	Deprivation score
services		65+ Population
		Homelessness Rate (per 1,000 Households) Apr-Jun 2024
		Rough Sleeper Counts
		Female Life Expectancy
		Total Crime Rate per 1,000 Population
		Percentage of Children (under 16) in Relative low-income families
		Unemployment rates (%)
Working together to understand	100%	Sense of identity
and meet local needs		Views expressed through engagement
		Alignment with NHS and Fire, Police boundaries
		Housing Market Area
		Alignment with Travel to Work Areas
Supporting devolution	100%	Population within a strategic authority
arrangements		Effective governance within future strategic authority
Stronger community	100%	Ability to deliver strong community engagement
engagement and neighbourhood empowerment		Ability to address unparished areas

This structured approach to evaluation based on research and sector learning, data and insights, independent analysis, engagement and collaboration has helped us to develop a vision and proposal for LGR in Derbyshire: One Derbyshire, two Councils.







Our vision and principles for local government reorganisation



# Our vision and principles for local government reorganisation

### Big enough to deliver but close enough to listen and respond to local needs

At the heart of the transformation is a unifying aspiration, we want Derbyshire to be a place where we feel proud to – Work, Live, Belong and Thrive.

We will create a county that is vibrant, inclusive, and responsive to local needs. By organising into two new Unitary Councils, we aim to establish a governance model that improves service delivery, strengthens community bonds, and drives sustainable multi-dimensional growth. Our proposal outlines how we will deliver on that promise by building on accountability, innovation, partnership, and a strong sense of local identity.

Forming two Unitary Councils reflects our commitment to balancing county-wide strengths with true local representation. Derbyshire's diverse communities, ranging from lively urban centres to peaceful rural landscapes, deserve governance that matches their distinct character and needs. While both councils share core values and goals, each will have flexibility to partner with local organisations, tailor services, and harness emerging technologies such as AI. This will not only streamline processes and bolster decision-making but also free up valuable time for building deeper connections with the residents we serve.

Above all, we believe in doing this work with Derbyshire's citizens, not for them. Our vision embraces collaboration at every level, from seeking out fresh ideas to championing community-led initiatives. Through genuine engagement, we will listen to residents' concerns, celebrate their successes, and unleash the rich community spirit that has long been our county's greatest asset. By reshaping local government—with both human insight and technological innovation at our disposal—we will create a new future where local pride, opportunity, and partnership flourish, delivering enduring benefits for all.

# One Derbyshire, Two Councils – sharing our strengths while celebrating our differences

Our proposal is designed to meet the needs of local communities; we are proud to be one Derbyshire but with northern and southern areas that have distinct features, challenges and opportunities. By establishing two partner Unitary Councils we will combine the scale needed to deliver effective and efficient public services and reducing complexity while avoiding a 'one size fits all' model of local government.

The northern and southern Unitary Council model is organised on sensible geographies that enable housing markets to address local housing needs and enable place and community-based solutions for critical issues such as homelessness, social care and education. Functional economic geographics are reflected to drive inclusive economic growth with huge opportunities around tourism, minerals and extraction, railways, advanced manufacturing, aerospace and clean energy. Engagement during proposal development highlighted real opportunities to build deeper connections with local businesses and support their ambitions for growth on a regional, national and international stage.

Other key public service providers including Police, Fire and Health alongside key education providers and community and voluntary organisations have highlighted the need for more effective co-ordination of services and they want to work with us to enable more responsive service delivery.

Engagement with all levels of elected representatives including the East Midlands Mayor, MPs, Councillors and parish Councillors have ensured that local needs and aspirations have been heard and understood and that all communities are valued.



# Our vision and principles for local government reorganisation (cont.)

### Our design principles for implementation

Between our nine authorities and strategic advisors we have extensive experience of delivering this scale of change, but we have also worked closely with sector support organisations and taken advantage of the knowledge gained from other areas that have already progressed LGR. This learning and reflection helped us to develop a set of guiding principles to develop our target operating model which will in turn support implementation:

- **Customer focused:** We will design services from the perspective of residents and businesses rather than organisational structures and simplify processes and communication, so customers are directed to the right support at the right time.
- **Locally accountable:** We will design services that reflect local needs while achieving efficiencies at scale. Local decision-making will be transparent, visible, and accessible to residents.
- **Insight led:** We will use robust data, analytics and citizen feedback to inform priorities, understand demands, monitor impact and improve outcomes.
- **Sustainable:** We will drive financial sustainability with a clear emphasis on outcomes, focusing on longer-term consequences. This includes investment in prevention and early intervention, optimising use of assets, and minimising our environmental impact.
- **Digital first, inclusive by design:** We will leverage digital and AI technology to design services that are intuitive, integrated and accessible, ensuring appropriate support for digitally excluded or disadvantaged groups.
- **Empowered:** We will foster a one-team, delivery-focused culture that encourages learning, innovation, trust and respect across the new organisations. Citizens and colleagues will be engaged and empowered to shape the development of the new Councils and their services.



# Our vision and principles for local government reorganisation (cont.)

### Conclusion: Building a bright future for Derbyshire

Our vision for reorganisation rests on a core principle: local democracy should be both an engine for meaningful social change and a source of shared community pride. By establishing two Unitary Councils, we entrust local leaders to govern and guide their communities in ways most relevant to local conditions, while upholding county-wide principles of transparency, efficiency, and innovation. This model will yield decisive benefits, including strengthened finances, enhanced resilience, more streamlined service delivery for residents, and a workforce culture defined by collaboration and proactive problem-solving. With this as our foundation, our proposed two Unitary Councils will stand ready to meet evolving challenges with agility, creativity, and heart. We are committed to continued growth that benefits both current and future generations. Working hand in hand with local partners, from schools and voluntary groups to major employers and health providers, we aim to foster stronger, safer communities and a thriving, inclusive economy. In the next sections, we demonstrate how reorganising local government under two Unitary Councils will enable us to accomplish these goals, meet the needs of residents and businesses, and preserve Derbyshire's identity as a place of heritage, opportunity, and exceptional community spirit.









### LGR Challenges and opportunities

Change of this scale brings a range of challenges that need to be navigated to ensure successful implementation and the maximisation of opportunities for short, medium and long-term benefits for our communities. This section explores the challenges and opportunities that LGR can bring and how we will respond.

### **Transition investment and funding reform**

A detailed assessment of investment requirements has been undertaken, including costs associated with disaggregation, service and system harmonisation and enhancement and staff restructuring. This draws upon sector expertise, learning from previous LGRs and local knowledge.

One-off investment costs need to be put into context of the overall £3.8 billion gross budget for the 10 Derbyshire Councils. Positioned alongside the potential for wider public sector reform across the Derbyshire footprint, there is considerable scope for investing strategically (working hand in hand with EMCCA) to deliver improved outcomes for local people in critical areas such as health, skills, employment and housing.

Financial modelling, analysis and forecasting has considered the potential impact of the Fair Funding Review, alongside other key areas of funding reform such as special educational needs and disability funding.

As part of this proposal, we are seeking a capitalisation direction to enable the flexibility to use capital receipts to fund the transition to two single tier Unitary Councils, to ensure the financial sustainability of the new authorities from day one and the ability of current authorities to maintain services is not jeopardised by the estimated £65m in one-off transition costs.

#### Financial savings and efficiencies

Our financial analysis has identified significant opportunities to maximise longer term benefits through strategic investment and greater efficiency through harnessing new technologies and processes. Beyond these immediate gains, our unifying aspiration for Derbyshire, a place where we feel proud to Work, Live, Belong, and Thrive, unlocks even greater potential. By fostering a vibrant, inclusive, and responsive county, we anticipate further opportunities for value creation and sustained financial health. This means that while there's an initial investment, it's designed to reduce waste and duplication in the long run, leading to more efficient use of public funds. More effective procurement of goods and services with greater buying power and economies of scale will yield significant financial savings. Rightsizing the organisation through leaner management structures, harmonisation and modernisation of back-office functions and a focus on 'digital first, inclusive by design' principles will deliver significant efficiency gains. We are also developing strategies to harmonise Council tax and manage debt effectively to strengthen the financial resilience needed to withstand broader economic shocks and uncertainty.

Our financial analysis indicates cumulative savings of up to £167m by year 6 and an annual saving of £44m after 6 years, equivalent to 3% of the budget of the Derbyshire Councils. In summary, the payback for one-off investment costs for all options is within the range of 3.5 - 3.6 years (thereby halving the Government's target of 7 years). A full assessment of financial risks is detailed in section 5.



#### **Asset rationalisation**

Between the 10 principal local authorities in Derbyshire, we hold a large portfolio of operational and commercial assets. By developing a shared approach to strategic asset management, underpinned by technology including asset rationalisation we can reinvest in frontline services and support the wider growth and housing agenda by enabling the repurposing of buildings and land for development opportunities.

#### **Our workforce**

Our vision for local government is underpinned by creating new organisations which people want to work for, developing their skills and ultimately providing quality services they can be proud of. We are committed to making local government a desirable career choice, a place where individuals can build fulfilling and impactful professional lives.

Internal communication and engagement with staff and trade union representatives has been essential to developing our proposal and remains a key commitment throughout its implementation. All partner Councils have coordinated internal communication activity to ensure timely and consistent communication throughout this journey.

A key concern during this period of change is being able to retain and recruit the staff needed to provide high quality services and support the transition to the new Unitary Council authorities. Recruitment, retention and mutual aid strategies are being explored to ensure we have the leadership and critical skills, knowledge and experience to effectively implement the two new Unitary Council authorities, while maintaining continuity and quality of current public service provision. Expanding strategies to 'grow our own' through apprentice first or innovative approaches with Derbyshire colleges and university are providing ways to recruit and retain talent. This proactive approach to talent development is central to our promise of creating a dynamic and supportive work environment.

In the medium and long term there are significant opportunities for workforce development underpinned by technology and AI. Like many Councils across the country, we are experiencing recruitment challenges across a range of teams including environmental health, planning, legal services and social care, with local Councils often competing to recruit and retain staff.

Moving from 10 to 2 councils could also facilitate more opportunities for promoting equality, diversity and inclusion across our collective workforce. At present, many district and borough councils are too small to sustain colleague support networks for protected characteristics such as disability, race or age. Derby City has several thriving networks in place which could be used as a basis for wider engagement, development and support, thereby maximising talent and meeting the diverse needs of our communities. By fostering an inclusive and supportive culture, we aim to create an environment where everyone feels valued, can thrive, and sees local government as a place where they belong.



The Local Government Association and the District Councils' Network have both considered the importance of structural clarity as a magnet for attracting and retaining staff in local government; and reorganisation has the potential to re-establish the sector as a viable and rewarding career, all of which are key to our proposal and implementation plans. Our reorganisation is designed to fundamentally transform perceptions of local government employment, positioning it as a modern, forward-thinking, and highly rewarding career path that attracts and retains the best talent.

# Redefining and aligning services

A clear point that has come through in the stakeholder engagement activity is the need to avoid reorganisation becoming a distraction from current service delivery. We have sought to mitigate this by using a small team of senior officers to develop the proposal, supplemented by targeted specialist input. As we move towards implementation, this balanced approach will continue, supported by specialist consultancy services where appropriate to minimise impact on frontline services.

Another area for focus will be the alignment of policy, guidance, and terms and conditions underpinned by technology and AI. Learning from other areas that have progressed through the LGR journey, suggests that the time required for harmonisation of hundreds of policies, associated guidance and terms and conditions can be lengthy. Early engagement between Councils and key stakeholders, including trade unions, has helped us to develop a clear picture of the size and scale of transition required and a staged approach to implementation developed over the short and medium term. The availability of reliable, real-time data has been crucial to this planning phase. A memorandum of understanding between Derbyshire County Council, Derby City Council and the Derbyshire districts and borough Councils was developed in the early stages of proposal development with joint working to ensure high quality and consistent data was available to inform all proposals.

By removing the confusion, duplication and inconsistencies which are inherent within a two-tier system, we will radically simplify the navigation of key public services for our communities and strengthen resilience, while retaining the ability to tailor services to the needs of local areas. From the urban centres of Derby and Chesterfield to the market towns and rural villages, local delivery can match local needs with neighbourhood governance arrangements ensuring that local voices continue to shape local service delivery and community engagement.

High Peak Borough Council and Staffordshire Moorlands District Council have formally worked together as part of a Strategic Alliance since 2008. As part of the Strategic Alliance, which spans 2 different counties and regions, the Councils employ a shared workforce which delivers all services to residents across each Council area. The Councils have also established 3 Council controlled companies to deliver frontline services to their residents, in partnership with Cheshire East Council (in respect of Alliance Environmental Services Ltd for waste management, grounds maintenance and street scene services) and Norse Consulting Ltd (in respect of Alliance Norse Ltd for capital works and building and tenancy repairs/maintenance). The third Council controlled company, Alliance Leisure Ltd, acts as Agent for the Councils in the management of their leisure centres. The process of disaggregating the employment status of the shared workforce will be a significant complexity in implementing any LGR proposal across Derbyshire (and Staffordshire), as will the transfer of the Councils' existing shareholdings in each Council controlled company to the newly created Unitary Councils. Close coordination of timelines in reorganisation between Derbyshire and Staffordshire will be required to avoid service disruption for the communities currently served by these Councils.



### Strengthened strategic partnerships and a voice for Derbyshire

During key stakeholder interviews in the independent consultation on the proposals, promoting strategic planning and partnership working was highlighted as a key benefit. There was a real commitment to continue the dialogue and support effective implementation.

Partner agencies from a range of sectors identified the need to focus on positive outcomes and impacts, and co-developing a vision, strategies and policies for the new Councils to help create the conditions for residents and businesses to thrive. This proposal aims to set that vision and confirm that our two partner Unitary Council authorities will have a pan-Derbyshire outlook and work closely together in the interests of benefitting the whole county at local, regional, national and international level. They will also have the scale, ambition and financial resilience to 'punch their weight' in these spaces. Furthermore, this larger scale and increased financial resilience will create a significant opportunity for strategic partnership with Homes England, enabling the pooling of resources and access to larger Housing Revenue Account (HRA) funds. This collective approach will effectively turbocharge the delivery of new social housing and facilitate more ambitious housing development projects across the region.

Our relationship with the East Midlands Mayor and EMCCA will be at the forefront of our future partnership working arrangements. LGR is currently taking place within both counties that make up EMCCA and we are suggesting Government consider aligning the respective proposals to preserve equal influence for Derbyshire and Nottinghamshire within the strategic authority. There are real opportunities for the new Unitary Councils in Derbyshire and Nottinghamshire to work closely with EMCCA to focus on regional priorities, secure resources and shape policy which will strengthen devolution. The creation of Unitary Councils on a scale comparable to major metropolitan areas like Greater Manchester presents a significant strength. This enhanced scale will enable more effective engagement with the East Midlands Mayor and EMCCA, fostering stronger collaboration and driving the devolved agenda forward. It will also allow us to better leverage regional opportunities, secure vital resources, and shape policies that strengthen devolution for the benefit of our communities.



### Representation and accountability

Reducing the number of Councils and therefore reducing the number of Councillors in principal authorities naturally raises concerns about local representation and the potential for a democratic deficit. In developing this proposal, we followed the advice from the Local Government Boundary Commission, considered the learning from other areas that have been through the local government reorganisation journey and considered locally relevant factors.

In developing the Council size proposals, strategic capacity, the ability to effectively manage Council business, providing a good level of representation for communities and having the capacity to effectively engage in partnerships were key considerations. In addition, local authorities in Derbyshire have been progressive in supporting political parties to attract a more diverse range of people to consider public office. We therefore want to ensure that the Council size, warding patterns and governance arrangements also provide adequate cover for member support issues including ill health, parental leave, compassionate leave and flexibility due to working patterns.

We also welcome the Government's consultative approach to developing neighbourhood-based approaches to local decision making and service delivery. This will help to ensure that local needs are understood and acted upon. We want to work with Government to design Neighbourhood Area Committees that see local people, communities and partners, working alongside local area Councillors to identify and deliver on local priorities and provide local accountability.

There are 204 parish and town Councils within Derbyshire, and they have an excellent understanding of local needs and aspirations. This local knowledge is essential to ensure that services respond to the needs of local people and help to improve the lives for everybody in Derbyshire. We recognise the importance of ensuring there is effective communication, collaboration and co-ordination between the different layers of government, and through neighbourhood arrangements work with the Derbyshire Association of Local Councils and the Derbyshire Parish and Town Councils to co-design a parish charter and liaison group, which will firmly establish the importance of the parish sector and define the relationship with the new Unitary Council authorities.







#### MHCLG criteria and feedback

In evaluating the optimal structure for Derbyshire's LGR, we have carefully considered all viable configurations against MHCLG's published criteria, along with feedback provided relating to interim plan submissions by Derbyshire authorities. This has involved exploring 15 different variations that can be summarised as four broad options:

- 1. A Single Unitary Council for all of Derbyshire Including Derby City
- 2. A County Unitary Council co-existing with the existing city Unitary Council.
- 3. Three Unitary Councils.
- 4. Two Unitary Councils (our preferred option).

# A Single Unitary Council authority for all of Derbyshire including Derby City

While this option was not formally proposed by any Council at the interim submission stage, it was considered as part of the initial longlist. It would involve creating one Council covering the entire Derbyshire geography, including Derby City. This proposal was not taken forward for the following reasons:

- Excessive scale: The population of a single Unitary Council for the whole of Derbyshire would reach over 1 million people, making it the second largest Council in the country and by far exceeding MHCLG's guide of around 500,000 population per Unitary Council.
- **Devolution misalignment:** The population of a single Unitary Council for the whole of Derbyshire would reach over 1 million people, making it the second largest Council in the country and by far exceeding MHCLG's guide of around 500,000 population per Unitary Council.
- **Democratic deficit:** The Local Government Boundary Commission have indicated that they would not support the creation of authorities with a Council size of over 100 members. With an electorate of approximately 870,000 the minimum elector per Councillor ratio would be 8,700 whereas other options enable far lower ratios of between 5,000 and 5,300.
- Loss of local identity: This model risks eroding historic and cultural identities due to the size of the new Unitary Council
- **Neighbourhood empowerment:** The government expects neighbourhood-level engagement to be "hardwired" into new structures. A single authority of this scale may significantly limit opportunities for democratic engagement and local voice.
- Service delivery challenges: A single authority of this size may face significant challenges in delivering high-quality, sustainable services across such a large and diverse area e.g. range of urban, rural, and semi-rural areas. Cumbersome bureaucracy and slower decision-making processes also risk overburdening those responsible for the delivery of services.



### A County Unitary Council co-existing with the existing City Unitary Council

This option would involve creating a single Unitary Council authority on Derbyshire County Council's existing footprint, with Derby City remaining a separate Unitary Council authority. This proposal was not taken forward for the following reasons:

- Population imbalance: The County Unitary Council would serve over 820,000 residents, while Derby
  City would remain at around 267,000, which does not meet the 500,000-population guide and creates a
  significant disparity, breaching the principle of balanced population sizes.
- MHCLG feedback: Government stated in their feedback that any proposal that covers the Derbyshire County footprint should also have regard to the implications for Derby City (which this option does not).
- **Unequal representation:** The model risks creating unequal partners within strategic governance structures such as EMCCA, where Derbyshire County would dominate representation and influence. This needs to be considered alongside LGR in Nottinghamshire.
- **Perceived imbalance:** The proposal fosters a 'big authority, little authority' dynamic, undermining the principle of equal partnership and strategic coherence.
- Reduced collaboration: This interim proposal was submitted independently by Derbyshire County
  Council, contrary to the Government's expectation for joint working and shared proposals. Derbyshire
  County Council has since withdrawn the 'Derbyshire Together' proposal and is now working at a
  North/South configuration.
- **Local identity and representation:** The model risks marginalising district-level identities and does not sufficiently address neighbourhood governance or community engagement.

#### A Three Unitary Council

This option would involve creating three distinct, self-governing local authorities for the Derbyshire County area. This proposal was not taken forward for the following reasons:

- Small scale: Three Unitary Councils would not achieve the guide of 500,000 population.
- **Fragmented services:** It would complicate local governance by splitting key people and services across multiple organisations. For example, the two existing Adult Social Care services would become three.
- **Increased costs:** Each Unitary Council authority will require its own leadership and management structure, reducing the opportunity for financial efficiencies.
- Weakens economies of scale: Essential for efficient public administration, economies of scale would be reduced.
- **Limited financial resilience:** Smaller authorities would be less resilient with funding pressures and exposure to large-scale investments.
- **Undermines reorganisation benefits:** Whilst reorganising local government in Derbyshire, it would counteract some of the goals of reorganisation, particularly with regards to creating resilient and sustainable organisations to be the building blocks for future devolution.



### **Two Unitary Councils (our preferred option)**

As described in the interim plan submitted to Government in March 2025, this model proposes two distinct, self-governing local authorities. The reasons for inclusion as our preferred model include:

- Right size: These two Unitary Council authorities will be closer to the MHCLG population guidance of 500,000 and more equal than the single county Unitary Council (option 1) or county and existing city Unitary Council options (option 2)
- **Local identity:** Derbyshire's historic boundaries would be preserved in terms of the area the two new Councils will cover, with a balanced distribution of cultural heritage and environmental assets.
- **Responsive to local needs:** This approach ensures that local governance and accountabilities are more responsive to specific needs, fostering strong community ties and promoting sustainable growth.
- **Building financial resilience:** Having two focused Unitary Council authorities will lead to smoother administration, greater agility in addressing challenges, and more specialised attention to local priorities, significantly enhancing operational efficiency.
- Alignment with devolution: This structure also aligns well with the vision and operational framework of the EMCCA, creating valuable synergy and strengthening regional strategies, ultimately providing a more balanced and integrated approach to service delivery.
- **Collaboration:** This model has the support of all ten existing local authorities in Derbyshire, although different variations of the two Unitary Councils are being explored.

The two Unitary Council model is therefore our preferred option because it allows for the creation of a local government structure that is both effective and connected to the communities it serves.

The other three options fail to meet multiple criteria set out by MHCLG, including those relating to scale, strategic alignment, service sustainability, and community empowerment. As such, they have been ruled out.



### Further development of options as part of Case for Change

Building on our preferred option, our proposal would see Derbyshire's 10 existing Councils be replaced by two new Unitary Council authorities which would deliver all local authority services:

- A Council for northern Derbyshire
- · A Council for southern Derbyshire

Four possible options have been identified to shape the two new councils which are in accordance with Government criteria. The two options identified within the interim plan submitted to Government in March 2025 were based on whole district building blocks. Option A included Amber Valley in the northern Unitary Council and option B included Amber Valley in the southern Unitary Council.

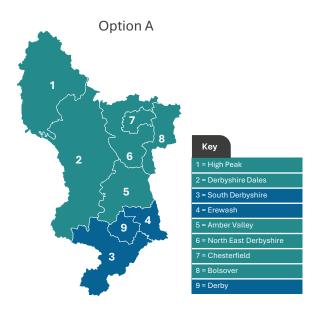
Via the Local Government and Public Involvement in Health Act 2007, the Secretary of State is empowered to consider alternative proposals from the whole district building block approach and can adopt an alternative via the modification power in sections 7 and 11 of the Act. In guidance, the Secretary of State has also expressly invited proposals that suggest boundary changes. The Local Government Boundary Commission will also be asked for their views on the proposal and modification request, but the decision rests with the Secretary of State.

Subsequently, during evidence review for the Case for Change, two further variations have emerged, one of which would require a modification order from Option A (the base whole district option) and the other of which would require a modification order from Option B (the base whole district option) as they involve a division of parishes within Amber Valley between the northern and southern Councils. Option A Modification 1 (consultation option C) was consulted on as part of the public consultation alongside Option A and B. Option B Modification 1 has been developed following a further review of the evidence strands including housing and growth opportunities, alongside information gathered via the consultation around which parts of Derbyshire residents visit regularly for their day-to-day activities such as work, shopping, medical, education etc.

All four options are described below and have been appraised comprehensively as part of this proposal. The strengths, financial analysis and wider evidence that show how each option addresses the relevant Government criteria are shown in Appendix 3.



Option A – a north/south split of the county, with Amber Valley Council being part of the northern Council



### **Key statistics**

Unitary Council 1: Amber Valley, Derbyshire Dales, High Peak, Bolsover, Chesterfield, North East Derbyshire

Population: 584,000

Area (sq. km): 2,103

Council Tax Base: 194,804

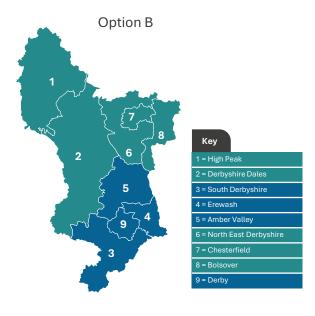
Unitary Council 2: Derby City, South Derbyshire, Erewash

Population:494,000

Area (sq. km): 526

Council Tax Base: 147, 434

# Option B – a north/south split of the county, with Amber Valley Council being part of the southern Council



# **Key statistics**

Unitary Council 1: High Peak, Derbyshire Dales, North East Derbyshire, Chesterfield, Bolsover

Population: 456,000

Area (sq. km): 1,838

Council Tax Base: 152,247

Unitary Council 2: South Derbyshire, Erewash, Amber Valley, Derby City

Population: 622,000

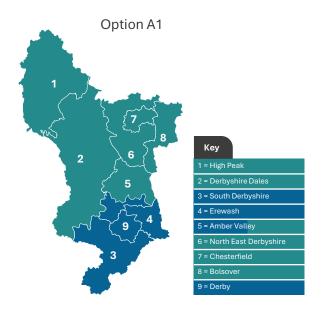
Area (sq. km): 791

Council Tax Base: 189,991





Option A1: A north / south split of the county, with Amber Valley being split between the northern and southern Unitary Councils (Modification request from option A)



#### **Key statistics**

Unitary Council 1: High Peak, Derbyshire Dales, North East Derbyshire, Bolsover, Chesterfield, part of Amber Valley

Population: 567,000

Area (sq. km): 2,068

Council Tax Base: 187,572

Unitary Council 2: Derby City, Erewash, South Derbyshire, part of Amber Valley

Population: 511,000

Area (sq. km): 560

Council Tax Base: 154,666

#### Parishes in the North

Aldercar and Langley Mill, Alderwasley, Alfreton, Ashleyhay, Belper, Codnor, Crich, Denby, Dethick, Lea and Holloway, Hazelwood, Heanor and Loscoe, Idridgehay and Alton, Ironville, Kilburn, Pentrich, Ripley, Shipley, Shottle and Postern, Somercotes, South Wingfield, Swanwick.

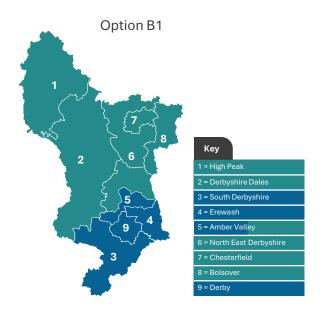
#### Parishes in the South

Duffield, Holbrook, Horsley, Horsley Woodhouse, Kedleston, Kirk Langley, Mackworth, Mapperley, Quarndon, Ravensdale Park, Smalley, Turnditch, Weston Underwood, Windley.



# Case for Change: Developing and appraising the options (cont.)

Option B1: A north / south split of the county, with Amber Valley being split between the northern and southern Unitary Councils (Modification request from option B)



#### **Key statistics**

Unitary Council 1: High Peak, Derbyshire Dales, North East Derbyshire, Bolsover, Chesterfield, part of Amber Valley\*

Population: 539,000

Area (sq. km): 2,012

Council Tax Base: 180,133

Unitary Council 2: Derby City, Erewash, South Derbyshire, part of Amber Valley\*

• Population: 538,000

Area (sq. km): 617

Council Tax Base: 162,105

#### Parishes in the North:

Aldercar and Langley Mill, Alderwasley, Alfreton, Ashleyhay, Codnor, Crich, Dethick, Lea and Holloway, Hazelwood, Heanor and Loscoe, Idridgehay and Alton, Ironville, Mapperley, Pentrich, Ravensdale Park, Ripley, Shottle and Postern, Somercotes, South Wingfield, Swanwick, Turnditch, Weston Underwood, Windley.

#### Parishes in the South:

Belper, Denby, Duffield, Holbrook, Horsley, Horsley Woodhouse, Kedleston, Kilburn, Kirk Langley, Mackworth, Quarndon, Shipley, Smalley.

#### Meeting Government Criteria and Service Design\Transformation

The following sections of this proposal provide compelling evidence as to how the two new authorities for northern and southern Derbyshire would meet all relevant Government criteria, as well as demonstrating our plans for service design and transformation as we look towards implementing LGR by 2028.

The specific benefits of these options (Options A, B, A1 or B1) have been addressed in Appendix 3.









### Criteria 1: Establishing a single tier of local government for the whole of Derbyshire

#### Introduction and local context

Located in the heart of England, Derbyshire comprises of many distinct communities, encompassing historic market towns, large urban centres including Chesterfield and Derby, and rural settlements throughout the Peak District and beyond. LGR is more than administrative change; it is an opportunity to create financially sustainable Councils that enable the delivery of efficient and effective services while celebrating our history and culture.

#### A clear description of the single tier local government structures

Our proposal creates two Unitary Councils, one for the north of the county and one for the south, which will deliver the full range of services currently provided by the 10 principal authorities within Derbyshire. The new authorities aim to:

- Mirror established commuter flows, housing market areas and natural business clusters, enabling each
  new Council to design targeted strategies for investment, to support sustainable inclusive growth aligned
  to regional and national priorities identified in the Local Growth Plan, Strategic Skills Plan and National
  Industrial Strategy
- Enable balanced taxation with a relatively even split of revenue opportunities and service pressures
- Share their strengths, while celebrating their differences, ensuring distinct local identities, history and culture are retained and supported through strong neighbourhood arrangements
- Enable more effective and efficient public services with less duplication, consistent service standards, joined up policy and faster decision making

Currently, Derbyshire is served by a total of 447 Councillors across the ten principal authorities. The electorate to Councillor ratio varies significantly between Councils. On average the district and borough Councils have approximately 1,900 electors per Councillor, Derbyshire County Council has around 10,000 electors per Councillor and Derby City Council is closer to 3,500 electors per Councillor.





Our proposal for interim Council size represents a significant step forward, offering a pragmatic and well considered framework for future governance. Developed using estimated elector data for 2029 across both Derbyshire and Derby, it prioritises minimising electoral inequality to ensure fair representation, with each Councillor representing a similar number of electors. The proposal also carefully considers community identity, aiming to create coherent wards with meaningful names that resonate with local people, while also ensuring effective and convenient governance. Our approach, which is built upon existing county divisions, Derby City wards, parish wards and polling districts provides a robust and practical foundation for the transition to new Unitary Council structures. It is designed to give local areas a strong voice in county-wide and regional decision-making, ensuring strategic planning and policymaking is informed by neighbourhood-level insight. We are proposing 162 Councillors between the two Unitary Councils, representing around 5,200 electors per Councillor in the Northern Unitary Council and 5,500 electors in the Southern Unitary Council.

The interim Council size have been developed in line with Local Government Boundary Commission guidance and locally important governance issues.

These include:

- Ensuring that sufficient strategic capacity exists to drive forward the new Unitary Councils respective vision and priorities and effectively work with EMCCA
- Ensuring effective political oversight of the Council's business; considering the number of committee places needed, delegated authority, level of scrutiny etc., to provide robust, transparent and effective governance
- Ensuring that opportunities for collaborative working are maximised through effective partnership working and representation on key internal and external partnerships, boards and outside bodies
- Ensuring good representation for our communities providing a strong local voice, advocacy and prioritising local needs
- Ensuring that we have strong member support and development policies that make provision for ill health, parental leave, compassionate leave and flexibility due to working patterns.

We also considered local demographic information, Councillor workloads and learning from existing similar sized Unitary Councils. Overall, the interim Council size and interim ward proposals are designed to balance efficiency with community responsiveness. By tailoring representation to Derbyshire's unique blend of urban, suburban, and rural areas, the new governance arrangements will help Councillors remain accessible and accountable, thereby strengthening local democracy across the county.



#### Sensible economic areas with an appropriate tax base

Derbyshire's economy is multifaceted. The economic centres of Chesterfield in the north and Derby City in the south are surrounded by market towns and rural villages. The north is anchored by Chesterfield's role as a sub-regional centre and the M1 corridor, underpinned by advanced manufacturing, engineering, quarrying and logistics and a diverse rural economy in the High Peak and Derbyshire Dales. It has significant areas of growth, such as the Markham Vale Enterprise Zone, alongside critical regeneration and tourism projects, for example Peak Resort in Chesterfield. The South is driven by advanced manufacturing clusters around Derby, including the Infinity Park Investment Zone and Smartparc; major research, innovation and production facilities and the rapidly evolving industrial corridor along the A50/A38/M1. These areas are identified for urban regeneration, housing expansion, to support growth, inward investment, and high-value supply-chain opportunities stemming from major brands such as Alstom, Rolls Royce and Toyota.

Our proposal for two Unitary Councils allows each area to leverage its key sectors. By delineating the county area along these natural economic geographies, we avoid a "one-size-fits-all" approach in favour of locally attuned strategies, ensuring neither Unitary Council is disproportionately advantaged or disadvantaged.

Forming two similarly sized Unitary Councils is central to establishing financially sound authorities that share resources fairly across Derbyshire. Current analysis confirms that splitting the county into a north-south structure balances populations and preserves Council tax bases and business rate revenues, thereby avoiding the pitfall of one large urban area standing apart from widespread rural areas. Equally sized Councils can better customise services and infrastructure to local economic structures, from championing rural broadband, to fostering major investment projects such as Infinity Park in Derby. A well-balanced tax base is essential for long-term resilience, particularly in supporting critical services such as social care, planning, and environmental management. Each Unitary Council will have a combination of urban and more rural areas and multiple economic sectors with the potential for growing commercial hubs and housing development.

Notably, no part of Derbyshire is left isolated, meaning each Unitary Council can align policy, resources, and decision-making to its specific mix of rural, industrial, and service-based economies.

Travel to work patterns vividly illustrate Derbyshire's functional geographies. Data from the Derbyshire Economic Partnership and local authority surveys demonstrate how Derby, Nottingham, Sheffield and Manchester shape workforce distribution across the county. By aligning two new Councils with their natural travel to work areas, we strengthen local economic resilience. Commuter data, for instance, shows Chesterfield and surrounding areas closely linked to Sheffield City region and the western area of High Peak to Greater Manchester, while the engineering and aerospace industries are a major employer in the southern areas of Derbyshire, enabling employment from Amber Valley and Erewash.





Evidence also suggests joint interests in the visitor economy, the Peak District in the north and the National Forest in the South, and in advanced manufacturing and green technology, the north's mineral extraction belt and the south's nuclear and rail skills programmes. By grounding each new Unitary Council in the distinct economic networks whilst also recognising synergies, both Councils will be optimised to attract investment and foster sustainable growth.

#### Sensible geography to help increase housing supply and meet local needs

Two similar sized Unitary Councils working in partnership also enable us to shape distinct, yet complementary housing strategies, building on established housing market areas. This includes responding sensitively to the pressures of housing growth and distribution needed in and around the Peak District ensuring that NPPF (National Planning Policy Framework) targets for affordable housing are met through sustainable development practices that respect environmental constraints. Concurrently, regeneration opportunities in Northern Derbyshire will be pursued, with a focus on achieving NPPF targets for brownfield land utilisation and creating diverse housing options. Meanwhile the Southern Derbyshire Unitary will focus on urban regeneration and larger-scale housing expansions carefully coordinated around the needs of major employers, public transport networks and housing need, with a clear objective to exceed NPPF targets for new housing delivery in strategic growth areas and enhance house affordability across all income brackets.

This change simplifies planning by reducing the number of local plans from nine to two. The two new local plans complementing the EMCCA Spatial Vision supporting projects from Derby Riverside in the South to improved transport infrastructure in the North. This single-tier model simplifies planning, expedites housing delivery to meet market needs in line with national planning policy, enables the planning and delivery of essential local health and community services as well as preserving Derbyshire's heritage and identity.

#### Robust evidence, analysis and expected outcomes

Comprehensive local demographic, economic, and financial data have been used to develop our proposal and options - as set out in section 1 "Our Approach to Developing this Proposal".

Throughout this proposal we aim to demonstrate through evidence, data and analysis the following outcomes:

- Sustainable Councils: able to meet differing local needs.
- · Provide financial sustainability and resilience.
- · Improve key services.
- · Support devolution and regional growth.
- Empower neighbourhoods and communities.



### Criteria 2: Unitary Council authorities that are the right size to achieve efficiencies, improve capacity and withstand financial shocks

The case for local government reorganisation in Derbyshire is underpinned by growing The case for local government reorganisation in Derbyshire is underpinned by growing financial pressures, service demand increases, and limitations in the current two-tier system's capacity to respond. Across Derbyshire, Councils are managing substantial budget gaps, rising demand for social care and housing services and constrained funding growth.

There are opportunities to streamline governance, consolidate services, unlock transformation opportunities, increase efficiency and enhance service delivery. Our financial case balances the investment of implementing the new structures with ongoing savings potential, therefore enabling us to assess whether the new Unitary Councils will be more resilient and sustainable than the existing two-tier model. It aims to:

- · Quantify the financial impact of the proposal
- · Compare options on a like-for-like basis, considering savings, costs, and payback
- · Demonstrate the financial viability and strength of the options
- Provide confidence in our assumptions, modelling approach, and scenario testing

The analysis provides a structured, evidence-based appraisal of potential savings, required investment and net benefit.

#### **Approach**

The analysis followed a structured methodology benchmarked against other LGR processes and aligned to the Government's criteria. It covers the following stages for each option:

- 1. Scoping and Agreement of Method
- 2. Data Collection and Validation
- 3. Baseline Construction
- 4. Implementation and Disaggregation Cost Estimation
- 5. Savings Estimation
- 6. Scenario Modelling



Full details on the approach and assumptions can be found at Appendix 2.

The engagement of and collaboration between Section 151 Officers from across Derbyshire throughout this process has provided assurance on data accuracy and robustness of analysis.

The modelling is based on a mid-case scenario recognising the uncertainty surrounding implementation investments and savings forecasts. The modelling outputs for all options are:

- Phased annual savings
- Cumulative implementation costs, including disaggregation
- Payback periods (breakeven)
- · Total net benefit

#### **Options Summary**

This section details the financial impact of each of the options being considered in the Derbyshire submission. It provides details on estimated annual savings, ongoing disaggregation costs and one-off implementation investment, resulting in breakeven (payback period) and net benefit analyses. It also provides a view on the resilience and future sustainability of the new Councils.

The annual savings and implementation investment modelled are shown below. These are presented globally as they are largely constant across all options.

#### **Annual Savings Estimates**

Our financial analysis projects cumulative savings up to year 6 of £167m and an annual saving of £44m after 6 years, equivalent to 3% of the budget of the Derbyshire Councils. In summary, the payback for all options is within the range 3.5-3.6 years. While the quantum of savings delivered will depend on the level of ambition and decisions made by each new Unitary in the future, these estimates are built from both topdown modelling and validated against local data inputs.

The modelled savings are primarily achieved through workforce and efficiency savings such as consolidation of leadership and corporate services and right sizing the organisation as shown in the table below.

	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
Mid Scenario	Base Year	Year -1	Shadow Year	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6
Saving Name	2025/26	2026/27	2027/28	2028/29	2029/30	2030/31	2031/32	2032/33	2033/34
Leaner Leadership Structures	-	-	-	-	737	2,946	5,893	7,366	7,366
Achieving the Right Team Size	-	-	-	-	2,308	5,770	8,078	10,386	11,540
Streamlining Support Services	-	-	-	-	982	2,455	3,438	4,420	4,911
Smarter Buying and Outsourcing	-	-	-	982	2,946	4,420	4,911	4,911	4,911
Combining Service Contracts	-	-	-	491	1,964	3,929	4,911	4,911	4,911
Right Sized Governance	-	-	-	2,590	3,238	3,238	3,238	3,238	3,238
Use of Technology	-	-	-	147	491	982	982	982	982
Making the Best Use of Assets	-	-	-	-	884	1,768	2,652	3,536	4,420
Improving Customer Services	-	-	-	110	368	737	737	737	737
Smarter Use of Fleet	-	-	-	98	393	688	884	982	982
Further Service Transformation	-	-	-	-	-	-	-	-	-
Total	-	-	-	4,419	14,311	26,932	35,722	41,468	43,997



The gradual build-up of the realisation of savings, beginning with £4.4m in year 1 before peaking at £44m in year 6, with cumulative savings up to year 6 of £167m, that supports the model's financial viability over the payback period.

The following chart shows the savings areas pictorially:

#### Savings Breakdown - Mid Case



#### **Implementation Investment Estimates**

One-off investment required to implement the reorganisation, including programme delivery, systems integration, estates changes, and redundancies, is estimated at £65.4m, starting in year 1 (2026/27), peaking at year 1 of the new Councils (2028/29) and ending in year 3 (2030/31). This also includes one-off investment where services or systems must be realigned due to the transition of upper-tier services from Derbyshire County and Derby City to the two new authorities. These costs are necessary enablers of the longer-term benefits and have been profiled over the implementation period.

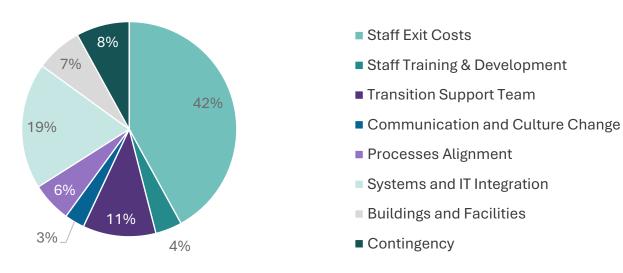
The table below shows the modelled implementation investment by year and category:

Mid Costs - Implementation	Base Year	Year -1	Shadow Year	Year 1	Year 2	Year 3	Total
Category	2025/26	2026/27	2027/28	2028/29	2029/30	2030/31	
Staff Exit Costs	-	-	2,613	7,840	10,453	5,226	26,132
Staff Training and Development	-	-	1,291	1,291	-	-	2,582
Transition Support Team	-	-	2,582	1,291	1,291	1,291	6,456
Communication and Culture Change	-	-	1,033	1,033	-	-	2,066
Process Alignment	-	1,356	775	1,356	387	-	3,873
Systems and IT Integration	-	-	4,800	3,600	3,600	-	12,000
Building and Facilities Changes	-	-	-	620	1,446	2,066	4,132
Contingency	-	516	516	1,291	1,033	1,808	5,165
Disaggregation Cost (one-off)	-	-	1,500	1,500	-	-	3,000
Total	-	1,872	15,111	19,821	18,210	10,391	65,406



The chart below shows the cost composition, identifying the largest expenditure areas being workforce exit and consolidation of systems

#### Implementation Costs Breakdown - Mid Case



These costs are essential to unlock recurring efficiencies. We believe the level of investment is proportionate and supports a positive return on investment over a realistic planning period.

#### **Payback**

A breakeven analysis and payback period assessing when cumulative savings from reorganisation outweigh the one-off implementation investment has been calculated for each option and is contained within the relevant appendices. In summary the payback for all options is within the range 3.5 – 3.6 years.

This net benefit helps to position the new Councils to begin to close future budget gaps and reinvest in public services supporting stronger long-term resilience. We do however recognise that we are all currently experiencing budget, service demand and delivery challenges and these will continue to put pressure on the new Unitary Councils' budgets.

#### Financial Sustainability

To demonstrate that the two new Unitary Councils are of the right size to achieve efficiencies, improve capacity and able to withstand financial shocks, their future financial sustainability has been modelled. The metrics used to test this are:

- 1. A Medium-Term Financial Plan (MTFP) modelled for the new Councils (before Council tax harmonisation and the impact of the Fair Funding Review)
- 2. Reserves availability
- 3. Future Funding, including a high-level indicative analysis of the assumed impact of the Fair Funding Review 2.0
- 4. Balance Sheet Health



#### **Medium-Term Financial Planning**

The existing consolidated forecast budget gap across Derbyshire highlights significant financial pressures. In 2025/26, the combined budget gap exceeds £41 million, indicating the scale of the challenge.

#### Consolidated Medium Term Financial Plan Outlook

	£'000	£'000	£'000	£'000	£'000	£'000
Annual	Base Year	Year -1	Shadow Year	Year 1	Year 2	Year 3
Mid Scenario	2025/26	2026/27	2027/28	2028/29	2029/30	2030/31
Gross Budget gaps						
Districts and City	-3,915	-21,087	-35,546	-35,899	-36,857	-36,857
County	-37,499	-8,676	-16,505	-22,005	-22,005	-22,005
Costs						
Disaggregation Costs	0	0	-1,500	-1,500	0	0
Implementation Costs	0	-1,872	-13,611	-18,321	-18,210	-10,391
District Disaggregation	0	-313	-729	0	0	0
Savings						
Reorganisation & Transformation Savings	0	0	0	4,419	14,311	26,932
Projected Budget Challenge	-41,414	-31,948	-67,891	-73,306	-62,761	-42,321
Funding Opportunities						
Council Tax Harmonisation	0	0	0	36,047	35,781	42,514
Adjusted Budget Challenge	-41,414	-31,948	-67,891	-37,259	-26,980	193

This table presents the worst-case scenario, by including the district council disaggregation costs included in Option A1 and Option B1

The modelling outcomes in the table above show that LGR payback trajectory is healthy, with a balanced position forecast from year three for all options. The early years are marked by substantial deficits before savings from reorganisation and transformation are fully realised. This places pressure on financial planning and necessitates careful management of reserves and cost controls. The financial outlook shows a steady improvement over time, reflecting the long-term benefits of reorganisation, harmonisation efforts and funding reforms.

Importantly, this financial trajectory is broadly consistent across all four options – acknowledging that there will be minor variations driven by differences in population levels which affect funding allocations and Council tax revenues.



#### **Funding Opportunities**

There are substantial funding opportunities from LGR to close the budget gap. Council tax harmonisation presents an opportunity to generate additional revenue as Council tax is lifted to create parity at the earliest point across each proposed area. The importance of this additional revenue stream on future financial sustainability is demonstrated in the tables above. This is modelled assuming harmonisation to the highest rate as quickly as possible, within referendum limits. This will be a decision for the new Councils but opting for harmonisation that generates a lower income yield will create more risk to the future financial sustainability of the new Councils, putting additional pressure on service delivery.

There are other harmonisation opportunities from LGR that will potentially generate additional income, such as aligning fees and charges and Council tax support schemes. Again, these will be a local decision for the new Councils. It is recognised that these will present opportunities to generate further revenue, but they have not been modelled at this stage of the process.

Estimated total resources are balanced across both Unitary Councils. The Unitary Council in the south is forecast to consistently have more growth than the north. However, the Unitary Council in the north will generate a higher Council tax per head, due to a higher aggregate Band D.

#### Fair Funding Review 2.0

The estimated impact of the Fair Funding Review 2.0 is also expected to contribute to improved financial sustainability over time, although the precise scale of this benefit remains too uncertain to quantify at the time of writing this proposal. Indicative modelling suggests that the Southern Unitary Council may experience a quicker improvement in their budget position compared to the Northern Unitary Council, due to differences in funding allocations.

The high-level analysis of the impact of the Fair Funding Review 2.0 shows that across all options, the new Unitary Councils will have aggregated "needs" in the upper quartile when compared to existing Unitary Councils. While this indicates a relatively strong case for funding, variations in the mix of funding (comprising differences in Council tax, retained business rates, and grant allocations), reflect the underlying structure of the funding system. These differences mean that the pace and profile of budget improvement will evolve differently across each option.

The Fair Funding Review 2.0 estimates are assumption-based due to the lack of exemplification in the consultation process and should be interpreted with caution, but since this review is likely to impact on the future financial sustainability of the new Unitary Councils, we felt that some analysis was required in this submission.





#### Reserves

Using current MTFP's, it is estimated that on 31st March 2028, there will be £90m of available reserves across Derbyshire which can be used to fund the implementation investment and help the new Councils to withstand future financial shocks. However, unanticipated funding and/or expenditure pressures could adversely affect this position before the new Councils are created in 2028. Reserves allocated for specific purposes and/or risks identified have been treated as unavailable since these underlying commitments or risks will ultimately transfer to the new Councils.

#### **Balance Sheet Health**

A suite of metrics measuring both capital and revenue financial health have been modelled across all options for the new Unitary Councils including:

- · Net assets as percentage of core spending power
- Usable reserves as percentage of core spending power
- · Debt Gearing
- · Capital Financing

Across all the metrics, based on available data for 2024/25, the proposed new Unitary Councils have comparatively strong financial health outcomes, relative to the benchmarked Unitary Council.

It should be noted that many of the younger existing Unitary Councils are not in a strong financial position, many needing exceptional financial support from the Government in their early years, so we have treated these outcomes with caution.

The results indicate that the new Derbyshire Unitary Councils will be able to manage debt locally, however, there is emerging pressure in the current Councils in relation to the ability of Housing Revenue Accounts (HRAs) to service debt that will present a financial challenge.

Rationalising assets will also present opportunities to create economic growth, releasing land and property to be used to stimulate the economy, for regeneration, new housing and job creation. Disposals will generate capital receipts which will allow for the repayment of debt or re-investment. In addition, accommodation reviews, including co-location, will reduce running costs and a review of income generating assets will facilitate achievement of greater returns or disposal or re-use of 'loss making' assets.



#### **Housing Revenue Accounts (HRAs)**

HRAs within Derbyshire represent a significant opportunity for the proposed Unitary Councils, with six of the Councils having HRA account. While these accounts are currently ringfenced, and therefore unaffected, it is important to contextualise the impact that reorganisation and impending regulatory changes will have on their delivery capabilities. The consolidation of these HRAs under a larger Unitary structure presents a unique chance to unlock substantial financial savings through economies of scale, optimise resource allocation, and significantly enhance our capacity to build new social housing stock. Compliance with evolving housing regulations will undoubtably remain a challenge for the new Councils but their scale will allow them to withstand financial shocks and allow for more efficient and effective management of our housing assets.

The table below shows the **Derbyshire HRA's mapped to the proposed Unitary Council structures**:

FY28/29	Unitary 1	Unitary 2	Total
Number of Properties	24,790	15,262	40,052
Revenue (£'000)	-137,604	-96,441	-234,045
Expenditure (£'000)	123,337	94,215	217,552
Appropriations (£'000)	11,251	0	11,251
Surplus (£'000)	-3,016	-2,226	-5,242
Use of Reserve (£'000)	-2,555	-389	-2,944
HRA Reserves Balance (£'000)	-18,878	-48,232	-67,110

#### **Financial Risk Assessment**

The Unitary Councils are the right size to achieve efficiencies, improve capacity and withstand financial shocks and have the potential to remain viable, stable and able to withstand pressures in the future.

However, it is important to note that this is a snapshot at a moment in time and there are considerations and strategic financial risks within and/or outside of our control that could change this. The table below summarises the systemic financial challenges faced and our intended strategic response with areas requiring additional Government support also identified.



Systemic Financial Challenge	Strategic Response and Government Support Required			
MTFP Variances				
All current Medium-Term Financial Plans have pressures that could require further contributions from reserves. Unanticipated funding and/or expenditure pressures - especially in adult social care, children's services, and homelessness currently show adverse ongoing trends.  Councils may also make decisions before vesting day that impact on the future financial position such as not increasing Council tax to the maximum or using reserves.	Councils to actively manage financial pressures through locally controlled measures such as prudent Council tax setting, disciplined reserve stewardship, prioritised investment decisions, forward-looking financial planning, and allocating resources to support LGR  Agreement of guiding principles prior to a Structural Changes Order being issued.  Government support requested:  Request additional flexibility and targeted funding support for high-pressure service areas, particularly adult social care and homelessness.			
Fair Funding Review 2.0				
Estimates are assumption-based due to lack of exemplification in the consultation process.  Delays would increase budget pressure.	Timely and transparent exemplifications to support accurate financial planning, and transitional funding to mitigate uncertainty.			
Waste Reforms	Government support requested:			
Cost pressures in meeting regulatory reforms, with lack of clarity around Government funding.	Clear guidance and full funding support for mandated waste reforms to avoid unfunded cost burdens.			
Outcome of the Review of the Dedicated Schools Grant The statutory override protects reserves from	Government support requested:  Assurance that the statutory override will remain in place or that alternative protections will be introduced to safeguard financial			
the impact of deficits; any change could create unsustainable budget pressures for new Unitary Councils.	sustainability.			



Systemic Financial Challenge	Strategic Response and Government Support Required
Deliverability and Timing of Realising Modelled Savings  Large-scale transformation projects may slip or deliver lower savings than modelled.	Councils to actively manage financial pressures through locally controlled measures such as prudent Council tax setting, disciplined reserve stewardship, prioritised investment decisions, forward-looking financial planning, and allocating resources to support LGR.  Capacity-building support and flexibility in delivery timelines, alongside contingency funding to manage slippage.
Council Tax Harmonisation  Options implemented are lower than modelled, reducing revenue and increasing budget gaps.	Councils to actively manage financial pressures through locally controlled measures such as prudent Council tax setting, disciplined reserve stewardship, prioritised investment decisions, forward-looking financial planning, and allocating resources to support LGR.
HRA Budget Pressures  Additional financial pressure on HRA's, from changes to regulation challenging ability to meet specified standards and service debt	Councils to actively manage pressures through locally controlled measure, but the main source of income generation (rents) is defined by national policy. The Self-Financing Settlement in 2012 predated the regulatory changes  Government support requested:  A national review of HRA debt and the impact on HRA's would benefit the sector

### Criteria 3: Unitary Council authorities that prioritise the delivery of high quality and sustainable public services to citizens

Unitary Councils provide over 200 different services to citizens, businesses and wider communities. As part of the Case for Change for Derbyshire, we have explored current service delivery models and potential opportunities that LGR could bring for the main service areas.





#### Adult social care

### What does it mean for Communities:

We will provide a streamlined, reliable, and easily accessible adult social care service. It will be tailored to individuals, focusing on prevention and the use of modern technology to help you stay independent at home, all while working closely with health and community services.



### Our strengths now...

We empower adults to live their best lives with dignity. Our strength lies in integrated health and social care, driven by strong partnerships, efficient hospital discharges, collaborative safeguarding, and a shared commitment to personcentred care through initiatives like 'Your Life, Your Choice' and the growing use of proactive, technology-enabled solutions.



#### Why two Unitary Councils will deliver further value...

The move to two Unitary Councils is a unique opportunity to transform Adult Social Care. We'll streamline services, adopt best practices, and leverage technology for greater prevention and capacity. This consolidation will unify our workforce, integrate digital systems, and strengthen partnerships, leading to more efficient, person-centred, and sustainable care across Derbyshire.

#### Context

Adult Social Care is a key statutory service delivered by the existing upper tier authorities, Derbyshire County Council and Derby City Council, both supporting adults with various needs to live as independently as possible. A key difference is that Derbyshire County Council directly provides a proportion of its care, while Derby City Council primarily commissions services.

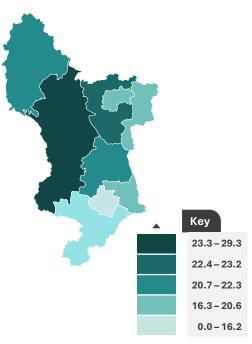
Both Derby City Council and Derbyshire County Council have strong partnerships within the Integrated Care System (ICS). Derby City Council has a successful formal partnership with Derbyshire Community Services NHS Foundation Trust (DCHS), for integrated health and social care reablement and hospital discharge, while Derbyshire County Council is developing a similar model. Each Council has its own Safeguarding Adults Board (SAB), which collaborate closely on safeguarding strategies. Derbyshire County Council received a 'Good' rating by the Care Quality Commission (CQC), reflecting robust in-house provision and a strong emphasis on prevention, whilst Derby City Council's Adult Social Care services are rated 'Requires Improvement', but have a clear plan to address the identified issues and are actively implementing their improvement plan.

We recognise the uniquely challenging context of Adult Social Care across our county, particularly given the growing number of older residents. Recent projections indicate that the proportion of those aged 65 and over in Derbyshire will rise from 21% in 2023 to 25.2% by 2047, an increase of 4.2%, aligning with England's overall trend but slightly outpacing the EMCCA average of 3.9%. District-by-district variations reveal the complexity of local demand. Notably, Derbyshire Dales's older population is expected to jump from 29.3% to 34.7% (a 5.4% increase), while the areas like North East Derbyshire show a smaller percentage shift (2.2%), the absolute number of older people needing support will still grow significantly. Our proposal for 2 Unitary Councils (north and south) provides the best balance between the areas to manage this increasing population.



Area	2023	2047	Change
Amber Valley	23	27.1	4.1
Bolsover	20.6	24.6	4
Chesterfield	22.3	26.6	4.3
Derby	16.2	20.7	4.5
Derbyshire Dales	29.3	34.7	5.4
Erewash	21.2	24.7	3.5
High Peak	23.2	27.8	4.6
Northeast Derbyshire	24.8	27	2.2
South Derbyshire	18.8	23.2	4.4
All Derbyshire	21	25.2	4.2
EMCCA	19.9	23.8	3.9
England	18.7	22.9	4.2

Population estimate by age band 65 plus (%) – April 2023



Adult Social Care services also face severe financial challenges due to rising demand, increasing complexity of needs, staff shortages, and escalating costs. In particular, the rising cost of care packages driven by inflation, the National Living Wage, and a growing elderly population has placed significant strain on resources. These pressures are compounded by increased reliance on expensive services from the private and independent sector. In 2024/25, Derby City Council's Adult Social Care services had a £9.384m overspend, which was partly offset by underspends in children's services (£5.1m) and Derbyshire County Council faced a £21m overspend.

#### **Building On Our Strengths**

This reorganisation is about harnessing what already works well and elevating it. Across our current city and county boundaries, we already share strong, integrated relationships with health services through the Derby and Derbyshire Integrated Care System (ICS) which has allowed us to achieve hospital discharges faster, more cohesive safeguarding practices, and some examples of proactive technology-enabled support.

Both Councils share a commitment to promoting independence and personalised care, whether that's Derbyshire's 'Best Life Derbyshire' programme or Derby City's 'Stronger Together' approach. These strategies place emphasis on strength-based, community-led support, to build resilience and help people to stay independent for as long as possible.



Derby and Derbyshire are developing a Neighbourhood Health Model to integrate health, social care, and community services, focusing on proactive, community-based care and reducing health inequalities. This approach supports improved outcomes at a local level through established partnerships and programmes. It also provides a strong platform for LGR by demonstrating the value of integrated, place-based working and trusted partnerships.

#### **A Shared Vision for the Future**

Our vision is to create Adult Social Care services that keep people safe, respect personal choice, and promote inclusion and wellbeing. We believe in preventive action, so that help arrives before a person's situation becomes critical. We know that technology has the power to transform outcomes, from virtual wards to practical gadgets in the home, and plan to embed it throughout our new arrangements. Above all, we aim to place people at the heart of all decisions. We will co-design services with them, ensuring that our solutions reflect real lives and real challenges.

#### Why Two Unitary Councils Will Deliver Further Value

Creating two new Unitary Councils allows us to develop more streamlined, person-centred services while taking full advantage of local expertise and relationships. We can maximise our workforce, systems, and commissioning practices, making it easier to adopt new technologies and preventative approaches that keep people independent in their own homes for longer.

For Derbyshire South, locality teams from Derbyshire County Council will integrate with those from Derby City to deliver a locally focused, efficient, and person-centred model of care across the new geography. The new authority will benefit from Derby's evolving strength in commissioning, offering the potential for more efficient procurement, better value for money, and improved service quality through strategic oversight, integrated planning and collaboration with providers. Coupled with the opportunity to embed and scale best practice across the new system, this will enable the development of a stronger, more resilient workforce better equipped to deliver high-quality care and respond to local needs.

For Derbyshire North, Derbyshire County Council's locality teams will continue to manage care services and drive performance improvements. The reduced geographical coverage will enable management to focus on leveraging the Council's established infrastructure and expertise and deliver high quality, personalised social work and social care support and assessment and reablement to local people, and their communities, which maximises people's independence and wellbeing.

Ultimately, we want to shift from crisis-focused interventions to earlier, more empowering forms of support. Derbyshire's well-established ICS is an asset we will build upon, and programmes like 'Team Up Derbyshire' and the Neighbourhood Health Model show how strong partnerships can reduce hospital admissions and keep people well at home. By championing preventative services, technology-enabled care, and strong ties with community organisations, our new Unitary Councils will help adults live safely, meaningfully, and independently for longer. Harnessing new innovations, whether that's using AI to predict care needs or digital tools that better connect health and care data, will be at the heart of our long-term transformation.



We will also leverage the long-standing Housing and Health Systems Group, bringing together housing, public health, and social care to create safer, more supported living environments. Careful planning between our new Unitary Councils and national partners will pave the way for enhanced joined up working and better outcomes for people who may have multiple challenges, from homelessness to complex health conditions.

#### Case study

### Commissioned care packages for looked after children and adults



Derby City has conducted detailed analysis of complex care packages for children to identify needs and improve outcomes, developing a hybrid model in partnership with strategic partners by re-evaluating and re-commissioning Adult Social Care packages, both residential, supported living, and home care services. This also included a hybrid model for smaller children's homes with services wrapped around the child, integrating Health, Social Care, Commissioning, and Education. These initiatives have delivered significant outcomes to improve care quality, achieve cost efficiencies, and prevent service breakdowns through strategic partnerships and integrated care models.

\*Riber hillside from Hall Leys Park (landscape)

#### **Day One Readiness and Beyond**

On day one, our priority will be continuity of care and staff confidence. We will have a single point of contact in each Unitary Council for referrals and emergencies, and all staff will know exactly which authority they belong to and how to manage cases. Safeguarding boards, urgent care pathways, out-of-hours services are critical services that will be robust and ready to continue without disruption.

Careful financial planning and due diligence will be undertaken to ensure that inherited pressures are fully understood, transparently managed, and addressed collaboratively. This will prevent the displacement of budgetary shortfalls from one authority to another and support the development of a financially sustainable model from day one.

Aligning how we commission across the new Councils, notably in areas where Derby City currently pays less for residential placements than Derbyshire County, will require delicate negotiations with providers and a thoughtful approach to avoid inflating prices. Yet done well, shared commissioning and market management can help deliver financial stability, better value, and higher quality support across all of Derbyshire.





In the longer term, the two new Councils will continue to invest in training staff, upgrading digital infrastructure, and shaping a more resilient care market. Over the long term, a joint strategic board, led by both new Directors of Adult Social Care services, will oversee the continued alignment of policy and practice. We will scale up smaller successes like Derby's Local Area Coordinators into countywide initiatives that encourage independent living and keep older people connected to neighbours and community support. We will remain focused on co-producing services, ensuring every resident has a voice in how their care is planned and delivered.

Together, we can create a modern, inclusive Adult Social Care system that makes the best use of public funds, supports our workforce, and genuinely transforms people's lives. By harnessing technology, strengthening partnerships, and championing person-centred values, we will ensure Derbyshire remains a place where adults can thrive with dignity and compassion, now and for years to come.

#### Family Help and Children's social care services

### What does it mean for Communities:

Services will be streamlined, ensuring high-quality care, and better outcomes for all families and children across Derbyshire. This will be achieved by focusing on prevention, and early, targeted support that keep

children safe and support

families in need.

### Our strengths now...

As your local Councils, we want every family in Derby and Derbyshire to know that your children's safety and wellbeing are our top priority. We are proud of our 'Outstanding' and 'Good' children's services, a testament to our dedicated teams and strong partnerships. As we reorganise, we'll build on these proven strengths to ensure seamless, high-quality care and support for all children and young people across our communities, now and for the future.

### Why two Unitary Councils will deliver further value...

The creation of the two Unitary Councils provides a critical opportunity to redesign children's services around shared values and consistent delivery whilst also taking the best practice from the current service offer and this forming part of the operating model in the new Unitary structures. Robust financial planning and commissioning will ensure that both Councils can achieve better value from their combined resources, avoid duplication, and direct funding to areas of greatest impact.

We believe that every child should have the best start in life, no matter their circumstances.

#### **Building on our Strengths**

Currently, children's social care and family help in our county are delivered by both Derby City Council and Derbyshire County Council. Over the years, we have consistently demonstrated



our commitment to helping children remain within their families wherever possible, intervening when there is a risk of harm, and taking on the role of corporate parent if children cannot stay safely at home. Ofsted inspections have highlighted Derby City's "Outstanding" performance in all areas, underlining strong leadership and a stable social work workforce, while Derbyshire has been recognised as "Good" with clear improvements and strategic frameworks in place. This track record gives us a firm footing for the path ahead.

Our success has always depended on strong partnerships. The **Derby and Derbyshire Safeguarding Children Partnership (DDSCP)**, has brought key organisations, including the ICS, together to forge a collaborative approach to safeguarding children. **Derby's Youth Justice Service** is another example of this teamwork, having recently achieved an "Outstanding" grade across all judgement areas. We have shown how integrated working reduces duplication, improves processes and delivers better outcomes for children and young people, for example, Derby City's specialist children's homes and work with the regional fostering hub, which Derby City hosts on behalf of D2N2.

#### Case study

#### Family Hubs

Derby City's Family Hub network offers a range of activities, sessions, advice and support for families and young people aged from 0-19 years and up to 25 years for young people with special educational needs and disabilities. They have delivered positive outcomes in terms of early years, school readiness, infant feeding workshops, breastfeeding clubs and support for new parents, health and well-being and parent-infant relationships. This includes support for children with special educational needs and their families, dads, co-parents and other care givers activities, as well as targeted youth support work for young people aged 11 to 19. This is clearly an evidence-based model that could be rolled out across Derbyshire supporting better outcomes for early years and future generations.

#### **Addressing Challenges**

Although we are proud of Derbyshire's strong track record, we also recognise that we face financial pressures, particularly around rising costs for children looked after in external provisions. Last year, Derby City Council recorded a £5.1 million underspend in its children's services budget, but Derbyshire County Council saw an overspend of £27 million, underscoring the need for diligent financial planning, efficient commissioning, and a transparent approach so that budgetary pressures do not undermine the quality of care. Financial pressures remain in 2025/26.



#### Why Two Unitary Councils Will Deliver Further Value

Our proposed move to two Councils reflects our ambition to deliver even stronger services for children and families. By bringing together the best of both the city and county's expertise, we can reduce duplication, align our leadership, and foster innovative practice under one umbrella. We will continue to build on proven programmes like the **Families First Partnership Programme**, a key element of the Government's reforms of children's social care that looks to create one integrated system for helping families in need of help and protection. With the support of the Derby and Derbyshire Strategic Governance Group, both new authorities can further embed this approach, ensuring families have timely, tailored support right where they live.

At the heart of this reorganisation is a commitment to prevention. Family help services will be given the strongest possible footing so that fewer children need formal safeguarding interventions. We have already seen how expanding our early help offer, like family hubs, can tackle challenges at their roots. Our new structure will prioritise this proactive, joined-up support, especially for those most likely to face harm. Equally important are opportunities to strategically plan and commission projects with health partners through the use of Section 75 agreements, which will further strengthen collaboration.

#### A Shared Vision for the Future

As two new Unitary Council authorities, our vision is to build services that nurture children's safety, happiness, and ambitions. We will maintain a "family-first" ethos, encouraging close working between social workers, family help teams, and our vital partners in health, education, and the voluntary sector. We want children to grow up in their own family networks whenever possible, and, when they cannot, to receive high-quality care close to home.

Underpinning this vision are several guiding principles. Firstly, safeguarding remains paramount: from day one, our front door arrangements will triage all contacts and referrals effectively, pointing families towards the right level of support. Secondly, we will ensure no disruption to ongoing cases during the transition, preserving clear referral routes and workforce stability. Thirdly, our workforce, one of our strongest assets, will be supported through transparent communication and shared training, minimising any differences in ways of working. We will embrace data-led insights and cutting-edge technology, including the ethical use of AI, to improve decision-making and outcomes for children. Finally, we will aim for financial resilience through careful due diligence and smart commissioning strategies that reduce out-of-area placements and costly residential care.



#### **Day One Readiness and Beyond**

On day one of the new Councils, families must see no break in service. A robust transition plan will guarantee that emergency systems continue without interruption and that social workers have the resources they need to protect children. Each child receiving services will have confidence in a seamless transfer, and all our partner agencies; schools, GPs, police, and others, will know precisely whom to contact in each Council area. This clarity extends to out-of-hours services, which will remain firmly in place.

Throughout the early phases of reorganisation, there will be frequent communication with staff, children, and families, ensuring we address concerns immediately. Over the long term, a joint strategic board, led by both new Directors of Children's Services, will oversee the continued alignment of policy and practice. The Derby and Derbyshire Safeguarding Children Partnership will remain a crucial anchor, maintaining the continuity of our high safeguarding standards county-wide. We will also explore options for aligning digital infrastructure, ideally moving towards a shared system that simplifies case management and data analytics. In doing so, both Councils can capitalise on Derby City's national leadership in applying AI to frontline services.

Financially, we are determined to adopt a transparent approach that does not simply shift overspends from one authority to another. Instead, we will focus on joint commissioning, investing in the kinds of support that keep children at home where it is safe and appropriate, reducing expensive externally commissioned placements, and developing our local fostering and residential capacity. This will not only help us manage rising demand but also strengthen the bond between children in care and their communities.

#### A Bright Future for Derbyshire's Children

This reorganisation is more than a shift of structures. It is about storytelling for future generations, ensuring that, across Derby and Derbyshire, every child feels safe, supported, and valued. By capitalising on both Councils' history of good practice, strong partnerships, and talented workforce, the new Unitary Councils can continue to innovate and lead. Our record of engaging children and young people in shaping services will be central to our approach, honouring their voices as we create something better and more responsive.

Above all, we are driven by the belief that every child in Derbyshire deserves the chance to thrive. By focusing on family help, building on our established track record, and fostering cross-sector collaboration, we can deliver on that promise. Together, as two new Councils with one shared purpose, we will weave a future in which our children's welfare truly is at the heart of everything we do. We look forward to working with families, staff, and partners, on this exciting journey. Our commitment remains steadfast: that no child will be left behind and that Derbyshire's reputation for excellent care will only grow stronger in the years to come.



#### Education, Special Educational Needs and Disabilities (SEND) and Skills

### What does it mean for Communities:

Our ambition is to create a thriving educational landscape in Derbyshire in which every young person, no matter their background, circumstances, or level of need, feels supported and inspired to succeed

By aligning educational provision more closely with local communities and employment opportunities, we seek to foster a generation ready to contribute to Derbyshire's growing economy and vibrant neighbourhoods; creating a youth ambition that is meaningful to young people in rural and urban areas

### Our strengths now...

A strong collaborative local area partnership has driven forward inclusive education, an ambitious early years 0-7 strategy, enhanced SEND support; trauma-informed schools; innovative interventions and provision to support children to thrive, Innovative programmes, like the Derby Promise, addressing low aspirations, youth employment, transitions, comprehensive post 16 pathways and a leading adult learning, employment and skills service driving regional inclusive growth.

#### Why two Unitary Councils will deliver further value...

Creating a new operating framework that combines the best educational offerings, ensuring equal access to high-quality education with strong support for vulnerable learners, linking skills development directly to employment, fostering collaboration among all education providers for a cohesive system, and maintaining robust employer partnerships to align education with future career pathways.



#### **Context**

Derby City Council employs a comprehensive, system-wide approach to education, focusing on early years, inclusive attendance services, and aspirational initiatives like the "Derby Promise," many of which contributed to the "outstanding" rating in the recent ILACS. Its ASEND inspection is scheduled for 2025/26. Derbyshire County Council offers extensive support to schools across its diverse geography and is on an improvement journey following a 2024 Ofsted ASEND inspection, aiming for significant progress before local government reform. Despite differences in educational engagement compared to Derby City, the County is committed to enhancing services, particularly by strengthening links between schools and intervention services.



#### **Our Shared Vision for the Future**

Our ambition for Derbyshire's education is to create a thriving landscape where every young person is supported and inspired to succeed, regardless of their background or needs. We envision two new Unitary Councils collaborating to deliver consistently high standards, promote inclusive cultures, and raise aspirations, aligning education with local communities and employment to foster a generation ready for Derbyshire's future economic ambitions. To achieve this, we will streamline services by consolidating expertise from Derby City and Derbyshire County to improve outcomes, driving forward inclusion and belonging. Support for schools will be simplified by moving to consistent guidance across areas like attendance, exclusions, and transport. We will align education with economic growth by working with businesses, employers, and EMCCA to design a local opportunity escalator and embed skill-building within a local skills and employment ecosystem. Finally, staff engagement and culture will be prioritised through clear communication and active involvement to cultivate a shared organisational culture that values local expertise and a unified approach.

#### Case study

#### In-Year Fair Access (IYFA)

The IYFA process in Derby coordinates schools, alternative provision, and local authority teams to reengage pupils who have lost their school place, often due to exclusion. A recent case saw a phased reintegration into mainstream school, with one day a week spent in construction-focused provision to maintain interest and motivation. Joint planning between the PRU, mainstream school, and the LA allowed for a holistic support package addressing both academic progress and social-emotional wellbeing. The result was a youngster who not only completed GCSEs and formed new friendships but also gained the confidence to envision college, a powerful testament to strong partnership working.

#### **Consolidation Strategy**

A successful transition hinges on a clear joint workforce engagement and communication plan for both Unitary Councils, ensuring strategy, employment, and professional development are clearly communicated to retain a skilled workforce. Collaborating with system partners is essential for transforming education, sustaining a cohesive network focused on optimal outcomes for all young people. Early and significant stakeholder engagement is critical for transformational and sustainable change. Both new Councils will build on Derby's inclusive school cultures, extend best practices, and construct an operating framework that combines the best of Derby City and Derbyshire's offerings, exploring shared arrangements for school meals and support. They will ensure equal access to high-quality education, championing disadvantaged learners, and tackle deprivation by raising aspirations and linking skills to employment pathways.

Collaborative work with academy trusts, early years providers, and further education colleges will unify the system and prevent fragmentation. Finally, building on the EMCCA Inclusive Growth Plan, strong partnerships with local employers will be maintained to foster ambition and clear plans for children in every community.



#### **Day One Readiness and Beyond**

From day one of the new Unitary Council arrangements, the primary commitment is to ensure seamless school operations without disruption to pupils, families, or staff. This will be achieved through a focus on clear communication to staff regarding roles, responsibilities, and fair management of pay disparities; robust transition plans for uninterrupted services like admissions, school meals, and transport; strong, proactive support from education and inclusion teams, focusing on vulnerable groups and maintaining advice, safeguarding, and inclusion work; clear reporting lines for transferring education staff to minimise confusion and uphold morale and service quality; rapid financial alignment through ongoing collaboration with Section 151 Officers for transparent and sustainable funding; and detailed financial due diligence to ensure accountability and a sustainable funding model from the outset.

#### Special Educational Needs and Disabilities (SEND)

#### **Context:**

Derby City Council has implemented a comprehensive strategy to support young people with SEND, featuring specialist multi-agency interventions for timely assistance. Our schools focus on trauma-informed support, with staff trained in trauma, attachment, play therapy, and relational approaches, including "Nature Explorers" programmes. The new SEND Skills Centre enhances preparation for adulthood from Key Stage 3, integrating careers guidance and coordinated pathways. The Employment and Skills Hub expands work experience for care experienced and SEND young people. Despite these initiatives, rising demand and funding pressures contribute to Derbyshire's DSG deficit of £44.1 million, while Derby City has reduced its deficit to £16 million. Collaborative efforts to manage these deficits are crucial before the statutory override ends in 2028. Derbyshire County Council is also improving its SEND provision following a 2024 Ofsted ASEND inspection.

#### **Our Shared Vision for the Future**

We share a bold ambition to create a truly inclusive Derbyshire where all children and young people with SEND can thrive and succeed. Our long-term aims focus on providing person-centred local support within schools, aligning with new reforms to adopt a social model of SEND that prioritises early intervention and barrier removal. We are committed to investing in skills and workforce development through targeted training for teachers, SENCOs, and allied professionals, alongside implementing a sustained financial strategy to transparently address SEND budget pressures and deficits, ensuring services can expand sustainably to meet future needs.



#### **Consolidation Strategy for SEND**

Our proposal aims to alleviate pressure by transforming support delivery, prioritising investment in preventative services, reablement, and technology-enabled care to reduce long-term demand on high-cost services. Closer alignment with health partners and community support will reduce duplication and costly crisis interventions. Our education and SEND proposals show how two new Unitary Councils can deliver consistent, inclusive, and high-quality services without fragmentation, leveraging best practices, local partnerships, and planning for both day one continuity and long-term transformation. Key actions include understanding pupil responsibilities for transparent expenditure and deficit management, aligning funding models, sufficiency, and demand management, and investing early in interventions to reduce later high-cost needs.

#### **Day One Readiness and Beyond (SEND)**

On day one, we pledge to ensure that children and young people with SEND receive timely support through local partnerships and specialist services, leading to positive outcomes. This commitment includes clear and early communication to parents and carers about new Council procedures for referrals, transport, and eligibility, as well as providing staff with clear roles, responsibilities, training, and induction. We will also swiftly align policies to avoid fragmentation, addressing any differences in how the former county and city managed SEND, home-to-school transport, adaptations, or commissioning to maintain consistent provision.

#### **Public Health**

### What does it mean for Communities:

We're committed to a proactive, person-centred, and community-focused approach to prevention, ensuring that wherever you live, you'll have access to fair, evidence-based services developed together with your local community that takes your wellbeing into account.



### Our strengths

Our Public Health teams excel through strong working relationships and a shared commitment to improving health outcomes. Despite being distinct entities, Derby City Council and Derbyshire County Council Public Health teams have a history of collaboration, particularly in providing statutory advice to the NHS, health protection, and joint health needs assessments. This collaborative spirit, combined with our expertise in serving diverse populations, allows us to leverage our collective knowledge for the benefit of all residents.



### Why two Unitary Councils will deliver further value...

The creation of the 2 Unitary model will align functions like environmental health and leisure across the two organisations which will improve coordination, communication, and efficiency. This rebalancing creates equitable teams, fosters shared learning, and delivers high-quality, resilient services. It supports long-term sustainability robust workforce development, and financially resilient strategies prioritising prevention and equitable health outcomes.





We are determined to shape a future where every resident, whether in the heart of Derby City or the furthest reaches of the county, can live a healthy and fulfilling life. Our region's geography and demography are as diverse as our communities. Derby is a compact, urban hub with a younger, more diverse population, whereas Derbyshire spans a much larger, largely rural area where many residents live in smaller towns and villages, often at some distance from major services. Through the transition to two new Unitary Councils, we will seize the opportunity to strengthen and reimagine how public health is delivered, ensuring we invest in approaches that prevent ill health, reduce inequalities, and bring lasting benefit to all.

#### **Context and Current Landscape**

Currently, two distinct Public Health teams operate in the city and county. Derby City's team of around 28 staff manages a Public Health Ring-Fenced Grant (PHRFG) of £23.8 million (projected for 2025/26), while Derbyshire County, with several hundred staff, has a larger grant of £50.25 million. These differences partly reflect the populations served: Derby is home to roughly a quarter of a million people but is geographically compact, while Derbyshire County covers a more dispersed population many times larger. Moreover, in Derbyshire, the Public Health department has inherited additional responsibilities, such as school crossing patrols and community safety, whereas Derby's team focuses strictly on core public health duties and commissions many services from other providers.

Although Derby City and Derbyshire County both share the same statutory responsibilities and commission similar services, differences exist in provider arrangements, contract mechanisms (e.g. Derbyshire's more frequent use of section 75 agreements), and the scale and scope of services. For instance, Derby City commissions external providers for most clinical and lifestyle services, such as smoking cessation and healthy lifestyle programmes, while Derbyshire often directly manages similar services in-house. This has resulted in differing contracts, service scale, and scope. Despite these variances, collaboration between the two teams remains strong, supported by mutual work on statutory NHS advice, health protection, and pandemic response. We have separate Health and Wellbeing Boards, but similar priorities guide both, highlighting our joint commitment to prevention, early intervention, and tackling health inequalities.

#### **Our Shared Vision for The Future**

Our overarching ambition is for a Derbyshire where everyone, regardless of who they are or where they live, can experience good health and wellbeing. With access to equitable, evidence-based services that are coproduced with local people. It is our desire that Public Health is central to driving and delivering this ambition. We aim to embed proactive prevention that is person-centred, community focused and asset based, with a partnership and joined up approach to improving health outcomes, tackling health inequalities and empowering communities.



Underpinning these aspirations are several core principles. First, addressing inequalities will remain paramount, with initiatives targeted to where people need them most. We will continue drawing on data, community insight, and professional expertise to identify and support our most vulnerable residents. Secondly, we will reinforce the importance of integration with social care, housing, education, leisure, culture, and other critical services to create seamless pathways and avoid duplication. Community coproduction will further anchor our work, ensuring our approaches are guided by local voices and lived experiences. Finally, we will emphasise technology and innovation where it can accelerate prevention, improve access and outcomes, or streamline services for better value.

#### **Day One Readiness and Beyond**

We recognise that the upcoming transition to two new Unitary Councils must be handled sensitively and effectively. On day one, public health services must continue without interruption for residents and providers alike. Our priority is maintaining stability: essential contracts will remain in place, delivering the same level of care and support. Where services can be better aligned to meet local needs, we will work collaboratively to explore future models, but this will be done in a measured way so as not to compromise continuity.

Workforce considerations will be central to our readiness. We will support staff through the change by ensuring clarity about new roles and responsibilities and offering reassurance so that morale and performance remain high. Equally, we will engage our communities throughout the process, communicating openly and enabling sincere co-production. Residents are the reason these services exist, and we cannot maintain public trust without meaningful dialogue and transparency.

Implementation planning will include careful financial oversight to ensure each Unitary Council manages its share of the Public Health grants responsibly. We will fully map out all provider contracts, whether with NHS providers, voluntary organisations, or internal teams, to ensure our obligations are honoured, while at the same time exploring sensible, safe ways to create efficiency and alignment across the new Councils as soon as possible.

Although it presents many opportunities, reorganisation at this scale is not without challenge. Cultural alignment is a key consideration: Derby City's smaller, highly commissioning-focused team will need to work closely with Derbyshire County's broader in-house operations. We respect each team's ethos but must also encourage a shared identity and values that put people's health first. Understanding the different populations we serve remains critical, including the city's younger, increasingly diverse communities compared with the county's more rural makeup, its ageing population, and often longer travel times to major hospitals.

We also recognise that some existing contracts and services will need to adapt. What works well in an urban setting might not translate seamlessly to a county with pockets of extreme isolation. We are prepared to review and tailor these services, ensuring that no community is overlooked, and that economies of scale and emerging technologies are harnessed in ways that make sense to local people.



Once stability is secured from day one, our attention will shift to transforming Derbyshire's public health services for the long term. We envisage a people-centric approach that promotes prevention, early intervention, and empowering residents to manage their own health. Technology will be embraced to support local communities.

#### Housing

### What does it mean for Communities:

The aim is to ensure Derbyshire has safe, secure, and affordable housing for all its residents. The goal is to build stronger, healthier, and more connected communities through equitable investment, affordable and specialist homes, integrated community planning, improved infrastructure, and housing upgrades.



### Our strengths now...

We're leveraging significant investment and new powers from EMCCA to deliver more homes, guided by local plans and a regional strategy that prioritises inclusive growth and people-centred communities. We're strategically planning for sustainable development, addressing challenges like green belt protection, and ensuring a consistent approach to housing across our region.



#### Why two Unitary Councils will deliver further value...

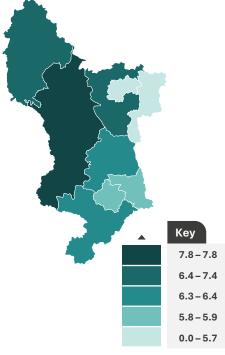
The structure allows for upholding local connection criteria and working closely with elected representatives and communities to preserve the 'local' essence and understand resident priorities more intimate needs whilst benefitting from shared expertise and ensuring funding is distributed effectively across both Unitary Councils

#### Context

Derbyshire's housing landscape must be viewed against the backdrop of rising house prices, varied local wages, and differing affordability levels across our districts. In 2024, the median house price across Derbyshire stands at £220,000, a notable increase of 22.2% since 2019, yet still below England's 2024 average of £280,000. Over the same period, total house sales in Derbyshire have declined by 29.3% to 11,330, highlighting the pressure on supply and the need for targeted interventions to stimulate the housing market appropriately.

This broad county figure conceals significant local variation. For instance, Derbyshire Dales has the highest median house price at £310,000 and the highest affordability ratio in the county at 7.8, making it particularly challenging for many residents to rent or buy a home. In contrast, Bolsover's median price stands at £167,500 with a more manageable affordability ratio of 5.3. Overall, Derbyshire's affordability ratio of 6.3, is below England's ratio of 7.7. Even within districts that appear more affordable, many residents still face difficulties accessing suitable accommodation, with homelessness challenges in some areas.

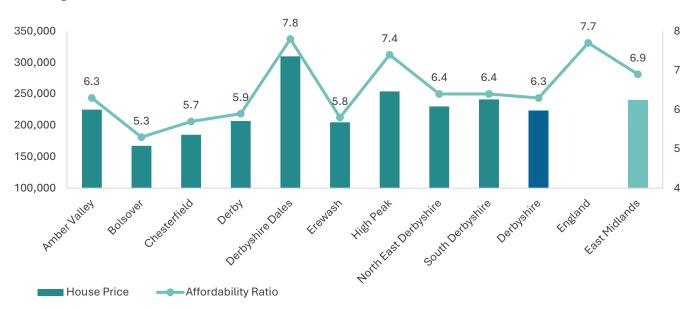
#### Affordability Ratio Across Derbyshire







#### **Housing Statistics**



#### **Building on our Strengths**

Alongside Nottinghamshire, Derby, and Nottingham, we have secured a landmark devolution deal through EMCCA. This agreement brings £1.1 billion of investment over 30 years, to drive innovation, infrastructure, and housing growth. We are guided by a Strategic Framework which champions 'inclusive growth' with a core ambition to increase housing availability across the region.

Our two Unitary Council model will honour the distinct identities and geographies of northern and southern Derbyshire. Housing needs across the county range from the urban challenges of Derby City and its strong industrial base to the market towns and rural communities scattered across places such as the Derbyshire Dales, High Peak, and former coalfield areas in Bolsover and North East Derbyshire. By forming two Unitary Councils, we will streamline governance, pool expertise, and target responses more precisely to local needs.

#### **Addressing Challenges**

Delivering the intended housing outcomes will require navigating several complex challenges. Affordability remains a pressing concern, reflected in rising property prices and a critical shortage of social and affordable homes, while older housing stock often lacks modern insulation and design. Infrastructure gaps, such as limited transport networks and healthcare services, add further pressure, especially in areas where future development must align with EMCCA's spatial plans. Social housing shortfalls fuel homelessness and strain vital support systems, compounded by the complexity of merging differing policies, IT systems, and staff. Meeting temporary accommodation needs with appropriate move on options represents a significant challenge. Finally, policies around empty homes could be better aligned to ensure vacant properties do not go to waste.



#### Case study

#### Housing improvement in Derbyshire Dales

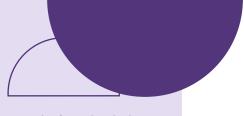
The initiative leverages the wider age network and services to enhance support, originally named "Should I Stay or Should I Go," focuses on providing support to individuals over 50, helping them make informed decisions about their living arrangements and assisting individuals to remain in their current accommodation through benefit checks, adaptations, and referrals to social care. A "Help to Move" service has been introduced, offering practical assistance such as packing, downsizing support, and recycling furniture, plus additional support for issues like hoarding.

#### Case study

#### Local Authority Retrofit Accelerator (LARA)

Bolsover District Council and Nottinghamshire County

Council lead the Local Area Retrofit Accelerator (LARA); a pilot program designed to help local communities develop tailored retrofit strategies for their areas. The collaborative effort, funded by The MCS Foundation, is aimed at accelerating the pace of home energy efficiency upgrades. This pilot has brought together partners across multiple sectors to co-design a Local Retrofit Strategy, addressing their unique needs and circumstances. Through collaborative workshops, they have identified key interventions to drive real change in energy efficiency and make homes fit for the future.

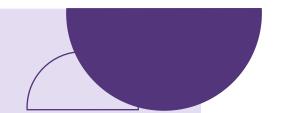






#### Case study

#### Amber Valley Retrofit – A Model of Collaborative Success



Amber Valley, in collaboration with Futures Housing Group and Westville, transformed a modest retrofit project into a resounding success by offering external wall and loft insulation to residents. Through an inclusive approach, Amber Valley achieved an impressive 92% uptake across an estate of 101 properties, not only enhancing energy efficiency but also delivering a substantial regenerating impact on the wider area. This exemplary work, recognised with multiple regional and national awards, now stands as a showcase and best practice model for collaborative community initiatives.

This is a positive model for delivering retrofit at scale across a larger geographical area. It emphasises the importance of multi-agency collaboration and is more easily replicable in a larger authority with access to a wider skill set.



#### How Two Unitary Councils will add value

The transition to two new Unitary Councils provides a strong foundation for tackling longstanding issues, harmonising efforts, and ensuring that no community is left behind. We will unify Derbyshire's current patchwork of housing policy, planning, and funding, enabling more coherent decision-making and localised support. Each new authority will develop a single local plan aligned with EMCCA's strategy, coordinating housing, infrastructure, and economic growth. Pooling resources will give both Councils the financial strength and capacity to invest in larger or cross-boundary projects, while the integration of district and county functions will clarify responsibilities and ensure services are delivered more efficiently to residents. Working at greater scale will ensure that where smaller Councils face challenge to address complex issues especially around issues like compulsory purchase, larger Councils will have the ability to focus on more specialised areas of work.

The northern Unitary Council authority will address the needs of areas characterised by rural expanses, scenic national parks, and recovering mining communities. Meanwhile, the southern Unitary Council area will encompass urban hubs such as Derby City, Erewash and South Derbyshire. Regional connectivity here, including proximity to Nottingham, propels both economic growth and demographic pressures. Crucially, though each new Council will set its own housing strategies, both will operate within the broader coherence of EMCCA's Spatial Development Strategy (SDS), ensuring that new homes are developed with a shared commitment to sustainability, inclusivity, and environmental stewardship.

#### **Our Shared Vision for the Future**

We want to ensure that the housing offer across Derbyshire, whether in the heart of our rural communities, or within our vibrant towns and cities, meets the needs of all our residents, regardless of age, income or vulnerability.

Whilst safe, secure and affordable housing provides the necessary physical infrastructure there are other factors equally important to create well rounded vibrant communities in which residents can lead fulfilling, healthy and prosperous lives. Having the right accommodation to enable residents to live independently, having access to healthcare services, being able to heat homes, maintain and furnish it, all improve social cohesion for our residents and communities. Housing is a key wider determinant of health, and working at scale offers the opportunity for housing to be more embedded in system thinking and moving the prevention agenda forward by ensuring that by addressing housing issues, the health impacts are reduced. The reorganisation will allow us reshape services, build capacity and expertise, share best practice and experience, streamline housing delivery and place-shaping, prevent service fragmentation, and ensure efficient use of public funds.





Under the new structure, our principles will guide how Derbyshire's two Unitary Councils shape housing provision for all our communities; urban, rural, and everything in between. We will ensure equitable investment that supports both local developments and larger strategic schemes, so no corner of Derbyshire is overlooked. Housing will be affordable, modern, and inclusive, incorporating options for older residents, those needing accessible layouts, and other specialist groups. Crucially, new homes will be planned alongside essential social infrastructure (schools, GP surgeries, and transport links) to foster cohesive, thriving neighbourhoods. We will concentrate on improving existing housing stock through refurbishment, reducing temporary accommodation use, and helping lower energy bills. Fair allocation processes will bring clarity and consistency, while collaborative early working groups will maintain a focus on housing and homelessness from the outset. Finally, strict environmental standards will underpin all developments, encourage green economic growth and ensure every community enjoys safe, high-quality spaces in which to live, work, and play.

#### **Day-One Readiness and Beyond**

On day one of the new Unitary Councils, our foremost goal is to keep housing services running smoothly for residents. We will maintain funding for homelessness and temporary accommodation, unify housing registers to ensure fair allocations, and provide clear communication channels so the public, developers, and partners know how to access support. Additionally, a countywide working group on housing and homelessness will be formed immediately to coordinate and prioritise efforts from the start.

Over time, the new Councils will spearhead large-scale developments to boost affordability, particularly vital in areas where both property prices and private rents are out of reach for many. They will also leverage regional investment in retrofitting older properties, updating insulation, heating, and accessibility, actively incorporating Disabled Facilities Grants (DFG) into their strategic planning to ensure homes are adapted to meet the needs of disabled residents, thereby promoting independent living and improving quality of life. By working closely with health and social care partners, the Councils will ensure that Derbyshire's housing stock keeps pace with changing demographics, enabling older adults and those with complex needs to live with dignity and independence.

We will coordinate regularly with EMCCA to align housing growth with the development of roads, public transport, schools, and GP surgeries. Our commitment to community involvement ensures that local voices remain central to shaping new developments, preserving the distinctive character of market towns and rural villages, while meeting the pressing demand for homes in fast-growing urban areas.



#### Longer term transformation

Our proposal for two new Unitary Councils is an opportunity to elevate the lives of Derbyshire's residents by rethinking how we plan, build, and maintain homes. This reorganisation is not just about local government structures; it is about delivering a bold and transformative vision for housing. By championing equitable investment across regions, aligning efforts with EMCCA, and instituting clear governance from the outset, we aim to make Derbyshire a place where everyone can thrive. Our ambition is that every individual, from the heart of Derby's bustling city centre to the quiet lanes of the High Peak, should feel a sense of belonging, stability, and possibility in their home. This is the future we see for Derbyshire, and we are ready to work together to achieve it.

#### **Transport and highways**

### What does it mean for Communities:

Connecting our communities with better transport. From improved bus services that the EMCCA Mayor will deliver, to smarter planning for new homes and easier commutes, we're building a transport network that works for you.



### Our strengths now...

Our proven expertise in delivering major transport projects and innovative solutions means better, more reliable journeys for you. We manage our roads to the highest standards and work with regional partners to ensure our transport network is strong and future-proof.



### Why two Unitary Councils will deliver further value...

With two Unitary Councils, we can integrate transport planning more effectively with your daily needs. This means better connections for jobs, education, and leisure within our communities, working together with regional plans for a truly joined-up transport network.



Transport and highways are at the heart of our vision for future growth. By creating two new Unitary Councils and working closely with EMCCA, we will ensure every part of Derbyshire, rural or urban, benefits from better connectivity, integrated planning, and sustainable infrastructure. Public transport is in the process of moving from our current local councils to the East Midlands Mayor. This will be completed by the time of the formation of the new unitary councils. The Mayor's ambitions for public transport, combined with the creation of our unitary councils will reinforce Derbyshire's position as a thriving, accessible region for residents, businesses, and visitors alike. This transformation will reinforce Derbyshire's position as a thriving, accessible region for residents, businesses, and visitors alike.

#### **Building on our Strengths**

We already have a strong track record in delivering major projects such as the £161m Transforming Cities Fund and £17m Future Transport Zone. Our collaboration with EMCCA provides a robust framework for future investment, including a five-year pipeline to





strengthen our highways and public transport. We offer substantial expertise in asset management, risk controls, and developing innovative solutions like Demand-Responsive Transport and Mobility as a Service (MaaS).

#### **How Will Two Unitary Councils Add Value?**

By aligning transport, planning, and highways responsibilities in two new authorities, we can streamline decision-making and better serve local needs. This approach secures a more cohesive network, linking communities to employment, education, leisure, and healthcare. Unifying county and city functions in the north and south will also ensure timely planning around new housing and economic developments.

Our goal is to enhance community connectivity by focusing on integrated travel, sustainability, resilience, and inclusive access. By simplifying ticketing, coordinating timetables, and improving rural connectivity, residents can move seamlessly throughout Derbyshire and beyond. Simultaneously, through effective asset management and proactive planning, we will reduce emissions, tackle congestion, and safeguard our infrastructure from extreme weather. Equally important is our commitment to inclusive access: we will prioritise walking and cycling routes, ensure accessibility for individuals with disabilities, and promote concessionary fares so that no one is left behind.

#### **Day One Readiness and Beyond**

On day one, we will maintain safe, high-quality frontline services, covering highways, drainage, street lighting, and structural maintenance, while clearly communicating with staff and residents. Governance and policies will be in place for smooth service delivery, with agreed responsibilities and funding allocations. We will continue our partnership with EMCCA to secure strategic funding, attract further investment, and offer reliable travel options.

Together, our two Unitary Councils will build on Derbyshire's proud heritage of transport innovation, ensuring a future-ready network that is integrated, reliable, sustainable, and accessible. By looking beyond our boundaries and working with regional partners, we will shape a truly joined-up system that benefits every resident, business, and visitor in Derbyshire.

#### Growth

#### What does it mean for Communities:

The creation of two Unitary Councils will ensure that the benefits of economic growth are felt by all residents, addressing deprivation, expanding access to education and employment, and promoting community engagement through local governance.



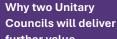
#### Our strengths now...

Derbyshire boasts a strong industrial heritage, particularly in manufacturing (double the national rate), with a low unemployment rate of 3%. It is home to over 29,700 businesses, including global leaders in high-value industries like rail, automotive, aerospace, and aggregates, alongside a growing tourism sector.



### Why two Unitary further value...

Two Unitary Councils will provide the necessary scale and strategic approach to maximise growth opportunities, align with the EMCCA strategic ambitions, and streamline governance, planning, and service delivery to overcome existing barriers to productivity and investment.





Derbyshire has always had a strong industrial base. Having been a core part of the industrial revolution, Derbyshire has retained those strengths and skills and continues to have a strong reputation for innovation. Indeed, the county is home to over 29,700 businesses (rising to an estimated total of 29,890 in 2024) that collectively employ around 293,000 people.

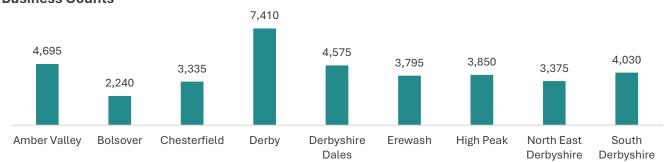
With a well-established and a growing base of high value industries in the south (including Rolls Royce, Toyota, Alstom and Vaillant), and with major aggregate industries in the north (including Tarmac, Breedon Group and SigmaRoc), together with a significant and growing tourist industry in High Peak and the Derbyshire Dales. Crucially, manufacturing remains a key sector in employment terms, accounting for nearly a fifth of all jobs in Derbyshire, more than double the national rate. The county's unemployment rate stands at 3%, markedly lower than the rate for England overall, making it uniquely placed to play a pivotal role in driving growth both in the East Midlands and in the UK more widely.

#### Number of people employed in the top three sectors

Derbyshire's largest sectors businesses	
1: Manufacturing	49,500
2: Health	39,000
3: Accommodation & food	26,000



#### **Business Counts**



#### Top 5 Sector by GVA (£m)





Despite these assets and strengths, there is untapped potential across the county and the opportunity to drive further growth. Change is needed to overcome persistent barriers such as low levels of productivity, skills, inward investment and earnings, together with high levels of economic inactivity and deprivation. Now is the right time to reorganise Derbyshire, creating and aligning the delivery 'building blocks' to support and enhance the strategic growth ambitions of the recently established EMCCA. As envisaged in the Devolution White Paper, forming two sustainable and resilient Unitary Councils will underpin and complement the strategic role of EMCCA, creating an infrastructure to drive economic growth and the foundations for further devolution, underpinned by technology and AI.

As set out below, in each of the two areas covered by the two new Unitary Councils there are distinct characteristics that align strongly to the pillars and priority sectors set out in the National Industrial Strategy, in EMCCA's Local Growth Plan and to the ambitions of EMCCA's Inclusive Growth Framework. The creation of two new Unitary Councils will provide the scale and strategic approach required to maximise the growth opportunities and potential of each area..

#### Innovation and growth in the south of Derbyshire

The City of Derby has a strong and growing economic centre in the south of Derbyshire, with a long manufacturing history and a strong base in rail, automotive, nuclear and aerospace engineering. Rolls-Royce's plans for nuclear small modular reactors (SMRs) are some of the most ambitious and transformative in the UK's energy landscape. Rolls Royce recently won a £2.5 billion UK Government contract to build three SMRs in the UK and it has also been selected to build six SMRs for the Czech Republic. This is a rapidly growing market, and the company estimates that 400 SMRs will be needed globally by 2050, creating a trillion-dollar market. Rolls-Royce's Jubilee House facility in Pride Park, Derby, is the epicentre of SMR research and development and these contracts have and will secure hundreds of jobs in Derby and southern Derbyshire, confirming the city's place as a nuclear innovation hub. This success builds on the recent Ministry of Defence Unity contract with Rolls-Royce, worth c. £9bn, which will drive further growth in the defence sector across southern Derbyshire and wider region.

Toyota's production facility at Burnaston, in South Derbyshire, is the cornerstone of its UK operations and the company has ambitious plans to keep it at the forefront of automotive innovation. The Burnaston plant is one of Toyota's principal European production centres, producing the Corolla hatchback and Touring Sports hybrid models and was the first European plant to mass-produce hybrid vehicles. It continues to lead the development of Toyota's dual hybrid technology and has ambitious plans to be the first factory in the world to be carbon neutral.

Maximising the opportunities for growth and innovation from the presence of these global firms, as well as the buoyant rail sector anchored by Great British Railways' (GBR) new Derby headquarters, and the expansion of Rail Campus Derby, relies on a strong supply chain and the ability to foster SME creation and growth. The new Unitary Council will provide the scale and strategic relationships needed to support all components of the system to grow in these key Industrial Strategy sectors of clean energy, defence and advanced manufacturing.



The new Unitary Council will also lead the place making that is critical to securing and retaining the investment and talent needed to drive growth. It will build on the recent steps taken to strengthen the offer in the city and surrounding towns, driving greater footfall and spend to make sure the benefits of industrial growth are felt by communities across southern Derbyshire.

#### Innovation and growth in the north of Derbyshire

The north of Derbyshire serves the key economic centres of Sheffield to the northeast and Manchester to the west, as well as supporting growth in the southern Unitary Council area, particularly through supply chains to the Industrial Strategy sectors identified above. With the right partnership arrangements with these two cities and close working with the southern Unitary Council, the Northern Unitary Council will support and benefit from these economic growth centres whilst simultaneously, driving growth within northern Derbyshire in its own right.

The M1 motorway forms a "growth corridor" through Derbyshire, particularly around junctions 28-30, supporting economic activity in areas like Pinxton, South Normanton, and Barlborough. This corridor connects to major cities like Nottingham, Derby, and Sheffield, and major industrial parks such as Markham Vale, Cotes Park, Barlborough Links and Castlewood Business Park. In doing so, the infrastructure knits together 6 of the 7 Growth Strategy Areas set out by the Mayor in EMCCA's Vision for Growth. The northern Unitary Council will seek to maximise the opportunities this connectivity brings, supporting the development of key sites and ensuring that local community's benefit from growth in line with EMCCA's new Inclusive Growth Framework.

One of the most important industries in the north of Derbyshire is the aggregates industry with 40% of all UK cement and lime being manufactured in the Peak District. This industry is a critical foundational sector underpinning growth across all Industrial Strategy sectors as well as wider Government priorities such as delivering 1.5m more homes. The sector has big plans, with some of the Peak District's biggest industrial employers creating a world-first partnership project to slash their greenhouse gas emissions and ensure a sustainable future for Derbyshire and the sector. They have joined together with the Lostock Sustainable Energy Plant in Northwich, Cheshire, to create the Peak Cluster, which will capture more than 3million tonnes of carbon dioxide  $(CO_2)$  emissions a year (equivalent to taking 1.2m cars off the road) and then transport the gas via a network of underground pipelines to be locked away in the rock bed beneath the Irish Sea.

Tarmac's Tunstead facility (near Buxton) is a powerhouse of industrial innovation and strategic growth. It's not just one of the largest cement plants in Europe; it's a hub where tradition meets cutting-edge technology and sustainability. For example, the Tunstead facility has upgraded its rail infrastructure to reduce road traffic and carbon emissions, aligning with Tarmac's goal to cut  ${\rm CO}_2$ .

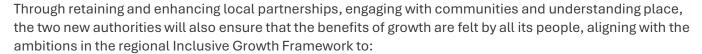


The tourism sector is identified as a high impact sector in EMCCA's Local Growth Plan. In Derbyshire and the Peak District, the visitor economy is now worth £2.3 billion, with a 7.4% year-on-year growth [1]. The new Unitary Council will be well placed to drive growth in this sector, engaging with partners to support the existing Peak District & Derbyshire Sustainable Tourism Action Plan, which emphasises low-impact travel, green accommodation, and nature-based experiences, as well as the emerging regional Destination Management Plan. It will build on activities such as those already delivering growth, for example in the Derbyshire Dales where artisan producers, farm shops, and gastro pubs are promoted as part of a "Taste of Derbyshire" campaign.

#### How our proposal will support and drive growth in Derbyshire

The establishment of sustainable Unitary Councils in the north and south of Derbyshire will transform the ability of local government in the area to remove barriers that are presently stifling growth. The new Unitary Councils will be of the right size and scale to collaborate with EMCCA, other key regional partners and national bodies. In doing so, the new structures will directly support all six enablers of growth identified in EMCCA's Local Growth Plan:

- · Clean energy and climate-resilient infrastructure
- · Innovation and the knowledge economy
- Housing and Place
- · Workforce, education and skills
- · Connectivity, Transport and Digital
- · Business partnerships



- enable growth and opportunity
- · deliver green growth and a better environment
- develop skills and good work
- enable better connected communities (including transport and digital infrastructure)
- support homes and places that enable a good life
- · improve health, wellbeing and belonging





Specifically, the creation of the two new Unitary Councils will support these ambitions by:

- Targeting investment in areas of deprivation and low social mobility.
- Expanding access to education, training, and employment, particularly for young people, rural communities, and underrepresented groups.
- Supporting health equity through integrated care partnerships and place-based public health initiatives.
- Promoting community engagement and neighbourhood empowerment through local governance structures and participatory planning.

These new Unitary Councils will deliver a streamlined and more agile structure, crucial for effective devolution. By replacing ten local authorities with two, we achieve clearer delineation of responsibilities and significantly streamline decision-making processes for EMCCA and the Mayor. This enhanced agility will enable quicker responses to regional priorities and more efficient coordination with EMCCA's funding cycles, promoting faster investment in vital areas. This approach ensures that Derbyshire's voice is heard effectively on a regional and national scale, providing the robust framework for delivery within current and future devolution arrangements.

The changes will also result in single points of contact for planning, licensing, and support services, reducing confusion and delays caused by overlapping responsibilities in two-tier systems and directly supporting the Industrial Strategy objectives to reduce regulatory burdens and remove planning barriers. Similarly, single planning functions will be more able to enact the changes set out in the National Planning Policy Framework, including the development of single local plans that take account of the requirements of the northern and southern areas and remove any conflicts or mismatches there might have been between district or borough Council's Local Plans. As set out in more detail below, the two Unitary Councils will also be able to drive investment infrastructure across the region in those areas that are critical to support growth including housing, infrastructure, transport, skills and digital connectivity, underpinned by technology and AI.

The proposals also drive growth by providing a clearer and more streamlined approach to working with private, community and voluntary sectors. The businesses that will be created, attracted and supported to grow, will benefit from having a single organisation covering key services and place-making with which to engage, rather than the current duplication and confusion that can hinder the relationship building that is needed to foster growth. The same is true of the critical partnerships with further and higher education, with the university and colleges able to engage directly with a single body in each area, building on the already strong collaborations in place.



#### How the two new Unitary Councils will work with EMCCA

The new Councils will be empowered to develop and deliver locally tailored regeneration and growth strategies, while working in partnership with EMCCA to ensure regional coherence. They will provide clear leadership and accountability, making it easier to coordinate with EMCCA's mayoral office and regional partners, as well as enabling place-based strategies tailored to the distinct needs of northern and southern Derbyshire, urban regeneration in Chesterfield against rural innovation in Derbyshire Dales, for example.

Under a developing devolution framework, the two new Unitary Councils will be required to develop new local plans that will be underpinned by the region's new Spatial Development Strategy (SDS).

Working alongside EMCCA, the SDS will define strategic land use and development policies and help unify planning across the newly merged areas. It will offer a framework for cross-boundary collaboration which will be translated by each Unitary Council into new local plans to guide future housing distribution, infrastructure delivery, environmental priorities, and economic growth within their defined geographies. Two new Unitary Councils will be much better placed to join up policies under this framework and to drive sustainable economic growth than the current arrangements. They will also be better positioned to collaborate with EMCCA to secure and implement funding and national grants, with unified economic growth strategies that align with regional priorities.

This alignment will ensure Derbyshire contributes to, and benefits from, coordinated regional investment, infrastructure delivery, and sectoral development. The Unitary Councils will also embed EMCCA's spatial priorities into their local planning frameworks, enabling joined-up delivery across boundaries.

To ensure clarity and efficiency, our proposal sets out distinct roles for EMCCA and the new Unitary Councils:

Function	EMCCA Role	Unitary Council Role
Strategic Growth	Regional strategy, spatial vision, funding allocation	Local delivery, place-based regeneration, planning
Transport	Regional network planning, major infrastructure	Local schemes, active travel, highways
Skills	Regional skills strategy, funding	Local education partnerships, workforce development
Housing	Strategic housing targets, funding	Local plans, delivery of housing and infrastructure
Business Support	Inward investment, innovation hubs	Local enterprise support, town centre regeneration
Inward Investment	Regional promotion, sector strategies	Local site development, investor engagement



For each of the roles specified above, the creation of two new Unitary Councils will reduce duplication, improve collaboration with partners, provide scale and strategic capability, all without losing the critical links to local places and people, to inform better policy making and service delivery.

#### **EMCCAs 7 Growth Strategies**

Through the implementation of 7 growth strategies EMCCA is looking to deliver 52,000 new homes, 100,000 new jobs and £4.6bn uplift in operational GVA per annum. Our proposal to establish two similarly sized Unitary Councils is well placed to support EMCCA with these goals. Specifically, Derbyshire Unitary Councils can support on 4 of the 7 strategies:

#### **The Trent Arc**

The Trent Arc is the greatest transformational opportunity in the East Midlands, creating the potential for 30,000 new homes, more than 40,000 full-time jobs, a £2.4bn boost to the regional economy and 2.7 million square feet of commercial space. The southern Unitary Council will play a leading role in facilitating the delivery of an urban network linking Derby and Nottingham, supporting investment in economic growth in Derby City centre, Infinity Park Derby and the redevelopment of substantial residential areas at Infinity Garden Village, Derby Riverside, Northern Gateway and Derby station as well as local implementation of the network throughout southern Derbyshire.

#### **Canal Corridor**

The Canal Corridor spans Chesterfield, Worksop and Retford as well as connecting into Rotherham (South Yorkshire) and Gainsborough (Lincolnshire). The northern Unitary Council will support the towns within the boundaries of the corridor to diversify their economies with a particular focus on improving transport and digital connectivity. Through this strategy 3,900 new homes, 7,800 new jobs and £473million uplift in GVA per annum could be delivered just in Chesterfield and Staveley.

#### **Derwent Valley Mills**

Derwent Valley Mills is a UNESCO World Heritage site that tells the story of the Industrial Revolution with preserved mill sites, museums and historic walks along the scenic River Derwent, stretching from Cromford in the north to Derby in the south. The role of both Unitary Councils will be to collaborate and support the delivery of new homes as well as creating the conditions to attract artists, makers, creatives and knowledge led businesses, whilst continuing to promote the visitor attractions.





#### **Peaks and Dales**

The Peak District and Derbyshire Dales are renowned for stunning landscapes, from rolling hills and limestone dales to picturesque villages like Bakewell, but these rural communities also need housing for local people.

The northern Unitary Council will work with developers to offer housing that's delivered in a way that addresses affordability issues related to second homes and holiday lets, whilst ensuring that protection policies are in place for sensitive sites and locations, restricting development where appropriate. In doing so, the northern Unitary Council will need to work in harness with the Peak District National Park Authority.

The northern Unitary Council will also create the conditions to deliver faster broadband and improved telecoms infrastructure that will assist locations within the 'Peaks and Dales' to diversify their economies, attracting new knowledge and digital businesses that can work remotely and stimulate local economies on a more sustainable and less seasonal basis.

Additionally, the two Unitary Councils will be better placed to support EMCCA's other objectives including:

#### **East Midlands Investment Zone (EMIZ)**

The East Midlands Investment Zone (EMIZ) will transform key sites within Chesterfield and Derby through the availability of targeted tax incentives and creating the conditions for robust public-private collaboration. The two new Unitary Councils will work with landowners, universities and business clusters to bring forward development of Hartington, Staveley and Infinity Park Derby to support growth in advanced manufacturing with a focus to develop rail, nuclear and green manufacturing technologies through appropriate investment in infrastructure, sustainable transport, skills and innovation.

#### Matching skills to economic need

Moving to two Unitary Councils will simplify how Higher and Further Education institutions such as Derby University and Chesterfield and Derby Colleges work with Councils and industry to design bespoke skills pathways aligned to local growth sectors. The new Councils will be ideally placed to implement the principles behind the Opportunity Escalator approach set out in the report of the regional Inclusive Growth Commission, using their knowledge and analysis of local communities to shape the pathways for skills progression. In particular, the Unitary Councils will coordinate training and lifelong learning delivery with providers, promoting free courses for specific skills and employment pathways and other funded programmes, ensuring that training is accessible to all in both urban and rural communities.





#### Case study

#### **DRIIVe Rail Innovation Centre**

Construction has commenced on a modern rail innovation and training facility in Barrow Hill, Chesterfield, adjacent to the historic Roundhouse. Part of the Staveley Town Deal programme, the Derbyshire Rail Industry Innovation Vehicle (DRIIVe) will house research, development, and commercial workshop space, alongside classrooms and training areas. Funded by Chesterfield Borough Council and the Government (through the Town deal), this hub will partner with leading education providers to offer rail-related education from Level 2 to postgraduate (Level 7). DRIIVe amplifies Chesterfield's reputation for rail technology, conducive to the development of new supply chain businesses and creating skilled jobs that strengthen the local economy.

#### Creating a net-zero economy with a resilient energy supply

EMCCA have positioned clean energy innovation and generation as key drivers of economic growth across the region. The two new Unitary Councils will have the size and capacity to work with EMCCA to leverage existing infrastructure and expertise to attract new energy intensive industries to the county area. Likewise, to support existing clean energy industries to grow, and new ones to establish, whilst ensuring these new industries are developed in a way that support and enhances local areas and communities.

Derbyshire is already leading the way with ambitious projects. For example:

Toyota is making bold moves toward sustainability at its Burnaston plant near Derby, launching its first-ever Toyota Circular Factory (TCF), a global first for the company. The TCF is designed to systematically process end-of-life vehicles to maximize environmental benefits; in its first phase, starting later this year, the Burnaston facility will handle 10,000 vehicles annually, recover 120,000 reusable parts and extract 300 tonnes of high-purity plastic and 8,200 tonnes of steel. Toyota is targeting achieving net zero for the factory by 2030.

The streamlined governance model of two new Unitary Councils will be able to directly support Toyota's Circular Factory initiative and broader net zero goals. The Councils will be able to align waste collection and recycling services across the region to ensure consistent supply chains for reusable materials and simplify licensing and regulation for dismantling, remanufacturing, and transport of vehicle components. The Councils could also use devolved powers to fund apprenticeships and retraining programme for workers from high-carbon sectors and work with local education partners to establish, for example, a Green Skills Academy in south Derbyshire, with pathways into Toyota's operations.



### Building new and coherent transport links for better and more sustainable access to our economic hubs

The new Unitary Councils will work in partnership with EMCCA to create a coordinated transport approach across the East Midlands area ensuring streamlined long-term transport planning and investment is in place. EMCCA and the two Unitary Councils will develop a strong pipeline of transport projects, create strong local delivery teams with consistent standards for asset management and programme delivery and a strong commitment to environmental and social value in every local transport initiative. EMCCA has recently secured millions of pounds in investment to implement its ambitions for the Trent Arc (see earlier section) which covers Derby station, the A38 and tram services into Nottingham.

#### Case study

#### Derby's Mobility Programme

Derby's Mobility Programme invested around £80m in over 20 projects to improve sustainable travel, enhance infrastructure and drive low-carbon journeys. The initiative, managed jointly by Derby and Nottingham, works under a 'live lab' ethos that encourages innovation, resulting in tangible outcomes such as new EV charging points, enhanced pedestrian and cycling routes, upgraded bus stops and junctions, and trialling cutting-edge approaches like a prototype Mobility as a Service platform. Although not all projects proved commercially viable, the successes include better public realm, green spaces, and notable increases in active travel options for residents, making it a showcase for modern mobility solutions.

#### **Governance**

To ensure good governance in relation to Derbyshire's growth position, the following structures are proposed at the two new Unitary Councils:

- Growth and Regeneration Boards: to oversee strategic planning, investment, and delivery.
- Joint Investment Frameworks: with EMCCA, universities, colleges and private sector partners.
- Member representation: on EMCCA's Business Advisory Board, Skills and Employment Committee, Transport and Infrastructure Committee, Investment, Finance and Audit Committee, etc.
- Senior Leadership Team Monitoring and Evaluation Dashboards: To track progress against GVA, employment, housing, and inclusion metrics.



Where the new Councils are members of wider partnerships, the proposed streamlining to two Unitary Councils will significantly enhance the effectiveness of regional policy and decision-making, removing the current duplication and confusion as to which council can speak and provide services for the communities in each area.

#### **Embedding Climate Action into Derbyshire's Growth**

### What does it mean for Communities:

Addressing climate change safeguards community health and prosperity by reducing carbon emissions, protecting against flood risks, and fostering greener spaces through initiatives like rewilding and sustainable grassland management.



### Our strengths now...

Derbyshire is actively transitioning to greener technologies, with Derby emerging as a global hub for nuclear innovation through Rolls-Royce's SMR plans. The county also demonstrates strong local climate initiatives, such as strategic flood management and significant reforestation efforts, supported by collaborative officer-led groups.



#### Why two Unitary Councils will deliver further value...

Two Unitary Councils will enable more effective coordination of climate actions, streamlining operations, property portfolios, and procurement to reduce the carbon footprint. This unified approach will strengthen Derbyshire's voice in shaping EMCCA's clean growth agenda, secure funding, and ensure consistent, place-based planning for climate resilience across the county.

Climate change poses both urgent challenges and transformative opportunities for Derbyshire's future. Although carbon emissions per capita in the county have fallen from their 2010 levels, Derbyshire's 2022 rate of 6.2 metric tonnes per capita remains markedly higher than the England average of 4.4. Addressing this issue is not simply about meeting national targets; it is about safeguarding the health and prosperity of our communities, businesses, and natural landscapes.

The transition to two Unitary Councils in Derbyshire presents a significant opportunity to reduce the region's carbon footprint by collaborating with EMCCA and improving operational efficiencies. By consolidating administrative functions, property portfolios, and service delivery across the new unitary areas, there is potential to streamline operations, reduce the number of council-owned buildings, and optimise energy consumption. This consolidation can lead to a decrease in heating, lighting, and maintenance requirements for fewer, more efficient facilities. Furthermore, a unified approach to procurement and fleet management can facilitate the adoption of greener technologies, such as electric vehicles and renewable energy sources for Council operations, thereby directly contributing to lower greenhouse gas emissions and a more sustainable public sector.



Derby is emerging as a global hub for nuclear innovation, largely due to Rolls-Royce's ambitious Small Modular Reactor (SMR) initiatives. With significant government contracts and a projected trillion-dollar global market for SMRs by 2050, these developments are set to create hundreds of jobs and solidify Derby's leadership in this sector. This commitment to advanced nuclear technology, alongside other clean energy initiatives, underscores Derbyshire's proactive stance in transitioning to greener technologies. For more details on these developments, please refer to the "Innovation and growth in the south of Derbyshire" section.

EMCCA with support from the City and District/Borough Councils is actively positioning itself as a national leader in the future fuels space. With a strong focus on hydrogen, fusion, and advanced nuclear technologies and clean energy, directly contribute to EMCCA's future fuels agenda, fostering a synergistic approach to sustainable energy development across the region.

Moving to two Unitary Councils will also enable Derbyshire to coordinate climate actions more effectively, uniting planning, highways, waste, and environmental management under single authorities in the north and south. By consolidating responsibilities, Derbyshire gains a clearer voice to shape EMCCA's clean growth agenda, align regional planning, secure funding, and ensure consistent, place-based planning across the county. This streamlined governance makes it easier to adopt ambitious policies, extend existing local climate initiatives, and strengthen Derbyshire's resilience to extreme weather.

One such instance is flood protection. The county's varied landscapes, from former coalfields to urban centres along the River Derwent, face a spectrum of flood risks. Projects like Derby's Our City, Our River (OCOR), which secured £35m in Grant-in-Aid, show how strategic flood management protects communities while creating new public amenities. Under a two-Unitary model, these flood defence schemes, and others like them, can be planned and delivered in a more unified, streamlined way, embedding resilience in major infrastructural developments county-wide.

#### Case study North

#### Strategic Grassland Management in Chesterfield



Chesterfield's grassland management policy offers a clear, transparent framework for preserving local ecosystems, tackling climate pressures, and ensuring value for money. It outlines consistent mowing regimes and invites community involvement in conservation. With a Unitary Council, such strategies can be replicated more swiftly and consistently across wider areas, boosting biodiversity, reducing carbon, and engaging communities in meaningful stewardship of public spaces.



#### Case study South

#### Transformative Partnerships for Green Spaces

In South Derbyshire, partnerships with the

National Forest Company have regenerated thousands of hectares of degraded land, planted 9.8 million trees and raising tree cover from 6% to 22%. As a single tier of governance, the new Unitary Councils will be able to forge and expand such partnerships more effectively, aligning reforestation and nature recovery projects with broader environmental goals. Similar transformation is underway in Derby with the Allestree Park Community Rewilding project, the largest urban rewilding scheme in Britain, which has revitalised over 130 hectares of parkland through local collaboration and volunteer support.



#### Case study

#### **Environmental Sustainability Collaboration**

Derbyshire is part of a powerful coalition delivering environmental sustainability and climate action with tangible results. This unified climate leadership sets a benchmark for effective local government action on climate change.

Officer-led groups like the D2 Energy Action Group and the Derbyshire Climate Change Officers Group, formed in 2020 and 2021, focus on zero and low carbon initiatives, local area energy planning, domestic retrofit, and county-wide climate strategies, such as the Vision Derbyshire Climate Change Strategy 2022–2025.

These groups have merged into the D2 Environmental Sustainability Group (D2 ESG), aligning with the EMCCA Green Growth Action and Advisory Group for consistent representation, streamlined communication, and efficient use of resources.

This consolidated approach coordinates key workstreams like energy efficiency, clean energy deployment, green economy support, and climate resilience county-wide, enhancing Derbyshire's ability to secure funding, innovate, and share best practices. The EMCCA Biodiversity Task Force complements these efforts by uniting regional ambitions for nature recovery, green and blue infrastructure, and flood management.





#### Case study

#### Local Nature Reserve Erewash

Pewit Coronation Meadows has become the 12th Local

Nature Reserve in Erewash. This newly established 25-acre site in Ilkeston was created with Government funding, and its long-term management and maintenance—spanning 30 years—has been fully financed through contributions linked to the redevelopment of the former Stanton Ironworks.

The reserve features a diverse mix of habitats, including newly planted woodland, fruit trees, grasslands, and areas of "open mosaic habitat creation." A network of footpaths and trails connects Pewit Coronation Meadows to nearby green spaces, including the Nutbrook Trail, Straws Bridge, Manor Floods, and Pewit Carr Local Nature Reserves, enhancing accessibility to the wider network.

#### Waste

### What does it mean for Communities:

We are committed to providing reliable, efficient and effective waste collection and disposal services across Derbyshire. Our efforts will support local jobs, foster community pride, and help to safeguard the environment.



### Our strengths now...

Collaborative efforts across
Derbyshire, including the Derbyshire
Waste Partnership and the Joint
Working Group for Waste, ensure
strong inter-Council cooperation.
The mix of in-house, outsourced,
and Teckal company services
provides valuable insights for future
service alignment.



#### Why two Unitary Councils will deliver further value...

The formation of Unitary Councils will streamline waste management by combining collection and disposal functions, enhancing coordination and efficiency. This approach will simplify waste collection for residents, unify recycling efforts, and leverage greater purchasing power for costeffective procurement. Additionally, it will optimise waste collection routes and improve infrastructure planning.



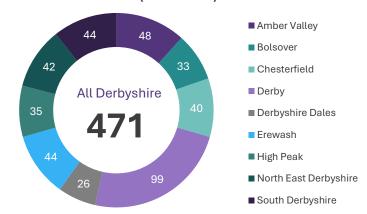
#### **Context**

Waste collection services in Derbyshire are provided by Derby City Council and each district and borough Council, either through private contractors, joint venture partnerships or by the Councils' own teams. Each Council is responsible for planning, provision, management, and funding of these services based on local priorities. The Waste Disposal Authorities are Derbyshire County Council and Derby City Council, responsible for procuring, management, and funding of waste disposal contracts.



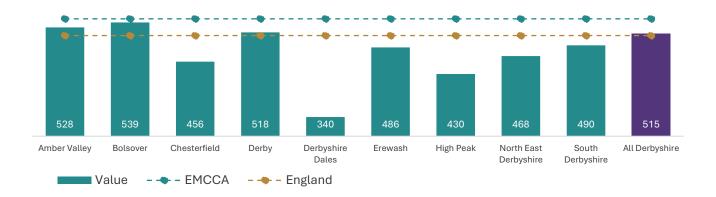
Across Derbyshire, our authorities collectively collect around 471,000 tonnes of household waste per year. Within this total, individual areas show both similarities and important differences. For instance, Derby contributes 99,200 tonnes, while Derbyshire Dales produces 25,700 tonnes, reflecting its smaller population and rural character.

#### Total household waste (Kilo Tonnes) 2023/24



Likewise, the amount of unrecycled household waste per household highlights the varied picture across the county. Overall, Derbyshire produces 515 kg per household of residual waste which is below the EMCCA average of 546 kg. Within Derbyshire there is significant variation, which underscores the differing demographics, settlement patterns in Derbyshire and the opportunities to learn from best practice within the county.

#### Unrecycled household waste per household (kg) 2023/24



#### **Building on our strengths**

The Derbyshire Waste Partnership (DWP) and the Joint Working Group for Waste, with representatives from each of the 10 Councils, have been instrumental in developing and implementing the Derbyshire and Derby City Joint Municipal Waste Management Strategy (DJMWMS). This strategy, titled "Dealing with Derbyshire's Waste," outlines a sustainable waste management framework until 2026, aiming to reduce waste production, maximize reuse, recycling, and composting, and find sustainable solutions for residual waste. Derbyshire County and Derby City already partner as the two disposal authorities with several jointly procured contracts, covering residual waste disposal, transfer stations and HWRCs. The County and City Councils are beginning to engage the market as part of a Pre-Market Engagement exercise to help inform future joined up contracts post 2027 and beyond.



#### **Challenges**

The rural nature of the county, population spread, and the location of a National Park present challenges in terms of delivering efficient waste management services and provision of the supporting infrastructure.

There is a mix of in-house, outsourced, and Teckal company services currently providing collection services across Derbyshire, which will help provide a greater understanding of the benefits and shortcomings of each delivery model when considering future alignment of the service.

#### **Our Shared Vision for the Future**

Our vision for waste services under the new two-Unitary Council model is to deliver consistent, high-quality collection and disposal arrangements across Derbyshire, fostering local employment, community pride, and environmental protection. By adopting a joined-up approach, we will avoid fragmentation, optimise whole-system benefits and costs, achieve economies of scale, and enhance service quality. This will ensure we meet current legislative requirements and are prepared for future national policy changes, creating a cleaner, greener future for all our communities through simpler recycling and efficient, environmentally protective services. We aim to make responsible waste management an integral part of daily life, enhancing our county's beauty and sustainability.

To achieve this vision, our key aims are to maintain local control and presence when managing frontline waste collection services, ensuring a strong community presence and local employment through local depots. We will leverage existing knowledge to develop effective delivery models, considering the diverse landscapes of the county. For residents, we commit to simplicity and consistency, providing uniform, high-quality collection services and clear recycling rules with ongoing education focused on reduce, reuse, and recycle. Efficiency will be driven through collaboration, combining waste services across Unitary Council authorities to build scale, resilience, and shared expertise, while leveraging existing disposal contract alignments. We will ensure effective management and performance by establishing appropriate service models and defining clear performance metrics for continuous improvement. Finally, we will embrace innovation and actively seek new funding opportunities arising from LGR to continually enhance our waste services.

#### **Consolidation Strategy**

The creation of two Unitary Councils will enable the establishment of a unified and flexible waste management system, benefiting both residents and businesses through enhanced value for money and reduced fragmentation. This model allows for harmonised services, such as consistent recycling schemes with standardised bin colours and labels, implemented through joint working groups and phased rollouts with clear communication. Local delivery will remain tailored to specific community needs. By aligning business waste collection services, the Unitary Councils can maximise commercial income and expand successful initiatives across wider areas, fostering clear and simple waste management arrangement for all stakeholders.



Furthermore, the Unitary Council model fosters improved service delivery and significant cost efficiencies. As both collection and disposal authorities, the Councils can adopt a coordinated, whole-system approach, leading to greater influence over disposal sites and streamlined implementation of Government initiatives. This includes evaluating existing contracts and service models to decide on the most suitable in-house or outsourced approaches.

Performance will be rigorously monitored against key indicators such as missed-bin rates, response times, recycling rates, and resident satisfaction. The Unitary Council authorities will also benefit from increased purchasing power for containers, vehicles, and disposal contracts, and can explore joint procurement.

This structure presents opportunities for optimising collection rounds and strategically locating transfer stations and depots, ultimately leading to more efficient and resilient waste management services.

#### **Day One Readiness and Beyond**

On day one, our aim is to ensure a seamless start for our communities by delivering essential waste services with local knowledge and efficiency, building on existing strengths and adapting where necessary to lay the groundwork for a sustainable and responsive Derbyshire. This pragmatic transition will prioritise retaining familiar collection days, resolving contract overlaps, and minimising service disruption, with any future expansions of in-house provision or contract renegotiations following a robust roadmap focused on residents' needs. We will ensure operational preparedness by establishing necessary infrastructure, including depots, fleets, IT systems, and Operator's licenses, to maintain service stability and resilience. Concurrently, we will develop a roadmap for future innovation, continuously exploring emerging technologies like route optimisation and real-time collection updates, alongside innovative disposal methods, to enhance environmental outcomes and deliver best value in line with our long-term vision.





#### Case study

Materials Recycling Contracts and Local Suppliers: C3 Waste



Several Waste Collection Authorities (WCAs) in Derbyshire collaborated to procure joint material recycling contracts, notably partnering with a local reprocessing supplier to handle dry recyclables. By regularly reviewing the contract and sharing best practice, Councils involved have reduced contamination rates and retained flexibility to add new materials. This approach supports local businesses, drives efficiency, and delivers consistency of service for residents. The collaboration also anticipates future policy changes such as the Extended Producer Responsibility and Deposit Return Scheme, ensuring authorities remain agile and cost-effective in meeting waste management targets. By integrating waste services under two Unitary Council authorities, Derbyshire can offer a consistent, high-performing, and cost-effective approach that reflects residents' expectations, meets future legislative demands, and builds on community pride.



#### Leisure, Culture and Libraries

### What does it mean for Communities:

Cultural services, including libraries, are a vital part of community infrastructure, fostering well-being, strengthening social bonds, and contributing to local identity and economic health. Residents and visitors will experience a more cohesive and consistent experience.



### Our strengths now...

Derbyshire County Council leads library and cultural strategies, managing all libraries, while District and Borough Councils operate local venues and events. Derby City Council manages libraries and cultural venues within its boundaries, with a strong emphasis on collaboration through regional partnerships.



#### Why two Unitary Councils will deliver further value...

A two-unitary model would integrate service delivery, consolidating fragmented services for joined-up planning and reduced duplication. It would also allow for stronger strategic focus, tailoring cultural strategies to regional identities, and improving funding and investment capacity.

Each of our Councils provide essential Leisure and Culture services that are crucial for fostering wellbeing, strengthening social bonds, and contributing to local identity and economic health. We are committed to ensuring these opportunities are accessible and engaging for everyone, often working in collaboration with various partners to achieve these goals.



#### Leisure

#### Context:

District and Borough Councils are responsible for managing local leisure centres, swimming pools, and recreation grounds, leisure service contracts for trusts and private operators and running community wellbeing programs, such as walking groups, youth sports, and fitness classes.

Derby City Council, in addition to managing leisure centres, swimming pools, parks, and sports programs (through Derby Active, its in-house leisure brand) also oversees major venues like Derby Arena and Queen's Leisure Centre and offers community health and wellbeing initiatives, often in partnership with schools, charities, and private providers.



Derbyshire County Council plays a strategic role e.g. funding and coordination of county-wide leisure and cultural strategies, supporting inclusive access to leisure for vulnerable groups and working with districts and boroughs to align leisure with broader goals like mental health, social care, and education and manages public health and wellbeing initiatives such as physical activity campaigns, healthy lifestyle programs. The County Council also host Active Derbyshire, part of the Active Partners Trust, and a partnership with County Council, District and Borough Councils and Sport England with the strategic goal of increasing physical active across Derbyshire.

#### The benefits of moving to 2 Unitary Councils

Moving to a two-unitary model for leisure services in Derbyshire offers several specific benefits. It would unify the management of leisure assets, currently fragmented across various Councils, allowing for coherent planning, maintenance, and investment within each unitary area. This enables each Council to design services tailored to local demographics and interests, such as youth sports in urban areas or outdoor recreation in rural north Derbyshire.

The model facilitates targeted investment in areas with lower participation or outdated facilities and simplifies the coordination of county-wide events and sports leagues by reducing inter-council bureaucracy. Streamlined booking systems and marketing can boost public engagement, while holistic management of parks, green spaces, and play areas would improve cleanliness, safety, and accessibility.

Furthermore, consolidated data systems would enable smarter use of data for evidence-based investment, foster stronger partnerships with schools and health services to promote active lifestyles, and provide clearer accountability for residents regarding leisure service provision.

The Unitary Councils will also be able to adapt the membership offer, providing access to more leisure facilities across a wider geographical area. Not only will this support an increase in revenue, it will also help in the co-ordination and provision of school swimming programmes and public access to health and wellbeing activities.

Whilst GP Referral Schemes are already operating in most of the Councils in Derbyshire, the unitary model will provide an opportunity to renew priorities and align public leisure and wellbeing services with the health sector. This will enable the Unitary Councils to improve and introduce further early intervention measures, reducing the longer-term pressure and financial strain on the National Health Service (NHS).

Is worth mentioning service delivery models? HPBC have a Teckal arrangement which could be quite easily spread out across Derbyshire North Council?

#### **Day One Vision**

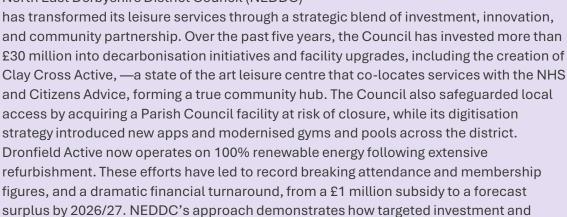
From day one, we aim to ensure that the places residents love to get active, connect, and unwind will continue to be available for them. We envision a smooth transition where our leisure centres and community spaces remain vibrant hubs, offering vital support and enjoyment for all ages. We are dedicated to keeping these services accessible.



#### Case study

#### North East Derbyshire Leisure Services

North East Derbyshire District Council (NEDDC)



collaborative service delivery can create sustainable, inclusive, and commercially



successful leisure offerings.

Leisure and Culture is a vital part of our community infrastructure and disaggregation of Cultural services, such as Libraries, will need to be managed carefully.

Currently Derbyshire County Council leads library and cultural strategies and manages all libraries, and the District and Borough Councils operate local venues, events, support grassroots initiatives, and partner with community organisations. Derby City Council, which is already a Unitary Council manages libraries, cultural venues, and city-wide events within the City's boundary.

Overall, there is a strong emphasis on collaboration and integration among the Councils, facilitated through regional partnerships like Vision Derbyshire and the Derbyshire Cultural Education Partnership. Their shared objectives include promoting inclusive access to culture, supporting creative industries and tourism, and aligning cultural services with broader health, education, and economic development goals.



#### The impact of moving to a 2 Unitary Model:

A two-unitary model for cultural services in Derbyshire offers several significant benefits as follows:

- Integrated service delivery by consolidating currently fragmented services under a single authority per area. This will facilitate joined-up planning across libraries, museums and arts venues, leading to reduced duplication, colocation opportunities and more consistent service standards.
- **Stronger strategic focus** allowing each Unitary Council to tailor its cultural strategy to the distinct identity and needs of its region, such as leveraging Peak District heritage in the north or urban arts in the south. This bespoke approach would better align cultural provision with economic development, tourism, and wellbeing agendas.
- Improved funding and investment, as larger Unitary Councils typically possess greater capacity to attract regional, national, and arts funding, simplifying the coordination of bids to bodies like Arts Council England or EMCCA.
- Community empowerment through more localised governance, enabling responsive cultural programming and allowing libraries and leisure centres to evolve into broader community hubs.
- **Efficiency and value for money by** streamlining management and opportunities to align frontline services there are efficiencies through shared procurement and staffing models.
- **Enhancing impact and reach** there is potential for unified branding and promotion of the county's rich heritage, diverse festivals, attracting more visitors and boosting the local economy.
- **Greater opportunity for asset rationalisation** there is a greater potential, especially in the currently two-tier areas, to collocate services to create both financial efficacies, capital receipts and greater footfall, increasing sustainability. Examples would be Libraries into Leisure Centres, Adult Education Centres into Town Halls, etc.



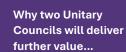
#### **Street Scene**

### What does it mean for Communities:

Residents will experience cleaner, safer, and more beautiful streets and green spaces, with local teams maintaining high standards and fostering community engagement in environmental initiatives.



District, Borough, and City Councils manage street cleaning, litter, grounds maintenance, and graffiti removal with their own operational teams, often collaborating with the County Council on infrastructure and environmental projects.



Two Unitary Councils will reduce resident confusion, streamline reporting, and allow for tailored services to distinct urban and rural needs. This model will also improve coordination, pool assets for efficiency, and enhance environmental management for climate resilience and biodiversity.



The Street scene service provided by district, borough and City Councils include street cleaning, litter management, grounds maintenance for parks, verges, and public gardens, graffiti removal, and fly-tipping response. Each Council has its own operational teams and service standards and often collaborate with the County Council on infrastructure projects and environmental initiatives, such rewilding and biodiversity matters. The County Council also currently contract some of the districts and boroughs to deliver services they are responsible for around highways and County Council owned land, such as verge mowing, footpath clearance, and gulley emptying.





#### The benefits of moving to 2 Unitary Councils

The transition to two Unitary Councils in Derbyshire is expected to significantly benefit street scene management. This will reduce resident confusion regarding which Council is responsible for specific street scene issues, thereby streamlining reporting and response.

The model aims to preserve local identity and responsiveness, enabling each Unitary Council to tailor street scene services to the distinct needs of its urban and rural areas. Furthermore, it is anticipated to improve coordination of street scene services with other local functions, leading to more coherent and effective delivery, and contributing to enhanced community engagement and satisfaction with public spaces. In addition, the removal of district, borough and City boundaries and the pooling of assets and resources, such as systems, vehicles, and staff teams, will improve efficiency, help build resilience within the service and across the new unitary areas.

Environmentally, there's a growing need to manage green spaces and drainage systems for climate resilience, biodiversity and to meet carbon reduction targets by adopting sustainable practices. The formation of Unitary Councils will streamline communication and decision-making channels, providing greater consistency and focus on key environmental matters.

Having a single point of contact will simplify and encourage greater community engagement to help build on initiatives such as community managed rewilding of verges and open space. In the last few years Derbyshire Dales District Council has worked with several community groups to rewild over eighty verges and open spaces to support the increase of biodiversity in the district.

#### **Day One Vision**

On day one, we will keep our streets and green spaces clean, safe, and beautiful, ensuring a seamless experience for every resident. Our local teams, working from the heart of our communities, will continue to care for the places we all value maintaining the high standards that residents expect. Ensuring continuity, local pride, and building a foundation for even greater excellence in the future of Derbyshire's street scene.



#### **Community Safety**

### What does it mean for Communities:

Resident safety will be a top priority, with seamless continuation of vital services and active promotion of green spaces for mental health and overall well-being.



### Our strengths now...

Community safety is managed through a comprehensive, multiagency partnership across the entire county, involving various councils and emergency/public services to reduce crime and anti-social behaviour.



### Why two Unitary Councils will deliver further value...

A two-unitary model will establish clearer accountability and faster decision-making, providing a consistent approach to community safety matters and fostering stronger multi-agency collaboration. It will also enable more efficient resource use, tailored local strategies, and a coordinated approach to promoting mental health through green spaces.



Community safety in Derbyshire is managed through a comprehensive, multi-agency partnership that spans the entire county. This collaborative model brings together Derbyshire County Council, Derby City Council, and the eight district and borough Councils, working in conjunction with key emergency and public services. These include Derbyshire Constabulary, Derbyshire Fire and Rescue Service, the National Probation Service, and the Derby and Derbyshire Integrated Care Board, all of whom contribute to a unified approach to ensuring the safety and well-being of residents across the region.

Together, these bodies form a network of Community Safety Partnerships (CSPs) and strategic boards that coordinate efforts to reduce crime, anti-social behaviour, and threats to public wellbeing.

Beyond traditional safety measures, this multi-agency approach also recognises the profound impact of accessible green spaces and recreational opportunities on overall community well-being, including mental health. Our partnerships actively promote and support initiatives that leverage Derbyshire's diverse natural assets, including local parks, accessible tourism opportunities, and the many beautiful areas outside the Peak District National Park. These spaces provide vital opportunities for physical activity, relaxation, social interaction, and connection with nature, all of which are proven to significantly enhance mental health and resilience for residents across the county.



#### The benefits of moving to 2 Unitary Councils

Moving to a two-unitary model for community safety in Derbyshire offers significant benefits by establishing clearer accountability and faster decision-making, replacing the current fragmented system across ten councils with single points of responsibility. It will provide a consistent approach to managing, communicating, and implementing community safety matters, initiatives and legislation, such Martyin's Law, the Prevent agenda, safeguarding and other key related issues etc.

This structure would foster stronger multi-agency collaboration with police, fire, health, and probation services, enabling more consistent engagement and alignment of strategies for county-wide initiatives like the Serious Violence Strategy. Improved data sharing and intelligence through consolidated systems and would allow for better identification of crime trends and support targeted interventions.

The model also promises more efficient use of resources by enabling shared training and technology, thereby freeing funds for frontline services. Each Unitary Council could develop tailored local strategies (e.g., urban Derby vs. rural High Peak) reflecting unique community needs, aligning more effectively with national policies such as Serious Violence Duty, and enhancing public confidence through simplified reporting and clearer service responsibilities. Crucially, this streamlined structure will also enable a more coordinated and impactful approach to promoting mental health and well-being through the strategic development and promotion of our green spaces, parks, and tourism assets, ensuring these benefits are accessible to all residents.

#### **Day One Vision**

From day one, resident safety is our top priority. We will strive for a seamless continuation of vital community safety services, working together with our trusted partners to keep our neighbourhoods secure and peaceful. We will listen to local needs, maintain the strong relationships that protect us, and endeavour to ensure that every resident in Derbyshire feels safe and supported from the very start of our new journey. This includes actively promoting and facilitating access to our natural environment and recreational opportunities, recognising their essential role in supporting the mental health and overall quality of life for everyone in Derbyshire.



#### Case study

### Chesterfield – Nighttime Economy and Community Safety Partnerships



Chesterfield sought to enhance both its daytime and evening economies by prioritising civic safety and public perception. Collaboration with youth services like Chesterfield Community Trust has led to educational and diversionary efforts, while partnership working ensures visible preventative measures such as improved lighting and intelligence sharing. The introduction of a vulnerable person's hub and the Best Bar None initiative also supports safety in nightlife venues. Consequently, the Council has seen reductions in antisocial behaviour and night-time crimes, underlining a robust, multi-agency commitment to building a secure town centre.

#### **Enabling Services**

### What does it mean for Communities:



The consolidation and optimisation of back-office functions, such as Digital, HR, and Finance, will ensure a more efficient and responsive service for citizens and improved value for taxpayers.

### Our strengths now...



We have strong collaborative capabilities, demonstrated by successful service aggregations like the High Peak and Staffordshire Moorlands alliance, and shared services such as the Chesterfield and Derbyshire Dales Revenues and Benefits partnership. Derby City Council also showcases strong ICT, digital, and Al capabilities, while North East Derbyshire District Council provides excellent hosted ICT services.

#### Why two Unitary Councils will deliver further value...



Merging essential back-office functions will foster a unified Derbyshire identity, scale best practices, and create larger, more resilient teams with enhanced career pathways and specialist expertise. This will also improve consistency, quality, and resilience across administrative processes, with a phased integration over five years, prioritising day-one readiness and migrating to more advanced services.





The success of the new Unitary Councils is reliant upon residents ultimately being at the heart of all services and while front-line improvements will attract a lot of attention, consolidating and optimising back-office functions is equally vital. The consolidation of back-office services represents a significant opportunity to remove duplication, improve efficiency, and reduce costs. These functions ranging from Digital and ICT, to Legal and Democratic Support, Procurement, HR and Audit act as the engine room that enables the new authorities to operate efficiently, transparently, and in a way that truly benefits every community in Derbyshire. Our goal is twofold, at vesting day, we want to ensure continuity and legal compliance and beyond vesting day we want to design modern, proactive, and cost-effective support services that unlock better outcomes for residents and deliver better value for taxpayers' money.

#### **Context**

The back-office services across Derby City Council, the eight district and borough Councils, and Derbyshire County Council are currently structured independently, reflecting their distinct governance and historical development and include common back-office functions across all Councils such as Finance, HR, ICT, Legal, Customer Services and Procurement.



#### **Building on our Strengths**

We are proud of our strong collaborative capabilities, exemplified by successful service aggregation such as the High Peak and Staffordshire Moorlands alliance, which has yielded over £12 million in savings.



Our shared services, like the Chesterfield and Derbyshire Dales Revenues and Benefits partnership, effectively streamline operations. Furthermore, the Derbyshire Building Control Partnership efficiently pools resources across eight Councils to deliver consistent and effective regulatory services.

Since its introduction, Derby City Council's strong best of breed ICT, digital, and sector leading AI capabilities, exemplified through its successful AI assistants and professional co-pilots has streamlined customer service, handled over 2.3 million queries, deflected 58% of calls, radically improved customer responsiveness, increased professional productivity and saved over £12 million per annum. Additionally, North East Derbyshire District Council's hosted ICT service demonstrates excellence by supporting over 1,200 users across multiple organisations, achieving economies of scale, robust cybersecurity, and efficient development through centralised governance, joint procurement, and combined infrastructure.

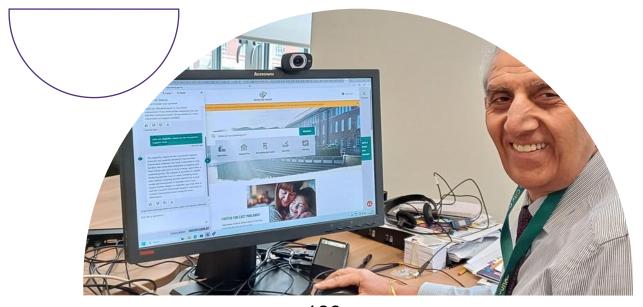


#### **How Two Councils Will Add Further Value?**

As we embark on the formation of our two new Unitary Councils, our primary goal is to merge essential back-office functions, including Finance, Human Resources, Legal services, ICT, Payroll, and Revenues and Benefits. We will foster a unified Derbyshire identity by embedding shared values, policies, and cultures across our new organisations. Our approach will be to assess the digital maturity and modernisation of systems within each service area. Where opportunities exist to enhance efficiency and user experience, we will explore options to scale best practices and align service delivery. This process will be guided by data-driven decision-making, collaborative working, and the adoption of modern technology. The consolidation of back-office staff will enable the creation of larger, merged teams across functions like ICT, HR, Finance, and Legal. This increased scale justifies the employment and retention of specialists in niche areas and provides a clear business case for such expertise. Consolidated teams also offer enhanced career pathways, fostering knowledge sharing and mentorship, while allowing for more efficient allocation of specialist resources and greater investment in training and development, ultimately attracting and retaining high-calibre professionals.

Our consolidation will also lead to improved consistency and quality across all administrative processes, thereby reducing errors and enhancing the customer service experience for both our residents and Council staff. It will also build greater resilience, ensuring we are better equipped to navigate future challenges.

We recognise that the integration of back-office services is a complex undertaking and have anticipated in our budget forecast that it will take up to 5 years to achieve a transformed and optimised state for some of these services. However, we are committed to establishing foundational elements swiftly, particularly for day one readiness. We understand that full integration across critical functions demands a phased and strategic approach and our experience, supported by examples from Councils such as Cumbria and North Yorkshire, demonstrates that the key to successful integration lies in carefully balancing the imperative for speed with the need for stability. Therefore, our strategy will be to identify the Council(s) with the more advanced and efficient services and prioritise migrating legacy services into these advanced services.





#### **Long Term Ambition**

As Councils, we are committed to building a future where our back-office services are unified, efficient, and strategically focused on delivering exceptional value for our residents. Across all functions, we envision leveraging modern technology, generative and agentic AI, streamlining processes, and fostering transparency to ensure our services are responsive, sustainable, and community centric. Our long-term goals include creating seamless digital experiences, optimising resource utilisation, and empowering our workforce to drive positive outcomes for Derbyshire.

#### **Day One Priorities**

As Councils, our day one priority is to ensure a seamless and stable transition for our residents and staff, establishing trust and continuity from the outset. This involves clear communication, consistent branding, and the uninterrupted delivery of essential services across all functions. We are committed to working collaboratively to harmonise processes and systems, laying a strong foundation for the new Unitary Councils.

#### **Digital and ICT**

For local government to continue to deliver services and provide value for taxpayer money we know that we will need to accelerate the adoption of digital technology within our services. To support this, we are already embarking on a programme to consider how best to optimise the provision of digital technology within the new Unitary Councils.

As part of the transition process, an IT target operating model and comprehensive transitional plan will be established before vesting day. The plan will address critical areas of our ICT, Digital and AI landscape and will be conducive to both Northern and Southern Derbyshire Unitary Council infrastructure, while retaining the strategic option for a unified, Derbyshire-wide single infrastructure.

The table outlines the proposed timescale for implementing the target operating model and the level of operational readiness that will be in place each year:

Timeline	Recommended Digital\Business System stage
Day 1 Vesting Day	Mission Critical Systems (incl. Microsoft Productivity, Finance, Democracy, Social Care, Websites and Customer Services, Ai Enabled Single Front Door, and Ai Enhanced Staff Productivity)
Year +1 to 3	Platinum and Gold Line of Business Systems consolidation
Year +4 to 6 –	Silver and Bronze Line of Business Systems consolidation
Year +7 to 10	Ancillary systems and lifecycle review



Guided by the blueprint for modern digital and AI, we will simplify access, join up services, and foster innovation through open standards, inclusive design, and intelligent automation. We will champion digital leadership and invest in skills to drive transformation from within and developing partnerships across boundaries for virtual centres of excellence for Digital Skills.

#### **Procurement**

The procurement functions across Derbyshire are already beginning to look at opportunities for joint procurement, especially for contracts that will extend beyond vesting day.

Post vesting day there is an opportunity to reduce duplication and streamline procurement by appointing a lead Council for contracts that currently cover the county. This approach has already been adopted in specific areas, for example, Derbyshire County Council has led on strategic procurements such as highways and transport consultancy services.

#### Case study

### SCAPE – Leveraging Shared Procurement Power for Efficiency and Local Benefit



Derby City Council and Derbyshire County Council are founding members and shareholders of SCAPE, a public sector-owned built environment procurement specialist. SCAPE provides fully compliant national frameworks, enabling the public sector to deliver high-quality infrastructure and property projects efficiently. These frameworks offer significant procurement benefits, including enhanced efficiency and compliance by avoiding lengthy tender processes, and delivering speed and certainty through preapproved contractors. They also ensure value for money through aggregated purchasing power, securing competitive rates and standardised pricing. Beyond these, SCAPE frameworks embed quality and social value, promoting local supply chain engagement and sustainability. As a not-for-profit entity, SCAPE distributes surpluses as dividends to its local authority shareholders, providing a consistent income stream. Shareholding councils also gain strategic influence over future framework design and contribute to regional economic growth by prioritizing local supply chains and employment. SCAPE thus exemplifies how a shared, public sector-owned vehicle can achieve procurement excellence, efficiency, and reinvestment in local economies, demonstrating the benefits of scale, collaboration, and local control—principles that align directly with the ambitions of local government reorganisation in Derby and Derbyshire.



Criteria 4: Working together to develop a proposal that meets local needs and is informed by local views

#### Collaboration

This proposal has been jointly developed through collaboration between the Derbyshire district and borough Councils and Derby City Council with strong stakeholder engagement including key public service providers, community and voluntary sector organisations, businesses, residents, staff and all tiers of local government. Derbyshire County Council have developed their own proposal; however, all the Councils have worked together effectively to ensure that data and information is shared, and the evidence base is accurate, robust and consistent between the two proposals. Regardless of which option is pursued by Government, all authorities remain committed to achieving the best possible outcomes for Derbyshire, through effective and efficient implementation of LGR.

#### A proposal informed by local views

We developed a comprehensive communication and engagement campaign to:

- Seek the view of residents and stakeholders to inform and refine the proposal to ensure it reflects our rich cultural heritage and maximises our strengths
- Increase the understanding of what LGR means and what it could achieve
- Develop a collective and consistent approach to communications, engagement and consultation
- Ensure that the consultation is transparent, robust and in line with the Gunning principles

A comprehensive information pack and guide was developed to support the communication, engagement and consultation campaign. This was available in a variety of formats including easy read and British Sign Language. Proactive media releases and social media content aimed to reach the widest possible audience for the consultation. The consultation included:

- A questionnaire which was available in a range of different formats including digital, paper, large print, easy read and a range of community languages including BSL. Over 7,300 people responded to the consultation via the questionnaire
- Over 27 engagement sessions took place throughout the county, with over 500 quality conversations taking place to help inform the proposal
- Key stakeholder interviews took place with Derbyshire Constabulary, Derbyshire Fire and Rescue, NHS
  Derby and Derbyshire Integrated Care Board, NHS Community Health, University of Derby, East Midlands
  Chamber, Chesterfield Royal Hospital Foundation Trust and Royal Derby Hospital

The full consultation report is attached at Appendix 3. It is clear from the consultation that this is an emotive subject, and the findings are polarised. However, through balanced consideration of this wide-ranging report there has been further refinement of the options assessment and proposal.



Many respondents recognised the need to modernise local government, improve efficiency, reduce duplication and streamline Councils. Being able to navigate Councils more easily to access services and improving the quality and consistency of services were significant factors for those in favour of reorganisation. Key stakeholders also recognised these potential benefits but also promoted the opportunities that will arise for stronger strategic planning and partnership working. However, there are concerns from residents about the loss of local representation and local knowledge and that could result in less responsive and tailored services.

It is important to all that we keep disruption to a minimum and ensure that our high quality local public services continue to support local communities during the transition. However, there is a real opportunity to focus on positive outcomes and impacts in developing the visions and strategies for the new Councils to help create the conditions for residents and businesses to thrive. Having a pan-Derbyshire outlook and working as partner authorities in the interests of benefitting the whole county of Derbyshire is vital.

Consistent approaches around policy and service provision were welcomed, but this needs to be sufficiently nuanced to take account of the different issues and needs of local communities and businesses. Many partner agencies raised the issue of preserving and enhancing local area and neighbourhood working. They and we want to ensure services are tailored to local needs and priorities, especially in diverse, affluent/deprived, and rural/urban communities.

Through our vision, design principles for implementation, assessment of challenges and opportunities and transformation plans, we have sought to reflect these views, maximise the benefits and address the concerns raised by residents and stakeholders.

#### **Engagement with the East Midlands Mayor, MPs and Local Authority Leaders**

Our engagement strategy included collaboration and coordination with the East Midlands Mayor, MPs and local authority leaders to ensure reorganisation complements regional and national initiatives. This included strategic alignment sessions with the East Midlands Mayor and local authority leaders to ensure LGR in Derbyshire aligns with EMCCA objectives, further devolution opportunities and enhanced service delivery. Local authority leaders have led on engagement with their local MPs to ensure they are fully sighted on LGR and have had the opportunity to contribute to proposal development.

#### Ongoing engagement

As we move through to implementation, further targeted engagement will be developed around specific service design options. Stakeholder analysis will be undertaken to ensure we reach current and future service users and harder to reach groups to ensure all can contribute to the future of local government in Derbyshire and our services.



During the proposal consultation there was a real commitment from stakeholders to remain closely involved in helping to shape implementation. They can support us to manage this change and make sure the benefits of reorganisation are realised. They also have experience and expertise to assist us with implementation and beyond. It is vital that ongoing dialogue and engagement continues into the next phase with other public service providers, education providers, the business community, community and voluntary sector and local parish and town Councils.

Dialogue with the East Midlands Mayor and EMCCA will also continue as we build up our new Unitary Council authorities to ensure our approach aligns with regional plans for inclusive growth and strategic service delivery. Engagement sessions and briefings will also continue with local MPs to ensure they are fully sighted on developments and can continue to contribute to this key transformation opportunity.

Successful LGR depends on ongoing transparency, accountability, collaboration and engagement. Our approach will support the tailoring of services to meet the needs of the local communities we serve, enable us to respond to new opportunities, while improving financial resilience and sustainability.

#### **Criteria 5: A structure that supports devolution arrangements**

#### Streamlined **Structure for Effective Devolution**

Our proposal presents a simpler and more unified structure, enabling clearer delineation of where responsibility sits for functioning and streamlined decisionmaking for EMCCA and the Mayor. Replacing 10 local authorities in Derbyshire with two partner authorities that can work effectively with EMCCA, and the Mayor of the East Midlands will drive sustainable growth and progress for the region. The new structures embody the respective roles set out for strategic authorities and principal authorities within the Devolution White Paper, providing the framework for delivery within the current and future devolution arrangements. This approach will ensure that Derbyshire's voice is heard on a regional and national scale.

#### Strategic Local **Delivery and Aligned Timelines**

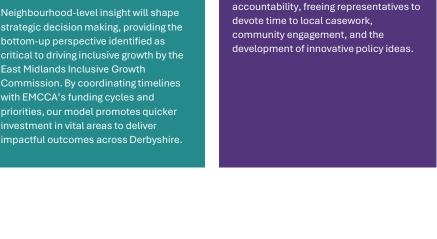
Our partner Unitary Councils will focus on core everyday service provision and community engagement and representation, while supporting EMCCA to maximise inclusive growth, sustainable and integrated transport, the skills agenda and strategic housing delivery.

strategic decision making, providing the bottom-up perspective identified as critical to driving inclusive growth by the East Midlands Inclusive Growth Commission. By coordinating timelines with EMCCA's funding cycles and priorities, our model promotes quicker investment in vital areas to deliver

#### **Streamlined**

#### Representation, More Effective **Councillor Ratios**

The new governance arrangements will reduce complexity and ensure Councillors can better respond to the needs of their communities. With two similarly sized Unitary Councils, the total number of Councillors can be set at a level that balances efficiency with accountability, freeing representatives to





#### Context

Derbyshire County Council and Derby City Council are constituent members of EMCCA who hold formal voting rights and help shape regional decisions on transport, housing, skills, and economic development. The district and borough Councils currently play a key advisory and delivery role, especially in areas like planning, regeneration, and local engagement. All Councils have a voice in shaping regional priorities through EMCCA's Board Scrutiny Committee and other subject matter Committees.

All Councils are already aligning local plans, investment strategies, and regeneration programmes with EMCCA's Vision for Growth and emerging Inclusive Growth Framework, such as collaborating with EMCCA to shape adult education and workforce development strategies, particularly in sectors like health and social care, green technologies and advanced manufacturing.

#### **Advancing devolution**

Our proposal for two partner Unitary Councils in Derbyshire offers a clear and collaborative framework to advance devolution. The Councils will be designed to integrate efficiently with EMCCA and the Mayor's strategic functions. This approach ensures sensible population sizes that preserve local identity and accountability, while fostering a strong partnership with EMCCA from the outset. Building on current engagement, the two new Unitary Councils will have the opportunity to strengthen this collaboration, leveraging shared resources and expertise to drive innovative solutions and sustainable growth across the region, hand in hand with EMCCA. The new structures will move away from the current two-tier levels of constituent and associate memberships for local Councils, ensuring all areas in the county (and in Nottinghamshire) have direct and equal voice within EMCCA governance structures.

Our proposals for interim Council size represent a significant step forward, offering a pragmatic and well considered framework for future governance. It is designed to give local areas a strong voice in county-wide and regional decision-making, ensuring strategic planning is informed by neighbourhood-level insight. This supports devolution by enabling:

#### Streamlined and coherent governance and accountability for devolution

The two new partner Unitary Councils will simplify governance, moving away from the current two-tier membership arrangements and enabling clearer delineation of where responsibility sits for functions and streamlined decision-making. This makes it easier for EMCCA and the Mayor to work with both Councils, benefiting from clearer, more efficient dialogue. This arrangement, like existing successful examples, fosters constructive challenge, balanced debate, and quicker agreement on regional issues.



Strategic planning with strong local delivery resulting in economic resilience Retaining Derbyshire's local identities within two larger Councils allows each to focus on distinct social and economic priorities, rural, town, and urban, while jointly contributing to EMCCA's broader agenda. Region wide issues such as economic growth, cross-boundary infrastructure, and climate resilience will be developed in partnership with EMCCA. Meanwhile, each of the two Derbyshire Unitary Councils will retain their "local touch," focusing on day-to-day services and community engagement, so that neighbourhood level knowledge directly informs higher level decisions. This balance between preserving local identity and working at scale is proven to drive innovation and inward investment, fuelling inclusive growth that benefits all parts of the county.

#### Aligned timelines and partnership working

By building on our existing collaboration, we will aim to synchronise our transition planning with EMCCA's funding cycles and project milestones. This approach will support prompter investment in infrastructure, adult education, business support, and other initiatives that demand both local insights and region-wide coordination. Making a success of devolution arrangements will also require the new authorities to work closely with a wide range of system partners beyond EMCCA. The current arrangements can be a barrier to effective partnership working, with institutions in health, education, skills etc. required to work with two tiers of local government outside the City at a time when their capacity is ever more constrained. Two new Unitary Councils with clearly articulated roles focused on effective local services, place shaping and representation, will find collaboration to be straightforward and effective, which in turn will unlock opportunities for system reform.

#### **Appropriate representation and local democracy**

The two partner Unitary Council authority approach enables a Councillor to elector ratio of between 5,200 and 5,500 (based on 2029 electoral estimates). This strikes a balance between efficiency in local governance while retaining local accountability and strong local advocacy. The proposal has good electoral equality across Derbyshire, ensuring the voices of each area are heard equally. Effective neighbourhood governance arrangements will also allow elected members to focus on the specific needs of their diverse communities. Residents and businesses will work with representatives who know their neighbourhoods, balancing local autonomy with the collective scale required for effective partnership working with EMCCA and the Mayor. This will allow the two new Unitary Councils to provide the community and place-based insights needed to make a reality of the vision set out for inclusive growth in EMCCA's new regional Inclusive Growth Framework.

By balancing local representation with countywide coherence, our proposed governance model will unlock the benefits of devolution for Derbyshire's diverse communities. Fully aligned with national devolution objectives and EMCCA's strategic ambitions, our carefully planned transition will allow both new Councils and EMCCA to progress together as we plan for Derbyshire's long-term prosperity under a single combined authority. Combining the advantages of scale, balanced representation, and responsiveness to local needs, our proposal positions Derbyshire to realise its full potential for inclusive economic growth, social equity, and sustainable development.



Criteria 6: Enabling stronger community engagement and delivers genuine opportunity for neighbourhood empowerment

#### Neighbourhood empowerment

We welcome the Government's consultative approach to developing neighbourhood-based approaches to local decision making and service delivery. This will help to ensure that local needs are understood and acted upon. We want to work with Government to design Neighbourhood Area Committees that see local people, communities and partners working alongside local area Councillors to identify and deliver on local priorities and provide local accountability.

This is an opportunity to ensure that the members of the new Unitary Councils are at the centre of their communities, providing local governance, support and oversight at a meaningful localised level. Neighbourhood Area Committees will act as a catalyst for partnership working at a local level, providing greater opportunities for community insight and the early identification of local needs and concerns, by leveraging advanced technology and AI, these committees will facilitate greater engagement in Council decision making and service design and development; ensuring local voices are heard and able to influence further locality based public service reform.

Progressing in time to be spoke neighbourhood plans, developed by a partnership of the public sector, community and voluntary sector and the private sector, will help to identify the core strengths of each area and understand the linkages and opportunities across Derbyshire and the broader East Midlands region to maximise inclusive growth.



#### Case study

#### Strength based neighbourhood working in Derby

Derby City Council has a long history of community strengths-based neighbourhood working which enables Derby's diverse communities to help shape local services, tackle inequality and build long term resilience. The city has six geographically defined localities which each have tailored plans informed by data and community insight, influenced by Neighbourhood Partnerships established at ward level. The model prioritises prevention, inclusion and resilience, and is governed through the 'Place Partnership – Resilient Communities' jointly led by Derby City Council and Community Action Derby, the city's community infrastructure umbrella organisation.

The 'Place Partnership provides strategic oversight and co-ordination of local Neighbourhood Partnership Networks. A wide range of public sector organisations, key partnership bodies and community and voluntary sector organisations are involved. For example, Derby County Community Trust are a key partner who leverage the name recognition of Derby County Football Club to deliver a range of community projects and interventions.

Local neighbourhood partnerships comprise of three constituted bodies established for each ward, which seek to provide a framework for local engagement and decision-making about community priorities.

**Neighbourhood Boards** are chaired by a local ward Councillor and attended by a range of local community and voluntary organisations and public sector partners. These boards are responsible for developing neighbourhood plans and agreements with residents and partners, overseeing delivery and accountability, and recommending local spending priorities to the Ward Committees. Each Neighbourhood Board is allocated £15k of funding annually (£10k in two-member wards) which is spent on priorities identified by the community.

**Ward Committees** are comprised of the Ward Councillors only. They make formal decisions on the allocation of delegated budgets and ward-level priorities as recommended by the neighbourhood boards. They also determine representation of a neighbourhood board and the overall structure of neighbourhood engagement in the area.



**Neighbourhood Area Committees** provide regular opportunities for residents to raise concerns and participate directly in neighbourhood discussions.

These Neighbourhood Partnership Networks are hyper-local groups made up of Councillors, statutory services, community and voluntary sector partners, community leaders and residents. These networks respond to opportunities and need that are identified through data analysis and conversations with residents.

Direct support is provided to Neighbourhood Partnership Networks via Neighbourhood Managers, Neighbourhood Officers and Local Area Coordinators, who act as a conduit for enabling ward Councillors and residents to access support, collaborate on solutions and facilitate community led events.

**Neighbourhood Managers** are responsible for the day-to-day operation of Neighbourhood Teams. They connect and collaborate with Councillors, residents and partners on complex place-based issues. They also facilitate and co-ordinate the development of partnership plans, working across a range of priority areas.

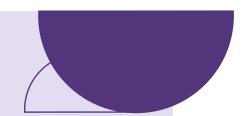
**Neighbourhood Officers** focus on coordination and delivery of actions identified in the neighbourhood plans. They support the growth of capacity within communities and are responsible for engaging with the community and partners to review priorities and update plans.

**Local Area Coordinators** are a key element to the health and social care prevention offer, working with individuals to prevent, reduce or delay the need for more formal and expensive services.



#### Case study

## Focus on Local Area Coordinators – health and social care empowerment



Through Local Area Coordination (LAC), Derby has demonstrated significant savings and efficiencies through its preventative approach to health, social care, and the wider public service system. Individuals and communities are empowered to find solutions within their personal networks and local communities, rather than relying solely on formal public services. By fostering independence and resilience, LAC reduces the demand for more intensive health and social care interventions and helps to reduce health inequalities. LAC aims to build on and build up the strengths and assets of individuals, families, and communities. Local Area Coordinators work closely with residents to understand their strengths, aspirations, and challenges. They help individuals navigate local services, connect with community resources, and develop personal networks, reducing isolation through the development of relationships and connections. The approach not only addresses immediate concerns but also looks to build long-term resilience, reducing the likelihood of future crises.

One of the most notable impacts of LAC in Derby is its capacity to generate cost avoidance and financial savings, achieved through reduced reliance on health and social care services alongside the wider system - Housing, Police, Probation etc. An evaluation of the project completed in 2021 demonstrated that LAC has led to significant reductions in nursing and residential care placements, resulting in savings of over £535,000 per year. Additionally, there have been reductions in social care packages, with savings estimated between £170k and £270k annually. These savings are attributed to the proactive support provided by Local Area Coordinators, which helps individuals manage their health and social care needs more effectively within their communities. The evaluation also demonstrated impact in targeted areas including young care experienced residents', attendance at the local hospital's Emergency Department.

Since 2023, Derby's LAC programme has been embedded within the Communities Directorate, emphasising the desire to move the service further 'upstream' to maximise its preventative capacity, aiming to reach people before they seek support from the system. The LAC team now sits within the Council's neighbourhood management function, which has started to embed the values and principles of the approach to wider community activities. This broader reach and focus have enabled the development of a strengths-based approach to community capacity building alongside opportunities to impact wider Council functions - planning, parks, community safety etc. This team now also supports and can help our elected members think about their community leadership roles.



#### Strengthening community partnerships

Our two new Unitary Councils will be at the forefront of working with public sector organisations, the community and voluntary sector and business community to deliver strong community partnerships that improve outcomes for local communities and neighbourhoods. There are significant opportunities to enhance key partnerships including community safety partnerships, health and wellbeing partnerships and local networks, neighbourhood alliances, skills and employment partnerships and lace based marketing and inward investment or business improvement districts.

These partnerships are focused on priorities but together they have the strength to support our communities to lead healthy, happy and fulfilling lives and make a big impact in terms of the wider determinants of health. Within a Unitary Council structure there will be strengthened opportunities for these partnerships to link in with other projects and programmes including the neighbourhood communities to respond to community voice effectively and tailor activity to local needs and priorities all underpinned by the strategic use of technology and AI.

Enhancing our community networks and partnerships will provide an important link between support agencies and the community. This relationship grows over time and can provide significant support to individuals and families but also enable communities to come together and celebrate their unique strengths.

In recent years, particularly since the Covid –19 pandemic, the links between local authority health and wellbeing partnerships and the neighbourhood alliance (previously called the Local Place Alliance) have been strengthened. A significant amount of work has been developed to ensure we are better capturing the voice of lived experience in the way in which we deliver and develop services. This has been helped by the existence of the community networks, both subject specific networks such as financial inclusion and mental health but also neighbourhood specific which can help to build community resilience.

As we look to develop the two new Unitary Council authorities and a strategic approach to neighbourhood working, it will be important to recognise the significance of the community networks that already exist, with a view to strengthening these networks and extending across each of the new Unitary Council areas. One of the key principles of these community networks, is that agencies are there to work with the community, not simply engage and consult.

During the development of our proposal, we have worked closely with colleagues in the NHS and have learned more about the emergence of the NHS neighbourhood model following the publication of the 10-year plan. There are significant opportunities to align neighbourhood working across these two programmes and we would welcome the opportunity for further discussions with Government and colleagues in health on how this could help shape the national neighbourhood empowerment model.

#### ONE DERBYSHIRE

## One Derbyshire, Two Councils – our proposal (cont.)



#### Parish and town Council liaison

There are 204 parish and town Councils in Derbyshire helping to ensure local voices are heard on a range of critical issues. Many also provide vital community services and engage in effective partnerships with many other public service providers. Parish and town Councils know and understand their local community needs and aspirations and are often a first point of contact for local people seeking support. This local knowledge will be essential to ensuring that the services provided by the two Unitary Councils are optimised to respond to the needs of local people and help to improve quality of life in Derbyshire.

We recognise the importance of ensuring there is effective communication, collaboration and co-ordination between the different layers of government and through neighbourhood arrangements. Leveraging technological advancements to enhance these interactions we will work with the Derbyshire Association of Local Councils and Derbyshire's Parish and Town Councils to co-design a parish charter and liaison group which will firmly establish the importance of the parish sector and define the relationship with the new Unitary Councils.

#### Protecting our historic and civic legacies

There are many market, fair and agricultural fair charters across Derbyshire and Derby City status, which are important to our distinct identify, culture and economy. They need to be preserved and celebrated. These charters have been identified for inclusion in the Consequential Parliamentary Order to ensure these important traditions continue to enrich Derbyshire's communities for many years to come.

The office of the Mayor of Chesterfield has existed since 1598 when Queen Elizabeth I issued a charter to the town, granting the town the right to have a mayor. Chesterfield's right to have a mayor was reaffirmed in a new Charter from Queen Elizabeth II in 1974, when Staveley and Brimington were added to the borough to form the new local authority, Chesterfield Borough Council.

The City of Derby's right to appoint a mayor was granted by King Charles I, following a visit to the town in 1632. The Great Mace bears his initials "C R" for Carolus Rex, and the badge at the bottom of the mayoral chain is hallmarked 1638.

Preserving the unique historical identities of these two historically rich civic offices is important to Derbyshire. Accordingly, we will be seeking to establish Charter Trustee status to ensure the continuation of the civic, historic and ceremonial traditions for Chesterfield in the Northern Derbyshire Unitary Council and Derby in the Southern Derbyshire Unitary Council. The Charter Trustees will be a non-political and non-statutory body with the primary objective being to maintain and promote the historic and ceremonial traditions for these two historic settlements.





6

Implementing local government reorganisation



#### Preparing for implementing local government reorganisation

This section details our approach to implementing LGR, outlining the key timescales, critical activities, and significant opportunities involved. We recognise that building the optimal local government structure for Derbyshire presents both immense potential and inherent risks and challenges. To navigate this effectively, we have developed an approach that includes the necessary steps for the subsequent post-decision implementation activities. Our proposed programme structure is designed to maximise the efficient use of time leading up to vesting day.

#### We have experience of local government reorganisation

Our leadership, comprising experienced elected members and Chief Executives, bring a wealth of complementary skills and strategic governance expertise, supported by a strong officer base, ensuring we possess the necessary competencies and capabilities to successfully deliver LGR. Our Chief Executive from South Derbyshire has direct experience of LGR having successfully supported two LGR programmes.

There are already many examples of bringing together shared/joint services across Derbyshire and we will use this experience to accelerate this LGR and to minimise disruption for staff, residents and businesses.

#### Our design principles for our target operating model and implementation

Our approach to implementation is guided by a set of established design principles which will inform our target operating model and implementation. They have been developed from our extensive experience and informed by valuable insights gained through consultation with officers and specialist individuals who have previously delivered LGR:

**Customer-focused** - We will design services from the perspective of residents and businesses rather than organisational structures and simplify processes and communication, so customers are directed to the right support at the right time.

**Locally accountable** - We will design services that reflect local needs while achieving efficiencies at scale. Local decision-making will be transparent, visible, and accessible to residents.

**Insight led** - We will use robust data, analytics and citizen feedback to inform priorities, understand demands, monitor impact and improve outcomes.

**Sustainable** - We will drive financial sustainability with a clear emphasis on outcomes, focusing on longer-term consequences. This includes investment in prevention and early intervention, optimising our use of assets, and minimising our environmental impact.

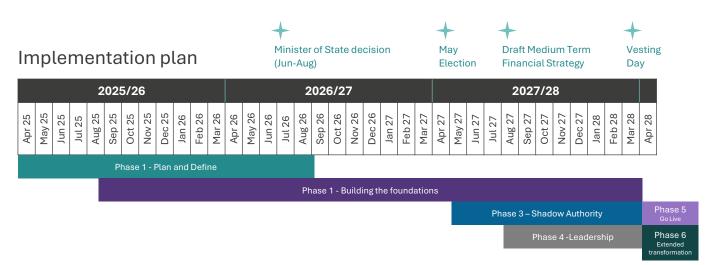
**Digital first, inclusive by design** - We will leverage digital and AI technology to design services that are intuitive, integrated and accessible, ensuring appropriate support for digitally excluded or disadvantaged groups.

**Empowered** - We will foster a one-team, delivery-focused culture that encourages learning, innovation, trust and respect across the new organisations. Citizens and colleagues will be engaged and empowered to shape the development of the new Councils and their services.



#### The phases of local government reorganisation

From the experience of our Chief Executive for South Derbyshire and having reviewed other recent LGR programmes, we know the implementation of LGR has six clear phases. These are triggered by key events in the programme, some of which are externally driven e.g. the Minister of State decision and others are within the control of the programme e.g. the appointment of key officers. This graphic provides an overview of the phases and their purpose:



#### Phases

#### **Building the foundations** Shadow Leadership Go Live Plan and Define **Authority** 01 MoU with all · Progressing what is in · Election of Strategy • Tier 2 & Tier 3 Focus on seamless Leadership Derbyshire councils appropriate scope of Leader board experience for the programme e.g. ICT Recruitment residents and partners · Set up the programme · Appointing Chief infrastructure, and stability for staff. Executives and Service Planning (governance, treatment of systems workstreams and statutory and · Development of and contracts finance) leadership roles council plan, Budget Target operating model Identify & agree Member inductions **MTFP** and transformation Extended Transformation resources for Pre and and service briefings · Key policies and Service alignment and post vesting transition Role Mapping strategies integration team · Operating model and Job evaluation strategy Set up the plan. Preparing for key Enact council plan and staffing allocations Roadman for timeline and critical decisions commence integration · Preparing for Day 1 jobs\service path and design Preparation for Multi-vear Recruitment process principles for the target Elections transformation plan short and long term operating model Continual Set up a data hub -· Key decision timetable improvement Gather and maintain consistent programme Define and agree scope of I GR-related decisions with sovereign councils



The trigger point of each Phase is described below:

- 1. Plan and define Before a decision on the proposed shape of the new Unitary Councils.
- **2. Building the foundations** When a decision on the shape of the proposed new Unitary Councils has been made but before the election of shadow members or appointment of officer leadership.
- 3. Shadow authorities With members elected but only with interim, programme or unofficial groupings of officers for capacity.
- 4. Leadership When Tier 1-3 officers have been appointed and can prepare the new Councils.
- 5. Go-live Vesting day when new Councils are operational.
- 6. Extended transformation Driving a continuous transformation agenda post vesting day.

Role	Details
Derby and Derbyshire Strategic Leadership Board	<ul> <li>This Board is already in existence and will continue to provide strategic leadership, coordination, and decision-making on issues affecting the entire county and city.</li> <li>We would expect this board to take a strategic role in overseeing the transition and leaders from each Council will attend and continue to oversee the entire transition.</li> </ul>
Derbyshire Chief Executive Group	<ul> <li>This group would comprise the Chief Executives of the 10 Councils that currently operate within the Derbyshire Unitary Council area. The group will work with EMCCA and other key stakeholders in Derbyshire, overseeing the entire transformation and shaping and driving future service development.</li> </ul>
Unitary Council Coordination Group	<ul> <li>This group would be made up of directors from across the partner Councils and tasked with providing oversight and coordination across all workstreams and services. Key tasks would include:</li> <li>Day one readiness: What is needed on vesting day - managing delivery of the programme</li> </ul>
	plan. For example, tracking progress against milestones and completion of Day 1 requirements with a relentless focus on being safe and legal.
	<ul> <li>Transformation: Playing a pivotal role in steering and coordinating the complex process of change across Councils, starting before vesting with a multi-year programme that extends beyond vesting day.</li> </ul>
Programme Management Office	<ul> <li>Appropriately resourced to ensure that the safe and legal requirements as well as transformation are delivered on time, within budget, and to the expected high-quality standards.</li> </ul>



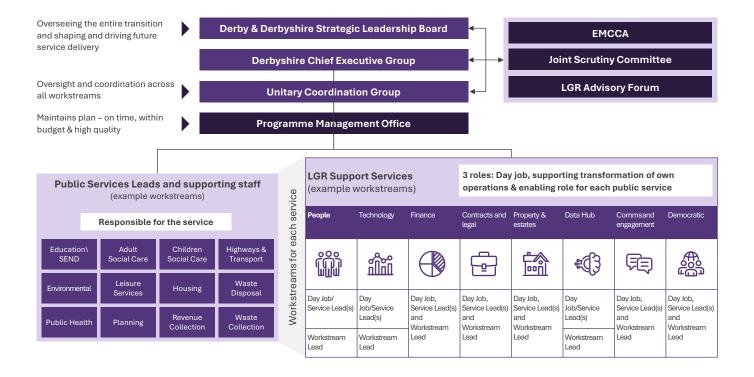
Role	Details									
LGR Support services		• Each support service executive has 3 roles which include the day job, supporting transformation of their service and performing an enabling role for each public service.								
	People	Technology	Finance	Contracts and legal	Property & estates	Data Hub	Comms and engagement	Democratic		
				<u>-</u>		<b>£</b> (3)	<del>F</del>	<b>600</b>		
	Day Job/Service Lead(s)	Day Job/Service Lead(s)	Day Job, Service Lead(s) and	Day Job, Service Lead(s) and Workstream	Day Job, Service Lead(s) and	Day Job/Service Lead(s)	Day Job, Service Lead(s) and Workstream Lead	Day Job, Service Lead(s) and Workstream		
	Workstream Lead	Workstream Lead	Workstream Lead	Lead	Workstream Lead	Workstream Lead		Lead		
Public Services Leads	Councils. strategies Services i Planning, Transport disaggreg aggregate	<ul> <li>Responsible for determining how services will be transitioned and integrated in the two new Councils. Subject Matter Experts have already been fully involved in defining the consolidation strategies within this proposal.</li> <li>Services include Education/SEND, Adult Social Care, Children's Social Care, Housing and Planning, Waste Disposal and Collection, Leisure, Revenue Collection and Highways and Transport. In a two Unitary Council model, the county Council's services will need to be disaggregated while the district and borough as well as city Council services will need to be aggregated across the new Unitary Council footprints. In both instances, it is important that all existing services are aligned to new policies and processes.</li> </ul>								
East Midlands County Combined Authority		ets the strate transport, an	_	_	•	_	nic strategy, sp	oatial		
LGR Joint Scrutiny Committee		Enables transparency, accountability, and democratic oversight across the Councils that helps keep the process inclusive and focused on delivering better outcomes for residents.								
LGR Advisory Group	-	ghts from pre					ssioners) who back to throนย			



#### Our governance

We understand the importance of operating a robust programme with clear governance across Derbyshire to ensure consistency, efficiency, and to address challenges collectively where possible. The graphic below provide an overview of our proposed decision-making and accountability governance structure. This governance structure will evolve as the programme progresses, mostly notably post the establishment of the Shadow Authorities when they and the interim chief executives are expected to assume the oversight and strategic direction role:

Decision-making and accountabilities governance structure – From now until to the creation of the Shadow Authorities











## Transition and transformation of services

The disaggregation and transition of county Council services as required, particularly social care, demands meticulous planning to ensure minimal disruption for residents and the sustained quality of services. Our primary focus throughout this transition will be to achieve positive resident outcomes. To this end, here are the enablers that will be critical for a successful transformation:

- **1. Public Service Teams** requiring disaggregation will play a key role in the design, planning and implementation of change, supported by leads from the wider LGR Support Services. This ensures the right skills and capabilities are in place and recognised that those with the most knowledge and experience are best placed to shape the future operating model.
- **2. Governance and Accountability** will be established from the outset with robust interim structures, clear lines of accountability for statutory duties like safeguarding, and performance monitoring across all service areas. **Effective governance boards** (including Joint Scrutiny Boards) will provide robust challenge and oversight, aided by additional expertise as needed.
- **3. Service disaggregation** will commence with a comprehensive review of our current locality structures and workforce deployment to ensure appropriate allocation to future authorities. This will include agreeing on future organisational designs and delivery structures, refining functional operating models and services to align with the Northern and Southern Unitary Councils and updating service policies, systems, processes, and procedures. Additionally, we will restructure board memberships and review local representation. These efforts will culminate in detailed transition plans designed to guarantee the continuity of essential services for our residents.

Detailed below are our Day One key priorities across all services:

- Continuity and Stability will be committed to ensure the seamless operation of essential services, from adult social care referrals and waste collections to public health initiatives and housing support. This means avoiding any delays in contracts or disruptions in care and maintaining existing provisions to ensure residents experience no interruption.
- Workforce Harmonisation and Alignment will be crucial to address differences in pay, terms, conditions, and role definitions across our teams to foster a unified and fair environment for all our dedicated staff. We recognise, based on experiences from other LGR implementations, that fully harmonising pay and conditions is a complex process that can take a number of years to manage. We will be transparent about this journey and actively manage staff expectations throughout, providing clear communication and support at every stage.
- Staff Engagement and Culture will be prioritised through open communication, clear role mapping, and transitional support, cultivating a shared culture that values every team member and maintains morale during this significant change.
- Internal and External Communication Strategies will be designed to ensure our partners, residents, and businesses understand precisely how to access services and information, alongside actively maintaining strong partnerships with all stakeholders.



# Transition and transformation of services (cont.)

- **First point of contact arrangements** will need to be designed into the new social care service delivery models for the Unitary Council authorities to ensure demand is managed and social care teams do not become overwhelmed.
- **Proactive Risk Management** and Transition Planning is also fundamental; we will develop comprehensive risk registers, implement contingency plans, and apply lessons learned from previous transitions to minimise any potential disruption.
- System and Data Integration requires our immediate attention to ensure underlying operational effectiveness in all our IT systems, from case management to financial platforms, to enable operationally prepared and fully functional systems.
- Partnership Maintenance is key; we will actively sustain existing collaborations with health partners, schools, community groups, and providers, and leverage regional partnerships to enhance service delivery.
- **Financial Understanding and Oversight** is critical; we will gain a thorough grasp of all current contracts and budgets, coupled with robust financial oversight, to ensure the stability and sustainability of all our services from day one.

#### Case study

### Case Study: Strategic Alliance between High Peak and Staffordshire Moorland Councils



Since 2008, our Strategic Alliance between High Peak Borough Council and Staffordshire Moorlands District Council has provided us with extensive experience of how to aggregate services. We successfully integrated our services, established a shared workforce, and implemented joint leadership and unified management structures across both authorities. This long-standing collaboration, which has delivered over £12 million in financial savings and earned recognition from the Local Government Association for our well-managed, high-performing services, clearly demonstrates our proven capability in navigating and implementing complex organisational changes within the local government landscape. We will use that experience to accelerate this local government reorganisation and to minimise disruption for staff, residents and businesses.







## Risk and contingency planning

#### **Risk Management and Contingency Planning**

Effective risk management is key to ensuring that we maximise opportunities and minimise the impact of the threats arising through the various stages of LGR.

At this stage, we understand the risks that LGR presents and are already working to mitigate them through our programme structure and approach. Key risks are identified as follows (reflecting this is not an exhaustive list of risks identified).

Туре	Impact	Mitigation
Disaggregation complexity	Potential for disruption to essential services, leading to a decline in service quality and negative impacts on residents, particularly the most vulnerable.	Working closely with the Local Government Boundary Commission and building a critical service continuity plan that incorporates insights from other LGR programmes that have disaggregated services so that services are safe from day one. Maintain dual systems temporarily where needed and communicate clearly with residents about changes and contact points.
Lack of collaboration across the Councils	Significant delays in decision-making and implementation, resulting in inefficient resource allocation and duplicated efforts, exacerbated by political disagreements, hindering progress and creating instability that impacts service continuity and resident outcomes.	Already building strong collaborative arrangements and developing 'no regrets activities' that can be delivered across Derbyshire as a unified programme. Our programme will be set up efficiently to maintain a strong focus on service delivery in sovereign Councils while ensuring the success of the two new Unitary Councils
Insufficient capacity to deliver LGR and maintain business as usual activity	Insufficient programme and / or service resources to deliver LGR day one readiness, transformation activity and maintain business as usual. This could be due to inability to retain / recruit knowledge, skills and experience or meet current/future demands.	Local capacity 'stood up' through consultancy support and 'in kind' support from all partners through the Project Coordination Group and Subgroups.  Make use of MHCLG capacity funding and /or identified transition funding to deploy / backfill additional resource.



## Risk and contingency planning (cont.)

Туре	Impact	Mitigation
Failure to full harmonise policies, procedures and funding	Inability to agree and deliver integrated policies and procedures, for example, financial, workforce, service based	Agreed process to engage and consult with internal and external stakeholders, with escalation route for issues dealt with by governance framework.
System failure or data loss during migration	Severely disrupt critical Council operations, compromise sensitive resident information, and lead to significant financial and reputational damage, ultimately undermining public trust and the effective delivery of services.	Conduct a comprehensive audit of all existing IT systems and data, mapping data flows and dependencies to inform a robust migration plan.  Critical systems will undergo rigorous testing, including parallel runs and sandbox environments, to ensure seamless functionality and data integrity before full deployment. A dedicated team will oversee the entire process, implementing stringent cybersecurity protocols and disaster recovery plans to safeguard against potential disruptions and data loss.
Budget overspend or failure to realise savings	Severe financial strain on the new Unitary Council authorities, leading to reduced service provision, reputational damage and financial uncertainty, affecting staff morale.	We will develop a robust Medium-Term Financial Plan (MTFP) with contingency buffers, track savings and costs through a benefits realisation framework and engage external auditors or financial advisors for independent assurance.
Opposition from residents, Councillors, or MPs	Significant erosion of public trust and engagement, leading to reduced participation in local processes and increased community discontent. This can hinder the new authority's ability to effectively address diverse local needs, weaken community cohesion, and create substantial challenges in implementing policies due to perceived disconnect and resistance. It could also result in Judicial Review.	Have conducted extensive public engagement and consultation and will continue to preserve local branding and community boards where possible, ensuring transparency and responsiveness throughout the process.

As part of the implementation phase, we will develop a risk management strategy for LGR, which includes a comprehensive framework and process designed to support effective delivery of the programme and ensure that existing and new authorities are able to discharge their risk management responsibilities fully.















## **Appendix 1: Overview**

The interim proposal used the following core criteria to evaluate and down-select the fifteen original options to the two preferred "North and South" models:

Reflect Existing Boundaries	Proposals utilise the current district, borough, and city boundaries as building blocks, avoiding any redrawing that might introduce unnecessary complexity or fragment Derbyshire's historic integrity.
Contiguity	Each new Unitary Council's geography needs to be contiguous, ensuring no district or borough is isolated from the rest of its Unitary Council.
Limit Unitary Councils based on population	To meet the Government's population requirement (around 500,000 per Council) and streamline governance, the design includes a maximum of two Unitary Councils
Balanced Size	The options strive for roughly equal-sized Councils, measured by factors such as population count and Gross Value Added (GVA), to ensure fair distribution of resources and representation.
Practical Geography	With large rural areas, proposed boundaries need to maintain operational feasibility for service provision, transport, and infrastructure development
Alignment with Partners	Where possible, the proposed structures would complement the layouts of partner organisations (e.g., healthcare, police, or educational providers), minimising disruption and facilitating joint service delivery



#### Key

- 1 = High Peak
- 2 = Derbyshire Dales
- 3 = South Derbyshire
- 4 = Erewash
- 6 = North East Derbyshire
- 7 = Chesterfield
- 8 = Bolsover 9 = Derby
- 2 Unitary Model





3 Unitary Model



Other

#### **Option 1**



Unitary 1: High Peak, Chesterfield, Bolsover, North East Derbyshire, Amber Valley, Erewash, Derby City, South Derbyshire, Derbyshire Dales

#### **Option 2**



Unitary 1: Derby City (266k) Unitary 2: South Derbyshire, Erewash, Amber Valley, Derbyshire Dales, High Peak, Chesterfield, Bolsover, North East Derbyshire, (811k)

#### Option 3



Unitary 1: High Peak, Derbyshire, Chesterfield

Unitary 2: South Derbyshire, Erewash, Amber Valley, Bolsover, City of Derby (705k)

#### Option 4



Unitary 1: Derby City, South Derbyshire, Derbyshire Dales, High Peak, Erewash (657k)

Unitary 2: North East Derbyshire, Chesterfield Bolsover, Amber Valley (420k)

#### Option 5



Unitary 1: High Peak, Derbyshire Dales, South Derbyshire, North East Derbyshire, Derby City (649k)

Unitary 2: Chesterfield, Bolsover, Amber Valley, Erewash (429k)

#### **Option 6**



Unitary 1: High Peak, Derbyshire Dales, South Derbyshire, North East Derbyshire, Chesterfield

Unitary 2: Bolsover, Amber Valley, Erewash, Derby City (591k)

#### Option 7



Unitary 1: High Peak, Derbyshire Dales, Bolsover, Chesterfield, Erewash, Amber Valley (697k)

Unitary 2: Derby, South Derbyshire (381k)

#### **Option 8**



Unitary 1: High Peak, Derbyshire Dales, South Derbyshire, Erewash (391k)

Unitary 2: North East Derbyshire, Chesterfield, Bolsover, Amber Valley (420k)

Unitary 3: Derby City (266k)

#### **Option 9**



Unitary 1: High Peak, Derbyshire Dales, North East Derbyshire, Chesterfield

Unitary 2: Bolsover, Amber Valley, South Derbyshire, Erewash (438k)

Unitary 3: Derby City (266k)

#### **Option 10**



Unitary 1: High Peak, Derbyshire Dales, South Derbyshire, Erewash, Amber Valley (519k)

Unitary 2: North East Derbyshire, Chesterfield, Bolsover (293k)

Unitary 3: Derby City (266k)

#### **Option 11**



Unitary 1: Derby City, South Derbyshire, Derbyshire Dales, High Peak (544k)

Unitary 2: Erewash, Amber Valley, Bolsover, Chesterfield, North East Derbyshire (534k)

#### Option 12



Unitary 1: Derby City, South Derbyshire, Erewash (494k)

Unitary 2: Amber Valley, Derbyshire Dales, High Peak, Chesterfield, Bolsover, North East Derbyshire (584k)

#### **Option 13**



Unitary 1: High Peak, Derbyshire Dales, North East Derbyshire, Chesterfield, Bolsover (456k)

Unitary 2: South Derbyshire, Erewash, Amber Valley, City of Derby (622k)

#### **Option 14**



**Unitary 1:** High Peak, North East Derbyshire, Chesterfield, Bolsover (~384k - 100k)

Unitary 2: Amber Valley, Erewash, South Derbyshire (~356k-100)

Unitary 3: Greater Derby: Derby City [ .... ]

#### Option 15



**Unitary 1:** Bolsover, Amber Valley, Erewash (324k)

Unitary 2: High Peak, Derbyshire Dales, Chesterfield, North East Derbyshire (373k)

Unitary 3: Derby City, South Derbyshire (381k)



Below is an overview of the process used to inform the decision on the preferred options:

1

3

### Options identified for analysis

- Chief Executives and Leaders made the final decision regarding the two unitary council model: one in the north and one in the south of Derbyshire with three variations.
- The preferred variation was selected using this options appraisal alongside the financial sustainability assessment and the results from the consultation.
- This version of the analysis also includes two additional variations of Option C: one with Belper in the north and one with Belper in the south

### Key data sets gathered

- Publicly available data sources were used that span the current authorities in Derbyshire
- The available data was combined in line with the future unitary authorities
- For Option C where new boundaries were proposed, population was used as the means of disaggregating the district level data sets

### Data sets presented

- Metrics were identified that illustrated the extent to which each option met the first three MHCLG criteria and applied to the 3 options for each unitary.
- Insights and knowledge was used in addition to the consultations outputs to assess the three remaining MHCLG criteria.

### Scoring of options against criteria

- Options were assigned an initial score from 1 to 3 for each metric.
- A total score was given for each option based on its assessment
- Weightings were also applied based on insights and experience from the CEO Strategic Group



The technical assessment was based on the structured set of criteria from the Ministry of Housing, Communities and Local Government (MHCLG) to guide our decisions:

#### **Data based evaluation**

### Establishing a single tier of local government

- Sensible economic areas, with an appropriate tax base
- Sensible geography to increase housing supply and meet local needs
- Supported by robust evidence and analysis and the outcomes it is expected to achieve
- Describe the single tier structures

### Efficiency, capacity and withstanding shocks

- Population of c500k
- Efficiencies to improve councils' finances and best value for taxpayers
- Set out how transition costs will be managed, including future service transformation
- No proposal for council debt to be addressed centrally

### High quality and sustainable public services

- Show how new structures will improve local government and service delivery and avoid unnecessary fragmentation
- Opportunities to deliver public service reform
- The impacts for social care, SEND and homelessness, and for wider public services including for public safety

## Working together to understand and meet local needs

- Engage locally in a meaningful and constructive way evidenced in your proposal
- Consider issues of local identity and cultural and historic importance
- Evidence of local engagement, an explanation of the views that have been put forward and how concerns will be addressed

### Supporting devolution arrangements

- Set out how EMCCA and its governance arrangements will need to change to continue to function effectively
- Whether this proposal is supported by EMCCA's Mayor
- Ensure there are sensible population size ratios between local authorities and EMCCA, with timelines that work for both priorities

### Stronger community engagement and neighbourhood empowerment

- Explain plans to make sure that communities are engaged
- where there are already arrangements in place it should be explained how these will enable strong community engagement



Here is an overview of the final options:

#### Included in interim proposal

### 7 8 2 6 8 9 4 3

#### Option A

**Unitary 1:** Amber Valley, Derbyshire Dales, High Peak, Chesterfield, Bolsover, North East Derbyshire (584k)

**Unitary 2:** Derby City, South Derbyshire, Erewash (494k)

A north / south split of the county, with Amber Valley being part of the northern unitary.

#### **Option A1**



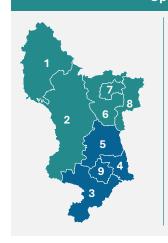
Unitary 1: High Peak, Derbyshire Dales, North East Derbyshire, Chesterfield, Bolsover, part of Amber Valley (567k)

**Unitary 2:** Derby City, Erewash, South Derbyshire, part of Amber Valley (511k)

Option A1 is a request for a modification to the base case of Option A, re-drawing the boundary through Amber Valley, using Parish Councils as the building blocks.

#### Included in interim proposal

#### **Option B**



**Unitary 1:** High Peak, Derbyshire Dales, North East Derbyshire, Chesterfield, Bolsover (456k)

**Unitary 2:** South Derbyshire, Erewash, Amber Valley, Derby City (622k)

A north / south split of the county, with Amber Valley being part of the southern unitary.

#### **Option B1**



**Unitary 1:** High Peak, Derbyshire Dales, North East Derbyshire, Chesterfield, Bolsover, part of Amber Valley (567k)

**Unitary 2:** Derby City, Erewash, South Derbyshire, part of Amber Valley (511k)

Option B1 is a request for a modification to Option B, redrawing the boundary through Amber Valley, using Parish Councils as the building blocks.

North
South



This graphic provides an overview of the scores for each option:

						Opt	ion A			Optio	n A1			Opti	on B			Opti	on B1	
Government criteria	Criteria ¥eights	Unitary Option		Sub-Criteria Veights	U1	U2	Score	Veighted Score	U1	U2	Score	Veighted Score	UI	U2	Score	Weighted Score	UI	U2	Score	Veighted Score
		Geographic area (sq km)		15%	2,103	526	2	0.30	2,068	560	2	0.30	1,838	791	2	0.30	2,012	617	2	0.30
		GVA (£ million)		20%	13,719	13,148	3	0.60	13,309	13,558	3	0.60	10,565	16,302	1	0.20	12,632	14,235	2	0.40
Establishing a single tier of Local		Council Tax base Ratio of new minimum housing		20%	194,804	147,434	1	0.20	187,572	154,666	2	0.40	152,247	189,991	2	0.40	180,133	162,105	3	0.60
Government	100%	need to current Local Plan	100%	20%			2	0.40			2	0.40			2	0.40	1.81	1.19	2	0.40
		Population density (per sqm)		15%	277	940	2	0.30	274	912	2	0.30	248	786	2	0.30	268	872	2	0.30
		Existing boundaries used as building blocks		10%			3	0.30			1	0.10			3	0.30			1	0.10
		Population		40%	583,555	494,354	2	0.80	566,934	510,975	3	1.20	455,846	622,063	1	0.40	538,763	538,381	3	1.20
		Population Growth (2033)		10%	5.2%	3.8%	1	0.10	5.1%	4.0%	3	0.30	4.2%	4.8%	3	0.30	5.0%	4.2%	3	0.30
Efficiency, capacity and withstanding shocks	100%	Council tax income (£) per unit population	100%	30%	747	651	3	0.90	747	656	3	0.90	749	669	3	0.90	747	659	3	0.90
		General Reserves (FY27/28) (£'000)		20%	164,468	242,633	2	0.40	152,688	254,413	2	0.40	132,292	274,809	2	0.40	153,309	253,792	2	0.40
		65+ Population		40%	137,319	89,064	2	0.80	133,490	92,893	2	0.80	107,895	118,488	3	1.20	127,175	99,208	3	1.20
		Deprivation score		0%	0.11	0.12	3	0.00	0.11	0.12	3	0.00	0.12	0.12	3	0.00	0.11	0.12	3	0.00
High quality and sustainable		Total Crime Rate per 1,000 Population		0%	66	88	3	0.00	66	88	3	0.00	66	84	3	0.00	66	87	3	0.00
services		Homelessness Hate (per 1,000 Households) Apr-Jun 2024	100%	20%	0.96	2.15	1	0.20	0.97	2.10	1	0.20	1.04	1.84	2	0.40	0.98	2.02	1	0.20
		Unemployment rates (%) Percentage of Children (under 16) in	-	0%	3.6	4	3	0.00	3.6	3.9	3	0.00	3.5	3.9	3	0.00	3.6	3.9	3	0.00
		Relative low-income families		40%	22%	26%	2	0.80	22%	26%	2	0.80	22%	25%	3	1.20	22%	26%	2	0.80
		Sense of identity		20%			3	0.60			3	0.60			3	0.60			3	0.60
		Views expressed through engagement <b>unweighted</b>	100%	30%	36%		3	0.90	2	3%	1	0.30	34%		2	0.60	Not co	onsulted	1	0.30
Working together to understand and meet local needs	100%	Views expressed through engagement <b>weighted</b>		30%	32%		2	0.60	2	1%	1	0.30	39%		3	0.90	Not co	onsulted	1	0.30
and meet local needs		Alignment with NHS and Fire, Police boundaries		10%			3	0.30			2	0.20			2	0.20			2	0.20
		Housing Market Area		20%			2	0.40			3	0.60			3	0.60			3	0.60
		Alignment with Travel to Work Areas		20%			2	0.40			3	0.60			2	0.40			3	0.60
Supporting devolution		Population within a strategic authority		50%			3	1.50			3	1.50			3	1.50			3	1.50
supporting devolution 100% arrangements	100%	Effective governance within future strategic authority	100%	50%			3	1.50			3	1.50			3	1.50			3	1.50
Stronger community engagement	100%	Ability to deliver strong community engagement	100%	50%			3	1.50			3	1.50			3	1.50			3	1.50
and neighbourhood empowerment		Ability to address unparished areas		50%			3	1.50			3	1.50			3	1.50			3	1.50
Total Weighted Score Total Unweighted Score								14.40 14.70				15.00 15.00				15.40 15.10				15.40 15.40

#### **Scoring and Weighting Approach**

#### **Scoring**

For each metric across the three criteria, a Red, Amber, Green ('RAG') approach has been taken to provide a summary view of how each option performed.

Green: Option meets the definition of 'what good looks like'

Amber: Option partially meets the definition of 'what good looks like'

Red: Option does not meet the definition of 'what good looks like'

These correspond to a score of '3', '2', or '1' respectively.

The definition of what good looks like and why for each metric or factor, which underpins the scoring, are presented in the following pages.



#### Weighting

The following logic was adopted when applying weighting:

- The Government Criteria have equal weighting.
- The Sub-criteria that align with the 6 Government Criteria all add up to 100%
- The Strategic Group's insights were also built into the weighting

#### In the tables below we have described what good looks like and why

Government criteria	Metric	What does good look like and why?					
Establishing a single tier of Local Government	Geographic area (sq. km)	Relatively balanced population density and geographic areas between Unitary Councils, ensuring that each Unitary Council has sufficient space for housing development.					
	GVA (£ million)	Each Unitary Council has a sufficient GVA to generate tax and there is a balance between Unitary Councils, meaning good long-term prospects for all future authorities.					
	GVA per capita (£)	To give an indication of the general prosperity for citizens within the different Unitary Councils across the options.					
		Zero weighted for this analysis as the GVA impact for each option is captured in the criterion above.					
	Council Tax base	All authorities with a sufficient number and profile of properties to provide a Council tax base which can sustainably support services, with a reasonable balance between authorities.					
	Ratio of new minimum housing need to current Local Plan	Low and balanced between Unitary Councils, suggesting that homes are planned and delivered in a way that reflects local demand and minimises inequalities.					
	Population density (per sqm)	Relatively balanced population density and geographic areas between Unitary Councils, ensuring that each Unitary Council has sufficient space for housing development.					
	Existing boundaries used as building blocks	New Unitary Councils align with the Government's ambition to use existing boundaries as "building blocks."					
Efficiency, capacity and	Population	Minimum population threshold for governance viability (500k)					
withstanding shocks		Ministers have indicated that an average Unitary Council population of 500,000 or more will make a Case for Change more likely to be considered as the chosen option during the final proposal phase.					
	Population Growth (2033)	Balanced between Unitary Councils, reflecting a sustainable and equitable distribution of future population.					
	Business rates (£) per	Provides an indication of the income from business rates per population.					
	unit population	Zero weighted for this analysis as the difference is immaterial between the different options.					
	Council tax income (£) per unit population	Balanced between Unitary Councils, with all authorities having a sufficient level of Council tax income to enable a strong, stable economic foundation.					
	General Reserves	Balanced between Unitary Councils, without any authorities at a level of reserves which would impact the ability to deal with financial shocks.					
	Financing Costs as % NRE	No Unitary Councils exceeding 10% for debt financing as a percentage of net revenue expenditure. Whilst there is no single accepted level, 10% is sometimes quoted as a manageable level of financing costs as a percentage of net revenue expenditure (NRE).					
		Zero weighted for this analysis because there is no material difference between the options.					
	(Including County allocations)	A balance of financing costs as a percentage of net revenue expenditure across authorities suggests a serviceable debt portfolio and prudence within capital financing.					



Government criteria	Metric	What does good look like and why?
High quality and sustainable services	Deprivation score	Avoiding higher levels of deprivation and demand being clustered within individual Unitary Councils. Large differences would suggest areas with significant service delivery challenges, impacting resource allocation and financial planning.
		All options achieved the same score in this analysis; therefore, this criterion was zero weighted so that more weight could be applied to criterion where there were differences.
	65+ Population	Balanced proportion of older people between Unitary Councils, avoiding excessive pressure and strain on services in one area.
	Homelessness Rate (per 1,000	Balanced between Unitary Councils, avoiding disproportionately high homelessness rates in each Unitary Council in assessment against the national average.
	Households) Apr- Jun 2024	Unitary Councils with disproportionately high homelessness rates will have resource allocation and financial planning implications.
	Rough Sleeper Counts	Balanced between Unitary Councils, avoiding disproportionately high rough sleeper counts in each Unitary Council in assessment against the national average.
		Unitary Councils with disproportionately high rates of rough sleeping will have resource allocation and financial planning implications.
		All options achieved the same score in this analysis; therefore, this criterion was zero weighted so that more weight could be applied to criterion where there were differences.
	Female Life Expectancy	Balanced between Unitary Councils. Large differences would suggest public health disparities across authorities.
		All options achieved the same score in this analysis; therefore, this criterion was zero weighted so that more weight could be applied to criterion where there were differences.
	Total Crime Rate per 1,000	Balanced between Unitary Councils. Pockets or disproportionately high crime areas would impact resource allocation and financial planning.
	Population	All options achieved the same score in this analysis; therefore, this criterion was zero weighted so that more weight could be applied to criterion where there were differences.
	Percentage of Children (under 16) in Relative low-income families	Balanced between Unitary Councils. Large differences would suggest Children's social care need disparities across Unitary Councils, leading to unbalanced levels of demand and therefore greater pressure on individual authorities.
	Unemployment rates (%)	Balanced between Unitary Councils. Large differences would suggest areas that struggle with job creation and high employment support needs.
		All options achieved the same score in this analysis; therefore, this criterion was zero weighted so that more weight could be applied to criterion where there were differences.



Government criteria	Metric	What does good look like and why?
	Sense of identity	Unitary Council geographies reflect factors including culture, sense of place, common geographical features, and historical links between areas. Ministers have indicated that future Unitary Councils should instil a sense of local identity and not lose this through reorganisation.
	Views expressed through engagement	Proposals should align as far as possible with the views expressed through engagement with both the public and partners. Where concerns are raised there should be confidence that theses can be adequately mitigated.
Working together to understand and meet local needs	Alignment with NHS and Fire, Police boundaries	Unitary Council boundaries do not split existing public service partner geographies or require multiple. Configures that do not split current public service geographies will be able to work more efficiently and effectively together for the benefit of residents and communities.
	Housing Market Area	Unitary Councils have housing that is affordable to meet demand, a supply that is sufficient to match current and future needs, and ability to address specific challenges such as affordability issues or overcrowding.
	Alignment with Travel to Work Areas	Unitary Council boundaries minimise splitting of existing TTWA areas. Unitary Council boundaries that align with established travel to work areas would represent areas where the majority of residents live and work, indicating a greater sense of place and community.
Supporting devolution arrangements	Population within a strategic authority	Balanced population ratio between all Unitary Councils within a future strategic authority. Unitary Councils should seek balanced population sizes resulting in even power balance in authorities.
	Effective governance within future strategic authority	Balanced and fair representation, with the ability to effectively make decisions at strategic authority level.
Stronger community engagement and neighbourhood empowerment	Ability to deliver strong community engagement	A manageable geographic area and appropriate level of scale (i.e. not too large).  Helps determine whether the area allows for meaningful interaction, effective communication, and equitable representation between local leaders and the community.
	Ability to address unparished areas	Ability to quickly establish appropriate local democratic representation in unparished area in order to deliver effective double devolution.

This evaluation criteria framework is designed to ensure each aspect of the reorganisation proposal is thoroughly scrutinised, ensuring benefits are maximised across service delivery, governance, community engagement, and economic performance. Each criterion and its weight reflect the critical components required for a successful transition to a new local government structure in Derbyshire.



#### **Data Sources**

Dataset	Metric	Link
Estimates of the population for England and Wales	Population density (2023), Population (2023), 65+ Population (2023)	https://www.ons.gov.uk/peoplepopulation and community/population and migration/population estimates/datasets/estimatesofthe population for england and wales
Standard Area Measurements for Administrative Areas (December 2023) in the UK	Geographic Area (2023)	https://geoportal.statistics.gov.uk/datasets/da8590c5f55f4664b32ad4339f43419c/about
Regional gross domestic product: local authorities	GVA (2022), GVA per capita (2022)	https://www.ons.gov.uk/economy/grossdomesticproductgdp/datasets/regionalgrossdomesticproduct localauthorities
Tables on homelessness	Homelessness Rate (Apr-Jun 2024)	https://www.gov.uk/government/statistical-data-sets/live-tables-on-homelessness
Rough sleeping snapshot in England: autumn 2023	Rough Sleeper Counts (2023)	https://www.gov.uk/government/statistics/rough-sleeping-snapshot-in-england-autumn-2023/rough-sleeping-snapshot-sleeping-snapshot-sleeping-snapshot-sleeping-snapshot-sleeping-snapshot-sleeping-snapshot-sleeping-sleeping-sleeping-sleeping-sleeping-sleeping-sleeping-sleeping-sleeping-sleeping-sleeping-sleepi
Life expectancy for local areas of Great Britain	Female Life Expectancy (2023)	https://www.ons.gov.uk/peoplepopulationandcommunity/healthandsocialcare/healthandlifeexpectancies/datasets/lifeexpectancyforlocalareasofgreatbritain?utm
Mapping income deprivation at a local authority level	Deprivation score (2019)	https://www.ons.gov.uk/peoplepopulation and community/personal and household finances/income and wealth/datasets/mapping income deprivation at a local authority level
LIO1 Regional labour market: local indicators for counties, local and unitary authorities	Unemployment rates (Oct 23-Sept 24)	$\frac{https://www.ons.gov.uk/employmentandlabourmarket/peopleinwork/employmentandemployeetype}{s/datasets/li01regionallabourmarketlocalindicators for counties local and unitary authorities?utm}$
Crime in England and Wales: Police Force Area data tables	Total Crime Rate (2024)	https://www.ons.gov.uk/peoplepopulationandcommunity/crimeandjustice/datasets/policeforcearead_atatables
Housing Delivery Test: 2023 measurement	Housing Delivery Test (2023)	https://www.gov.uk/government/publications/housing-delivery-test-2023-measurement
Local authority revenue expenditure and financing England: 2023 to 2024 individual local authority data – outturn	Financing Costs as % NRE (2023-24)	https://www.gov.uk/government/statistics/local-authority-revenue-expenditure-and-financing-england-2023-to-2024-individual-local-authority-data-outturn
Derbyshire Observatory – Population Projections	Population Growth (2033)	https://app.powerbi.com/view?r=eyJrljoiMWU2MTliYTgtNWQ0My00Njk0LThjZjMtNzdiODYxM2QyZm UzliwidCl6ljQyOWE4ZWIzLTMyMTAtNGUxYS1hYWEyLTZJY2RIMGRkYWJjNSJ9
Turley & LPDF - Revised Standard Method Analysis - May 2025	Minimum housing need (2025)	https://www.turley.co.uk/comment/-standard-method-minimum-housing-need-england
National non-domestic rates collected by councils in England: forecast 2024 to 2025	Business Rates per unit population (2024- 25), Council tax income per unit population (2024-25)	https://www.gov.uk/government/statistics/national-non-domestic-rates-collected-by-councils-in-england-forecast-2024-to-2025
Children in Low Income Families: local area statistics, United Kingdom, financial years ending (FYE) 2015 to 2024	Percentage of Children (under 16) in Relative low-income families (2024)	https://view.officeapps.live.com/op/view.aspx?src=https%3A%2F%2Fassets.publishing.service.gov.uk%2Fmedia%2F67dc2c58c5528de3aa6711f9%2Fchildren-in-low-income-families-local-area-statistics-2014-to-2024.ods&wdOrigin=BROWSELINK



#### Data Sources (cont.)

Dataset	Metric	Link
Council Taxbase	Council Tax base (2025- 26)	https://democracy.highpeak.gov.uk/documents/s45054/HPBC%20-%20Council%20Tax%20Setting%202025-26%20FINAL.pdf https://democracy.derbyshiredales.gov.uk/documents/s12594/Council%20Tax%20Setting%20Report%202025-26.pdf#:~text=This%20report%20enables%20the%20Council%20to%20calculate%20and,in%20Appendices%20A%20to%20C%20of%20the%20report. https://south-derbys.cmis.uk.com/south-derbys/Document.ashx?czlKcaeAi5tUFL1DTL2UE4zNRBcoShgo=N4pMQjcljjit73m6Ufc3xEgXfFYQBth4Les79ifbl0 r7753gAkLF2g%3d%3d&rUzwRPf%2bZ3zd4E7lkn8Lyw%3d%3d=pwRE6AGJFLDNIh225F5QMaQWCtPHwdhUfCZ%2fLUQzgA2uL5jNRG4jdQ%3d%3d&mCTibCubSffXsDGW9lXnlg%3d%3d=hFfIUdN3100%3d&kCx1AnS9%2fpWZQ40DXFvdEw%3d%3d=hFfIUdN3100%3d&kuJovDxwdjMPoYv%2bAJvYtyA%3d%3d=ctNJFf55vVA%3d&WGewmoAfeNR9xqBux0r1Q8Za60lavYmz=ctNJFf55vVA%3d&WGewmoAfeNQ16B2MHuCpMRKZMwaG1PaO=ctNJFf55vVA%3d https://moderngov.erewash.gov.uk/documents/s39403/item%208%20GF%20Rev%20Budget%20report2526_FE8%20FINAL%20tidy%20up%20gaps.pdf https://democracy.ne-derbyshire.gov.uk/documents/g2866/Public%20reports%20pack%2027th-Jan-2025%2014.00%20Council.pdf?T=10 https://democracy.ne-derbyshire.gov.uk/documents/g2866/Public%20reports%20pack%2027th-Jan-2025%2014.00%20Council.pdf?T=10 https://chesterfield.moderngov.co.uk/documents/s26319/item%2011%20Council%20Tax%20report%202025-26%20FINAL.pdf https://democracy.derby.gov.uk/Document.ashx?czlKcaeAi5tUFL1DTL2UE4zNRBcoShgo=M0zMu8aqi8OCOA6Y 7Ab5cqA9sHTi99%2bR%2baLAYCicmDM0PwVrFstsPg%3d%3d&rUzwRPf%2bZ3zd4E7lkn8Lyw%3d%3d=pwRE6A GJFLDNIh225F5QMaQWCtPHwdhUfCZ%2fLuQzgA2uL5jNRG4jdQ%3d%3d&mCTlbCub5FfXsDGWJXnlg%3d%3d=hFfIUdN3100%3d&kCx1AnS9%2fpWZQ40DXFvdEw%3d%3d=hFfIUdN3100%3d&UJovDxwdjMPOYv%2bAJVtyA %3d%3d=ctNJFf55vVA%3d&RgewmoAfeNR9xqBux0r1Q8Za60lavYmz=ctNJFf55vVA%3d&WGewmoAfeNQ
Local plan	Housing need - current Local Plan	https://www.bolsover.gov.uk/services/p/planning-policy/planning-policy-documents/development-plan https://www.ne-derbyshire.gov.uk/planning-and-local-plan/planning-policy-and-local-plan/development-plan https://www.ambervalley.gov.uk/planning/planning-policy/local-plan/ https://www.chesterfield.gov.uk/planning-and-building-control/planning-policy-and-the-local-plan/development-plan-the-local-plan/ https://www.derbyshiredales.gov.uk/planning/planning-policy-and-local-plan/local-plan/local-plan-information-and-adoption https://www.derby.gov.uk/media/derbycitycouncil/contentassets/documents/environmentandplanning/planning/localplan/evidencebase/Core-Strategy ADOPTED DEC-2016 V3 WEB.pdf https://www.erewash.gov.uk/sites/default/files/2025-02/Erewash%20Core%20Strategy%202011-2028.pdf https://www.southderbyshire.gov.uk/our-services/planning-and-building-control/planning/planning-policy/local-plan/adopted-local-plan https://www.highpeak.gov.uk/article/646/The-Adopted-Local-Plan-2016







# Financial appraisal and financial assumptions



# Appendix 2: Financial appraisal and financial assumptions

#### **Methodology Overview**

The financial analysis followed a consistent, structured methodology, grounded in a national LGR approach and aligned with Government guidance. The steps included:

- 1. Scoping and Agreement of Method
  - a. Collaboration between KPMG and Derbyshire S151 Officers to define scope, financial principles, and data needs.
  - b. Agreement on the options to be modelled (variations of the 2 Unitary Council model) and the treatment of shared services and disaggregation.
- 2. Data Collection and Validation
  - a. Standardised data requests used, covering revenue budgets, reserves, capital plans, balance sheets, and key service metrics.
  - b. Gathered contextual and narrative information to understand pressures, risks, and transformation plans.
  - c. Weekly meetings held with S151 Officers to verify data accuracy, reconcile discrepancies, and align on inputs.
- 3. Baseline Construction
  - a. Built a consolidated financial baseline, combining all budgets into unified figures based on agreed assumptions (e.g., population apportionment, service cost splits).
  - b. Ensured removal of internal recharges and accounting for any double counting.
  - c. Developed opening balance sheet and reserve profiles for each proposed new authority.
- 4. Savings Estimation
  - a. Applied standardised top-down models to estimate savings across key categories:
    - i. Senior management and democratic structures

- ii. Corporate and back-office services
- iii. ICT rationalisation and systems integration
- iv. Estates and asset rationalisation
- v. Procurement and contract consolidation
- vi. Service transformation and demand management (where credible)
- b. Incorporated both direct (cashable) and enabling (efficiency) savings.
- Used a combination of local inputs and benchmark data from other LGR programmes to calibrate assumptions.
- 5. Implementation and Disaggregation Cost Estimation
  - a. Identified one-off costs required to deliver the reorganisation, including:
    - i. Programme management and transition team costs
    - ii. Redundancy and pension strain
    - iii. ICT integration or separation
    - iv. Property and rebranding
    - v. Legal and governance setup
  - b. Costs were phased with timing aligned to implementation logic.
- 6. Scenario Modelling
  - a. Developed a structured financial model that calculates, for each scenario:
    - i. Annual and cumulative savings
    - ii. Phased implementation investment
    - iii. Year-on-year net benefit
    - iv. Breakeven year
    - v. Total net financial benefit



# Appendix 2: Financial appraisal and financial assumptions (cont.)

#### Items Considered in the Financial Case

The financial analysis integrates a wide range of inputs and assumptions, grouped into three main elements:

- Recurring Savings: Cashable savings expected once reorganisation is complete and steady state is
  reached. These cover workforce reductions, systems rationalisation, contract management, and
  operating model changes. Savings are categorised by source, with baselines derived from
  current budgets.
- Implementation Investment: One-off investment required to implement the preferred model, typically incurred over the first two to three years. Includes programme delivery, ICT, staff redundancy, estates changes, and transitional double running.
- Disaggregation Costs: In options involving the separation of county-wide services (e.g., moving to two
  Unitary Councils), disaggregation costs reflect the additional effort, complexity, and duplication required
  to split shared systems and functions across new entities.

#### Scenario-Based Modelling Approach

Recognising the inherent uncertainty in savings realisation and implementation cost delivery, the analysis uses three financial scenarios to bracket the likely outcomes:

Scenario	Description
Base Case	A conservative scenario reflecting lower-end savings assumptions and higher delivery costs.  Reflects cautious change with limited transformation ambition.
Midpoint Case	The most likely scenario based on agreed central assumptions. Balances prudent savings estimates with realistic implementation ambition, aligned to local capability.
Stretch Case	A more ambitious but achievable scenario, assuming bolder service transformation, more aggressive rationalisation, and faster delivery. Also assumes more investment in digital and commercial capacity.

Each scenario uses the same methodology but varies assumptions across:

- % savings by category
- One-off cost estimates and phasing
- Degree of service transformation

This enables the financial case to:

- Demonstrate the robustness of the preferred option under different delivery environments
- Quantify the risk and upside potential of reorganisation
- Support stakeholder discussions on ambition vs

feasibility

- Outputs and Use in the Case for Change
   For each scenario and option, the model outputs:
- Gross and net annual savings
- Cumulative implementation investment
- Payback period (breakeven year)
- Total net benefit over 7 years



For the purposes of the submission the midpoint case has been used. The outputs from this inform both the financial case narrative and the comparative analysis between reorganisation options.

#### Financial Appraisal Evidence & Assumptions

This section provides the comprehensive technical evidence base that underpins the financial analysis presented in earlier sections of the Case for Change. It consolidates all supporting data, calculations, and assumptions used in constructing the financial model for the preferred LGR option, ensuring that the analysis is both transparent and auditable. The content here has been developed in close collaboration with finance teams from each existing Council, reflecting shared understanding of local data and a jointly agreed methodology.

The purpose of this section is to serve as the detailed reference layer that supports the narrative and conclusions reached in the main body of this document. It contains the full set of model inputs, mappings, and outputs, ranging from the derivation of the new revenue budgets to the disaggregation of reserves and capital positions, the workings behind estimated savings and implementation investment, and the 7-year financial forecasts under different scenarios. Each appendix clearly documents its source data, allocation approach, assumptions, and any material judgement applied in the modelling process. This ensures a clear audit trail from base data through to headline findings.

To support clarity and usability, the section is structured into three technical appendices, each aligned with a core element of the financial analysis:

- **Methodology and Assumption Log:** Captures the overarching modelling approach, data sources, macro assumptions, and the engagement steps taken to validate inputs with local finance leads.
- Savings Assumptions: Sets out the savings estimates in full, including baseline costs, percentage
  reductions, and rationale by category, as well as the modelling behind base, midpoint, and stretch
  scenarios.
- **Implementation Investment Breakdown:** Breaks down one-off transition and disaggregation costs by year and type, with cost drivers and any contingency assumptions clearly noted.

This section acts as the technical foundation upon which the financial case is built. It allows readers to interrogate the detail behind each modelling decision and to have confidence in the robustness, transparency, and evidential basis of the conclusions drawn.



#### Methodology and Assumption Log:

The phased model has been prepared in three sections – assumptions, calculations and outputs. The outputs include the calculation of payback period, individual year impact of LGR and a cumulative impact of LGR. These outputs help in assessing the viability of the LGR options being assessed.

The model is based on the following three key assumptions:

- 1. Savings costs
- 2. One-off implementation investment
- 3. Disaggregation costs

The phased model projects the above across thirteen years, including three pre-implementation years (Base Year, Year -1 and Shadow Year) and ten post-implementation years.

The model is, however, based on 2024/25 prices and does not include any adjustment for future inflation for both costs as well as savings. The phased model also does not include the benefit of Council Tax harmonisation.

The inputs as well as outputs have been prepared and validated internally with Section 151 officers. These reflect the best estimates as of the writing of this case.

#### Savings Assumptions:

The overall savings assumptions have been prepared using a mix of top down and bottom-up savings approaches, as outlined below.

#### Top-down approach:

The overall savings assumptions for the current LGR have been calculated based on the outlined savings of Unitary Council authorities as outlined within previous Case for Change documentation. These included 14 previous cases for change across England ranging from cases submitted between 2009 and 2023. The data included Base Case and Stretch case savings.

For each individual previous case, an average savings per population base was calculated for both the Base case and Stretch case savings. These were subsequently indexed up from the relevant transition year (per the previous Case for Change) to April 2025 prices. A simple arithmetic average of indexed savings per population base informed the overall average indexed saving per population, which was used to calculate the total 'top-down' savings.

The top-down savings were split into underlying savings categories (as reflected in table below) using a percentage allocation mix based on internal discussions.

Savings by category as calculated from the top-down approach was subsequently compared with the savings calculated using the bottom-up approach.



#### Bottom-up approach:

To estimate the potential savings using the bottom-up approach, an overall spend against each of the savings' categories was identified and a corresponding high-level saving against spend (in percentage terms) was made against each of the categories.

The total savings were then aligned across the bottom-up and top-down approaches to ensure a realistic savings assumption by category. Where spend data by savings category was not available (e.g. Service Contract Consolidation, Asset & Property Optimisation, Consolidating Fleets & Optimising Routes) the top-down savings assumptions were retained.

Saving Name	Description	Rationale and Assumptions	% of Total Savings	Value of Savings £'m
Leaner Leadership Structures	Reviewing the number of senior leadership roles required in the new Council structures, combining roles where possible, so we have fewer leaders doing more impactful work.	Assumes a single senior leadership team replacing multiple teams. Realisation assumes no significant delays from legal/TUPE, trade union or governance negotiations.	17%	7,366
Achieving the right team size	Ensuring we have the right number of staff for the services we provide, using technology and training to do more. Reviewing structures in the new Council to take account of role consolidation and automation.	Assumes structures will reduce through consolidation, automation and natural wastage.  Realisation depends on culture change, system integration and union engagement.  Realisation also assumes no significant delays from legal/TUPE, trade union or governance negotiations.	26%	11,540
Streamlining Support Services	Bringing together supports services such as HR, Finance, and IT from multiple organisations and merging into single, unified teams to improve efficiency and reduce costs	Merger of centralised functions at each new Council, rightsizing where appropriate.  Requires effective digital systems, unified policies and process harmonisation to be in place.  Realisation also assumes no significant delays from legal/TUPE, trade union or governance negotiations.	11%	4,911
Smarter Buying and Outsourcing	Reviewing how we buy goods and services to find better deals and reduce costs.  Where appropriate, consolidating similar contracts presents an opportunity to renegotiate terms and achieve economies of scale with suppliers.	Centralised procurement team at each new Council. Assumes common suppliers to lever purchasing scale.  Realisation requires effective digital systems, unified policies and process harmonisation to be in place.	11%	4,911



Saving Name	Description	Rationale and Assumptions	% of Total Savings	Value of Savings £'m
Combining Service Contracts	Understanding current and joint service arrangements between existing Councils, combining similar service contracts and using our buying power to get better prices and terms from suppliers.  This will need to consider existing arrangements with third parties.	Assumes merging of contracts and renegotiation over time.  Realisation is dependent on contract cycles, procurement capacity and provider cooperation.	11%	4,911
Right Sized Governance	Aligning the number of Councillors and the costs of running elections and Council meetings match the size of the new Council.	Realisation assumes reduction in number of Councillors and associated committee and democratic support costs  Also assumes new governance model implemented immediately post-reorganisation.	8%	3,238
Use of Technology	Using technology to automate tasks. Rationalise platforms and architecture and associated support.	Streamlining systems, rationalising IT estate.  Rationalisation dependent on upfront investment in digital infrastructure, system integration and culture change.	2%	982
Making the best use of Assets	Reviewing land and buildings to make sure they're being used in the best way to support organisational and community needs	Release of surplus office space, lease terminations, or revenue from letting/disposals.  Contingent on lease terms, alignment of asset strategies including capital receipts and local market conditions.	10%	4,420
Improving Customer Services	Improving how we communicate with residents, including better contact centres and online self-service options, to create savings and boost satisfaction	Channel shift to digital, contact centre consolidation, and automation of transactions.  Rationalisation dependent on upfront investment in digital infrastructure, system integration and culture change.	2%	737
Smarter use of Fleet	Reducing the number of Council vehicles and planning smarter routes to save fuel, cut costs, and help the environment	Integration of transport assets across services Rationalisation benefits depend on upfront investment in consolidated fleet management tools, depot locations and service redesign.	2%	982
Total			100%	43,998



#### Implementation Investment Breakdown:

The overall implementation investment assumptions have been prepared using a top-down approach only, based on the implementation investment as outlined within previous Case for Change documentation. These included the same previous cases for change used to inform the top-down savings assumptions, to ensure consistency. The data included Base Case and Stretch case implementation investment.

These were calculated as One-off implementation investment and Disaggregation costs.

#### **One-off implementation investment:**

For each individual previous case, an average one-off implementation investment per population base was calculated for both the Base case and Stretch case. These were subsequently indexed up from the relevant transition year (per the previous Case for Change) to April 2025 prices. A simple arithmetic average of indexed one-off implementation cost per population base informed the overall average indexed one-off implementation per population. The final figure was then apportioned across the cost categories underpinning the one-off implementation investment (see below table).

The top-down estimates were validated against bottom-up analysis, particularly for digitisation and consolidation of the ICT estate and infrastructure. No additional ongoing costs have been included for the disaggregation of Adult Social Care and Children's Services as these services are already being delivered in two existing Councils. However, one off costs for reshaping the two Council's services on separation have been included. District disaggregation costs have been included for Option A1.

Cost Category	Description	Rationale and Assumptions	% Costs	Costs £m
Staff Exit Costs	Payments to staff who leave due to restructuring, including redundancy, pensions, and contract changes.	Redundancy and termination costs will reflect staff remuneration and length of service.  Negotiations (legal/TUPE, trade union or governance) required	39%	26,132
Staff Training and Development	Extra costs to train and prepare staff for new roles and responsibilities in the reorganised Councils.	Cost allowed for retraining through redeployment of workforce. Will depend on actual training needs on review of skills at each new Council	4%	2,582
Transition Support Team	Costs for the team managing the change, including legal experts, contract negotiators, project managers, and other specialists.	A significant transition team required for each Council. Includes legal, HR, finance, project support, public consultation. Some benchmarks include change management and creation of new Councils.	10%	6,456



Cost Category	Description	Rationale and Assumptions	% Costs	Costs £m
Communication and Culture Change	Spending on branding, public information, staff communications, and training to help everyone understand and adapt to the new Council setup.	Cost allowed for rebranding, change, and engagement.	3%	2,066
Processes Alignment	Work required to align policies and procedures, and facilitate effective service transition, including constitutional updates.	Cost allowed for upfront investment needed to harmonise processes and procedures as part of the transition.	6%	3,873
Systems and IT Integration	Costs to align/merge digital systems and infrastructure, including data migration, commonality of cyber security, and training.	Costs reflect the increasing reliance on digital systems and infrastructures and the significant size of this integration.  Assumed costs incurred are to ensure both Councils can operate on day 1 (network connectivity, servers/cloud, telephony, website, service systems etc) and exclude costs that relate to transformation	18%	12,000
Buildings and Facilities Changes	Costs for changing how buildings are used, ending leases, and selling or repurposing properties.	Costs allowed for lease termination fees, asst rationalisation planning and ongoing operating costs such as NNDR and Utilities	6%	4,132
Contingency	Extra budget set aside to cover unexpected costs and ensure financial prudence	Standard across all cases for change	8%	5,165
District Realignment and Upper Tier Disaggregation (one off)	One-off costs to reorganise district level services (Option A1 and B1).  One off cost for reshaping uppertier Council services on separation.	Costs to reorganise service delivery structures to align to the new structures. Additional district disaggregation costs have been included in Option A1 and B1.	6%	4,042
Total			100%	66,448









# **Appendix 3: Options**

#### Introduction

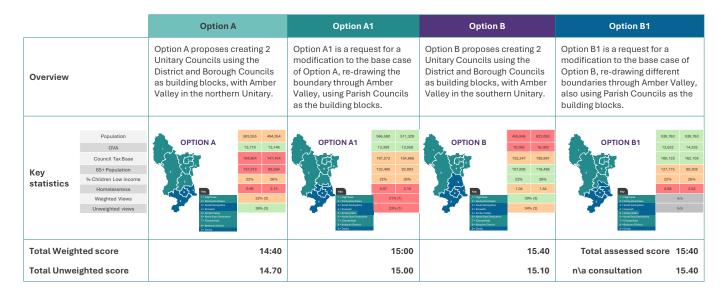
The main part of this document describes the benefits of establishing two unitary councils in Derbyshire. This appendix explains the specific benefits associated with the individual options that have been developed around the two unitary council option. Each council that is a signatory to this proposal is proposing one of these options.

The 8 District and Borough Councils and Derby City Council have identified 2 alternative base options (A and B) with 2 modifications (options A1 and B1) that each include a boundary change:

- Option A built on existing district and borough boundaries with Amber Valley being part of the northern council.
- Option B built on existing district and borough boundaries with Amber Valley being part of the southern council
- Option A1 a modification request based on Option A as the base proposal and the modification with Amber Valley split at parish level. This option was, consulted on in the public consultation (Formerly Option C).
- Option B1 a modification request based on Option B as the base proposal and the modification A further variation splitting Amber Valley along a different set of parish boundaries. This option was formulated after the consultation in response to evidence gathered and further deliberation analysis.

These four options have been evaluated based on the criteria set by the Government, including their financial, geographical, and community impacts. Full details on the benefits of each option and how each configuration meets key standards and supports residents, businesses, and partners are included in this appendix. At the end of the description of each option we have confirmed which council(s) support that option.

#### Below is a summary of the key statistics:





#### Option A: Amber Valley being part of the northern Unitary Council

#### **Summary**

Option A proposes the creation of two Unitary Councils in Derbyshire, using the existing District and Borough Councils as the foundation for reorganisation. Under this model, Amber Valley would be included in the northern Unitary Council.

This approach draws on existing district boundaries as a foundation for Local Government Reorganisation. Whilst new administrations and structures will be established from 1 April 2028, using current boundaries helps to reflect existing communities and local identities, providing a degree of familiarity for residents during the transition.

The population levels under Option A meet the Government's criteria for establishing two balanced and sustainable Unitary Councils. Furthermore, consultation respondents who support reducing the number of councils in Derbyshire, as well as those who agree with the Government's broader plans for Local Government Reorganisation in England, are significantly more likely to favour Option A. For example, 45% of respondents who support reducing the number of councils also support Option A<sup>1</sup>.



**Unitary 1**: Amber Valley, Derbyshire Dales, High Peak, Chesterfield, Bolsover, North East Derbyshire (584k)

**Unitary 2:** Derby City, South Derbyshire, Erewash (494k)

A north/south split of the county, with Amber Valley being part of the northern unitary

Option A also emerged as the most favoured option overall among consultation respondents, with 36% supporting Option A, compared with 34% for Option B and 21% for Option A1 (consulted as Option C)<sup>1</sup>.

#### Note:

All references to the consultation are based on the total number of respondents and are not weighted by the population of each council area.
 While weighting can help adjust for differences in population size or response bias, applying such weights could obscure variations in engagement levels between council areas.



It is important to note that Option B1 has not been subject to public consultation.

Support for Option A is particularly strong amongst Amber Valley residents, where 54% of respondents agreed with this model. This reflects a clear preference and a shared sense of identity with communities in the proposed northern Unitary Council. Notably, 24% of all respondents to the Derbyshire wide consultation live in Amber Valley, despite the area representing only 11.9% of the county's population, thus indicating a strong desire among Amber Valley consultation respondents to express their views and concerns. This is likely due to Amber Valley's unique position as the only area that could fall within either Unitary Council or potentially be divided between them. The future we are working towards is one where two councils stand side by side, each financially sustainable and equally strong. They are designed not as competing authorities, but as complementary partners both resilient, both capable, and both committed to delivering for the communities they serve.

Option A delivers a strong foundation for growth by carefully balancing population and economic strength to equip both Unitary Councils with the tools and resources they need to ensure residents prosper. This vision is rooted in the character of place by reflecting established local identities, supporting community cohesion and neighbourhood empowerment. The new councils will reflect the identities of their local areas, aligning naturally with the community infrastructure links that people rely on and the economic geographies that shape opportunity.

Option A respects the existing district boundaries that people recognise, ensuring continuity while providing a foundation for service transformation and efficiency. By creating councils that are ready and able to further embrace devolution which has already commenced with the establishment of the East Midlands Combined County Authority (EMCCA), we place the region in a position of strength able to act quickly, unlock investment, and deliver benefits that reach every resident and business. This is not just reorganisation, it is the opportunity to shape councils that are future-ready; designed to deliver growth and opportunity at pace and secure the benefits of devolution through rapid economic growth.

Option A is a less complex and deliverable route to reorganisation. It respects existing district boundaries, reflecting also the views expressed in the consultation by Amber Valley residents and avoids the additional costs of disaggregation and unnecessary complexity and disruption, meaning Derbyshire can move at pace to attract investment, commence transformation and help deliver the benefits of devolution to residents.

#### Criteria 1: The establishment of a single tier of local government for the whole area

By establishing two balanced councils of scale, Derbyshire has the opportunity to redesign services around communities, cut out duplication, and modernise the way local government works. This is not just about changing structures, it is about creating councils that are smarter, more efficient, and more responsive to the needs of residents.



#### **Option A enables:**

- Alignment with key partners such as the NHS, police, and fire services. For Options A1 and B1, the
  disaggregation of district services would introduce additional complexity in aligning with the proposed
  new structures.
- Modernisation of services, with councils able to invest in new technologies, streamline processes, and deliver better outcomes for residents.
- A fair balance of resources, ensuring both the north and south can make bold, future focused decisions to improve services, invest in communities, and support economic growth.
- Removal of the existing Local Planning Authority boundaries within the Housing Market Areas would eliminate
  the need for formal cross-boundary agreements such as Statements of Common Ground and Duty to
  Cooperate. Planning applications and Local Plans could proceed more quickly under these single
  governance structures, accelerating housing delivery.

This transformation is about creating fit for purpose councils that are financially resilient, democratically accountable, and capable of driving improvements in everything from social care and housing to climate action and local infrastructure.

Option A provides a strong overall population balance between the North (584k) and South (494k), meeting the MHCLG key criterion of a minimum population for Unitary Councils. This compares favourably against Option B, which has less balanced population numbers. (North 455k South 622k) Option A also achieves a closer alignment in Gross Value Added (North: £13.7m; South: £13.1m), when compared to base Option B (North £10.6m South £16.3m)

By working with existing district boundaries, this avoids complex redrawing required for Option A1 and B1 and maximises the opportunity for a quick and smooth transition, unlocking the benefits of reorganisation to commence transformation and help deliver the benefits of devolution.

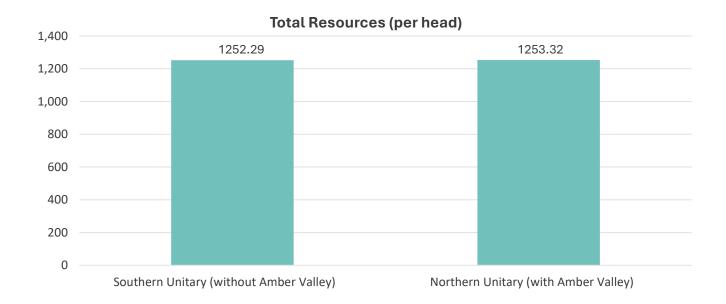
Criteria 2: the right size to achieve efficiencies, improve capacity and withstand financial shocks

Option A offers a strong foundation for financial stability. The financial analysis indicates that breakeven is achieved in Year 4, after which cumulative savings exceed implementation costs. This represents a payback period of 3.55 years making it one of the least complex and least costly proposals to implement. This option also has a shorter payback period compared with other more complex options which have the added burden of disaggregating across district boundaries. As this option does not seek to split a district, it reduces the projected one-off disaggregation costs of implementation by approx. £1 million Furthermore, Option



A will have sufficient reserves to implement reorganisation, drive delivery and sustain transformation.

Option A	Unitary 1	Unitary 2	Total
Population	583,555	494,354	1,077,909
Annual savings (from year 6)	23,819	20,178	43,997
Implementation Costs (one off)	(33,785)	(28,621)	(62,406)
Disaggregation Costs (one off)	(1,624)	(1,376)	(3,000)
District Disaggregation (one off)	0	0	0
Payback (years)	3.55	3.55	3.55



<sup>\*</sup>data used in 2026/27 forecast funding allocations

Whilst the options appraisal is grounded in technical analysis, there are also several nuances that a technical assessment alone cannot fully capture. For example, whilst Option A doesn't have the most equally balanced Council Tax base (North: 195k; South: 147k), it does have the most balanced income per head of population (North: £1,253; South: £1,252), when all sources of funding are taken into account, thus demonstrating a model that avoids over-reliance on any single income source or area. This balance enhances overall efficiency, builds capacity for transformation, and ensures both councils are well positioned to withstand financial shocks and invest in service improvement and local priorities



#### Criteria 3: the delivery of high quality and sustainable public services

As the two Unitary Councils proposed under Option A will be similar in population size, we would expect the high-quality services currently delivered by the existing councils to form the basis of future technology led transformation to achieve improvements in service delivery and substantial efficiencies, freeing up resources for reinvestment.

Under Option A, both Unitary Councils would have broadly comparable levels of economic resilience and social wellbeing. The northern area records slightly lower levels of deprivation (0.11 compared with 0.12 in the south), a lower unemployment rate (3.6% compared with 4.0%), and lower crime levels (66 incidents per 1,000 residents compared with 88 per 1,000 in the south). These figures indicate that while the northern unitary performs marginally better on some social and economic indicators, the overall position across both areas is broadly balanced. This balance supports the delivery of high-quality and sustainable public services across the county, ensuring that neither council faces disproportionate levels of need or challenge.

By maintaining this balance, Option A creates two strong and capable authorities, each able to plan and deliver services effectively, respond to local priorities, and invest in prevention and improvement initiatives that promote long-term social and economic resilience.

Although the challenges vary across the two areas, for example, levels of homelessness and the proportion of children under 16 in relative low-income families are higher in the southern Unitary Council, this is balanced with the proportion of residents aged over 65 which is greater in the northern Unitary Council; these differences reflect potential variation in the types of services being accessed, rather than any overall imbalance in demand. Taken together, the two councils would be broadly equal in terms of service pressures, available resources, and opportunities for improvement. This balance places both councils in the strongest possible position to prioritise the delivery of high-quality public services, tailored to the needs of their communities.

#### Criteria 4: a view that meets local needs and is informed by local views

Whilst the options appraisal provides a strong technical foundation, it is equally vital to appreciate the sense of place, lived experience, distinct identities, histories, and community values that cannot be fully captured through data alone. To support this, consultation has been undertaken to ensure that local perspectives and priorities are fully reflected alongside the technical evidence.

The engagement activities with both residents and stakeholders are detailed within the core document.



The results from the public consultation found that there was a higher level of engagement from Amber Valley residents, as the one district that could be placed in either the northern or southern Unitary Council or split between the two. Overall, the results of the consultation identified that 36% of the total Derbyshire wide respondents favoured Option A, compared to 34% for Option B, and 21% with Option A1 – consulted as Option C (Option B1 has not been the subject of public consultation), with Amber Valley respondents notably more likely to express a level of agreement with Option A (54%)<sup>1</sup>.

Respondents were asked during the consultation process to explain their answers, to help understand the reasons behind their level of agreement with Option A. A total of 62% provided further explanation.

#### Key themes emerging from these responses include:

**Logical or natural division: Some** felt Option A represents a clear and logical split, making geographical sense and reflecting existing local identities and connections. Respondents highlighted that the north and south of Derbyshire are naturally distinct in terms of needs, identity, and infrastructure. This view was particularly strong among Amber Valley and Derbyshire Dales respondents.

**Fair and balanced: Some r**espondents noted that Option A provides a more balanced split in terms of size, workload, and resources, creating a clear and fair division between the north and south. This perspective was again most common among Amber Valley and Derbyshire Dales residents.

Maintains local council boundaries: Some respondents valued that Option A avoids splitting existing local councils, a particular concern raised in Amber Valley. They emphasised that dividing current council areas could be complex and undermine the potential benefits of reorganisation. Respondents also noted that Option A aligns well with existing services and partnership arrangements, reducing risk and supporting continuity in delivery.

**Rural focus:** Some respondents expressed concern about being part of a new council dominated by a large urban centre such as Derby City, fearing this could result in rural inequity or inconsistency in service access. This was again a particular concern among Amber Valley respondents.

Finally, respondents who support reducing the number of councils in Derbyshire, as well as those who agree with the Government's broader plans for Local Government Reorganisation in England, are significantly more likely to favour Option A. For example, 45% of respondents who support reducing the number of councils also support Option A.<sup>1</sup>.

Note:

<sup>1.</sup> All references to the consultation are based on the total number of respondents and are not weighted by the population of each council area. While weighting can help adjust for differences in population size or response bias, applying such weights could obscure variations in engagement levels between council areas.



#### Criteria 5: support devolution arrangements

Option A proposes that the two similarly sized Unitary Councils become equal partners and constituent members of EMCCA, working collaboratively to support the elected mayor's missions. By fostering genuine partnership and joint ownership, this model strengthens collective leadership, enhances the region's strategic capacity, and ensures that both councils contribute equitably to delivering inclusive growth, resilience, and innovation across the area

# Criteria 6: enable stronger community engagement and deliver genuine opportunity for neighbourhood empowerment

Option A is in a strong position to build on relationships with residents to further enhance community engagement and neighbourhood empowerment, for example through the establishment of Neighbourhood Area Committees for local decision making which aligns closely with the Government's ambition to strengthen decision making at neighbourhood-level.

Much of Derbyshire is also parished, and there will be opportunities to explore the creation of further parish councils where there is community demand. The parishes can play a vital part in local democracy, particularly in advocating for their local areas. All Councils within Derbyshire have significant experience of engaging with residents, partners and stakeholders, which will be hard wired into the new Unitary Councils at establishment, and which will ensure true local engagement and empowerment of Derbyshire residents. Additionally, Option A has gained support from the Amber Valley MP.

MP for Amber Valley: "I recognise as MP for Amber Valley that the technical assessment of the options for local government reform which have been developed by the Districts and City Council in Derbyshire, identifies that any of the four options will lead to the creation of sustainable unitary councils. However, it is my view that only Option A reflects the reality of the lived experience of local residents and respects their wishes, which have been so clearly articulated through public consultation. I take the view that any option which seeks to divide Amber Valley between different unitary councils would have a significant negative impact on the provision of services for its residents during the transitional period. Furthermore, any such division would place a significant additional burden on Amber Valley Borough Council through the need to disaggregate services, facilities, staffing and data, which following 14 years of austerity the Council does not have the capacity to implement, thereby placing reorganisation in Derbyshire at risk".

#### Council size

The proposed Council size for the Northern Unitary is 92 councillors and the Southern Unitary is 70 councillors.





#### Conclusion

Option A presents a compelling pathway for Local Government Reorganisation in Derbyshire. It offers a balanced and deliverable model that aligns with MHCLG's six criteria, ensuring both financial sustainability and community representation by placing Amber Valley within the Northern Unitary Council. Option A also respects existing district boundaries aligned with the principle of utilising existing boundaries as the building blocks for new Unitary Councils. Additionally, this option reflects the preferences of consultation respondents<sup>1</sup>.

Option A also supports strategic alignment with EMCCA, enabling Derbyshire to maximise its influence and access to devolution funding. Importantly, Option A is less complex to implement than other options that require disaggregation, offering a rapid payback period and minimal disruption to existing structures. Based on the views of consultation respondents, particularly from Amber Valley residents<sup>1</sup> Option A offers a fair, efficient, and locally grounded solution that empowers neighbourhoods, strengthens the regional voice, and lays the foundation for sustainable growth and transformation across Derbyshire.

#### **Councils proposing this option**

This option is proposed by:	
{Council Names}	{Logo}
Signed	
Leader:	

Note:

<sup>1.</sup> All references to the consultation are based on the total number of respondents and are not weighted by the population of each council area. While weighting can help adjust for differences in population size or response bias, applying such weights could obscure variations in engagement levels between council areas.



# Option A1: (Modification request based on Option A): Amber Valley being split between the northern and southern Unitary Councils

#### **Compliance statement**

Option A constitutes the Base Proposal and is based on whole district boundaries, prepared in accordance with Part 1 of the Local Government and Public Involvement in Health Act 2007 and the February 2025 invitation. It is a statutory base proposal and not the final proposal being advanced. Our substantive proposal is Option A1 described here.

#### **Summary**

Background to the base proposal, Option A proposes the creation of two balanced Unitary Councils in Derbyshire, using existing district and borough boundaries as the foundation. This approach helps preserve local identity, drawing on existing district boundaries as a foundation for Local Government Reorganisation. While new administrations and structures will be established from 1 April 2028, this option seeks to reflect existing communities and local identities, providing a degree of familiarity for residents. With Amber Valley included in the northern Unitary Council, this option meets the Government's criteria for population balance, offering a fair and deliverable model for reorganisation.

Public consultation results (unweighted¹) show strong support for Option A. Among all respondents, 36% favoured this option, more than any other alternative. Support is particularly strong in Amber Valley, where 54% of consultation respondents backed Option A. Notably, although Amber Valley represents only 11.9% of Derbyshire's population, it accounted for 24% of consultation responses. This is likely due to Amber Valley's unique position as the only area that could fall within either Unitary Council or potentially be divided between them.

Economically, Option A delivers a balanced foundation for growth. Fiscal sustainability is also strong and balanced in relation to both Council Tax and business rates per head, indicating that both councils would have robust revenue bases without over-reliance on any single area.

Option A would create two Unitary Councils with broadly similar levels of economic resilience and social wellbeing. While the northern area shows slightly lower deprivation (0.11 vs. 0.12), unemployment (3.6% vs. 4.0%), and crime rates (66 vs. 88 incidents per 1,000 residents), they retain similar levels of economic resilience and social wellbeing. This balance helps ensure both councils can deliver sustainable, high-quality public services without facing unequal levels of need or pressure.

Strategically, Option A is well-positioned to support devolution. It fits naturally within the geography of the East Midlands Combined County Authority (EMCCA), enabling the creation of four equal constituent members. This structure enhances Derbyshire's influence at the regional level, giving it a stronger voice in decisions on funding and strategy. The model also encourages cross-border collaboration between the two councils, particularly in areas such as labour markets, housing, and transport corridors, which are key drivers of economic growth.



By reflecting established local identities, Option A fosters community cohesion and neighbourhood empowerment. It respects the character of place, aligning with transport links and economic geographies that shape opportunity. The councils are designed not as competitors, but as complementary partners that are financially sustainable, resilient, and committed to delivering for their communities. Option A aims to create councils that are fair, balanced, and future-ready, capable of unlocking investment and accelerating growth through devolution.

Option A stands out as a deliverable and low-risk path forward. With this model, Derbyshire is positioned to move quickly, attract investment, and deliver meaningful benefits to residents and businesses across the region.

**Option A1 (consulted as Option C) is a request for a modification** to the base case of Option A, redrawing the boundary through Amber Valley, using parish councils as the building blocks (see table below).

Table 1: Parish Council split for Option A1 North and South

North	South
Aldercar and Langley Mill	Duffield
Alderwasley	Holbrook
Alfreton	Horsley
Ashleyhay	Horsley Woodhouse
Belper (in the South for B1)	Kedleston
Codnor	Kirk Langley
Crich	Mackworth
Denby (in the South for B1)	Mapperley
Dethick, Lea and Holloway	Quarndon
Hazelwood	Ravensdale Park
	(In the North for B1)
Heanor and Loscoe	Smalley
Idridgehay and Alton	Turnditch (in the North for B1)
Ironville	Weston Underwood
	(in the North for B1)
Kilburn (In the South B1)	Windley
Pentrich	
Ripley	
Shipley (In the South for B1)	
Shottle and Postern	
Shottle and Postern Somercotes	



**Unitary 1**: High Peak, Derbyshire Dales, North East Derbyshire Chesterfield, Bolsover, part of Amber Valley (567k)

**Unitary 2:** Derby City, Erewash, South Derbyshire, part of Amber Valley (511k)

A north/south split of the county, with Amber Valley being split between the northern and southern unitary



In recognition of the advice offered by MHCLG in relation specifically to requests for a modification, Option A1 continues to demonstrate financial sustainability and delivery of strong public services. It is a better fit to the Government criteria because it builds on the strengths of the base proposal Option A, whilst enhancing it further. The key benefits of this modification include:

- The southern Unitary having less geographic constraint, with Derby City able to grow in all directions particularly the northwestern border.
- A better overall balance of population.
- An almost equal level of GVA (gross value added) stronger than all other options.
- A more balanced Council Tax base.
- More balanced 65+ populations.

Along with the important metrics detailed above, Option A1 also recognises the lived experience of the people of Amber Valley, taking cognisance of community ties, their functionality, social connection and integration. This option demonstrates a commitment to reflecting actual social and geographical realities, rather than relying solely on administrative convenience. Areas in southern Amber Valley share stronger cultural, economic, and infrastructural links with what will become, a southern Unitary. This modification helps to ensure that communities remain connected to the areas they naturally gravitate toward, preserving a sense of belonging and shared purpose into the future.

This option will also allow those residents in the south of Amber Valley who 'gravitate towards Derby City for work, recreation or leisure, to benefit from and have a say in the running of the southern Unitary, Similarly, residents with a stronger affinity to northern Derbyshire will be able to do 'likewise' in the northern Unitary.

This option recognises that effective governance is not just about efficiency, it's about fostering belonging, of pride and connection to place, it's about demonstrating that communities are heard. This option demonstrates that our communities have been heard, and it shows adaptability and forward-thinking, a strengthening of option A without compromising its integrity, based on real-world findings.

Overall, this option includes a more nuanced and community-sensitive boundary adjustment to Option A. It offers a well-reasoned, context sensitive alternative that recognises local realities are equally as important as other insights and information taken account of in the development of the proposals including technical metrics. It addresses specific community concerns without undermining the overall structure and intent of the Option A base proposal. In doing so, it enhances the base proposal's credibility, demonstrating responsiveness, therefore making it more likely to gain support from both local stakeholders and central government. This more rounded, people-centred modification presents a compelling case for a bright future for decades to come.



#### Criteria 1: The establishment of a single tier of local government for the whole area

Whilst building on the strengths of the base proposal Option A, Option A1 better meets the Government's requirement for a minimum of 500k population for both Unitary Councils with a more balanced population between the North (567k) and the South (511k). This equilibrium extends beyond population: both councils show near parity in Gross Value Added (North: £13.3bn; South: £13.6bn), and the Council Tax Base split reflects a stronger, more equitable position than the base proposal (North: 188k; South: 155k).

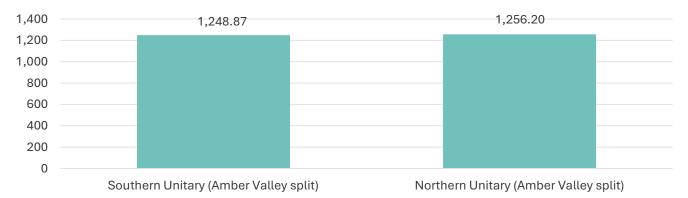
Crucially, Option A1 creates the potential for housing growth around Derby City. With fewer constraints and reduced administrative complexity, the City can expand outward in all directions, embracing opportunity rather than being held back by structural limitations. By thoughtfully dividing Amber Valley taking cognisance of community ties, their functionality, social connection and integration, Option A1 ensures that the southern Unitary remains proportionate to its northern counterpart, avoiding imbalance and fostering a fairer future for both northern and southern Derbyshire.

In addition, removal of the existing Local Planning Authority boundaries would eliminate the need for formal cross-boundary agreements such as Statements of Common Ground and Duty to Cooperate. Planning Applications and Local Plans could be processed more quickly under these single governance structures accelerating housing delivery.

# Criteria 2: The right size to achieve efficiencies, improve capacity and withstand financial shocks

Option A1 offers a strong foundation for financial stability and operational confidence. This modification to the base proposal slightly reduces the geographical size of the northern Unitary whilst slightly growing the southern Unitary, offering more in terms of balance. Both Councils are closely aligned in terms of resources per head, ensuring fairness and sustainability across the board. Each will have sufficient reserves, not only to support the reorganisation process but to drive transformation that benefits communities for years to come.

#### **Total Resources (per head)**



<sup>\*</sup>data used in 2026/27 forecast funding allocations



The breakeven analysis presents a positive picture. Whilst the disaggregation of services in Amber Valley introduces a slight increase in implementation costs, the impact is minimal compared to the benefits outlined within this option. Overall, Option A1 reaches breakeven in Year 4 with cumulative savings overtaking initial costs by Year 3.58. From that point forward, the financial benefits continue to grow, marking a turning point where investment begins to pay off in real, measurable ways.

Option A1 presents a future-proof structure that can weather financial storms, unlock efficiencies, and deliver better services with confidence and clarity.

Option A1	Unitary 1	Unitary 2	Total
Population	536,800	541,109	1,077,909
Annual savings (from year 6)	21,910	22,086	43,997
Implementation Costs (one off)	(31,078)	(31,328)	(62,406)
Disaggregation Costs (one off)	(1,494)	(1,506)	(3,000)
District Disaggregation (one off)	(660)	(381)	(1,042)
Payback (years)	3.59	3.57	3.58

#### Criteria 3: the delivery of high quality and sustainable public services

Option A1 lays the groundwork for a future where public services remain not only high in quality but resilient and responsive to the needs of local communities. With both Unitary Councils closely matched in size, there is every reason to believe that the excellent services currently provided will continue seamlessly, ensuring consistency, reliability, and care for residents across both the north and the south. This balance enables both Unitary Councils to work as equal partners, building a system that is not just efficient, but transformative, agile, and driven by shared innovation and pace.

To understand the potential pressures on each council, we've looked at key indicators that reflect likely service demand. Option A1 reduces the population difference of the base proposal Option A. While the north will still have a larger population of over-65s (133,000 compared to 93,000 in the south), the south sees a higher percentage of children living in low-income families (26% versus 22% in the north).

#### Criteria 4: a view that meets local needs and is informed by local views

Extensive engagement with both residents and stakeholders is captured in the case for change, offering a rich tapestry of perspectives. Overall, Option A emerged as the most supported choice (36%) through public consultation, receiving strongest support from Amber Valley residents, who made up 24% of all consultation responses across Derbyshire, despite representing just 11% of the county's population<sup>1.</sup>



This perhaps highlights the depth of interest /concern within the community about the potential disruption to local ties caused by reorganisation. Option A is a proposal that both preserves these connections, while establishing two balanced councils capable of delivering efficiencies, fostering economic growth, and transforming frontline services

Of those who responded in favour of Option A, key themes emerged for their reasoning including Option A's logical geographic split, alignment with existing local identities, and a fair balance of size and resources. Concerns about rural areas being dominated by urban centres like Derby City also drove support for Option A, which was seen as protecting rural priorities. Support was notably higher among those who favour reducing the number of councils and back broader Government plans for reorganisation.

Option A1 is a slight modification to the base proposal of Option A. The rationale for this modification includes the southern Unitary having less geographic constraint, with Derby City able to grow in all directions, and a better overall balance of population, GVA, Council Tax base and 65+ populations, between the two Unitary Councils. This modification is a thoughtful, community-sensitive variation that also addresses the technical and financial imbalance that the base proposal presents.

#### **Criteria 5: support devolution arrangements**

Option A1 creates the basis for a more unified and empowered future. With two Unitary Councils of similar size, both would stand as equal partners within the East Midlands Combined County Authority (EMCCA). This balance ensures that no voice is louder than another, each council contributing meaningfully to regional priorities, shaping policy, and driving progress together.

Option A1 offers a model of governance that is balanced, collaborative, fair, and capable of delivering effective devolution. This balance creates a strong foundation for the two Unitary Councils to work side by side to build a new, forward-looking system, aligned to the strategic priorities of EMCCA. It promotes not only similarities of efficiency but also the potential for joined-up transformative change, with both councils acting as equal partners - agile, innovative, and aligned in pace and purpose.

# Criteria 6: enable stronger community engagement and deliver genuine opportunity for neighbourhood empowerment

Option A1 places people and lived experience at the heart of its design, reflecting the everyday realities of communities. It acknowledges the strong ties residents in the southern part of Amber Valley have with Derby City, whether for work, leisure, or daily life, and ensures they not only benefit from being part of a southern Unitary Council but also have a meaningful voice in shaping its services and priorities. At the same time, those in the north of Amber Valley would maintain their deep-rooted connections with the northern part of Derbyshire, safeguarding local identity, heritage, and continuity.



This approach aligns closely with the Government's ambition to strengthen neighbourhood-level decision making. Local Authorities bring a wealth of experience in engaging residents, partners, and stakeholders, and this will be embedded into the fabric of the new unitary authorities from the outset. By building on this foundation, both councils will be well-positioned to foster genuine local empowerment.

Option A1 offers a clear opportunity to deepen democratic participation through mechanisms such as Neighbourhood Area Committees. These committees would enable communities to influence decisions that directly affect their lives, ensuring that local voices are heard and acted upon. In doing so, the new councils can harness the goodwill and civic energy of Derbyshire's residents to create a more responsive, inclusive, and community-led model of local governance.

#### Council size

The proposed Council size for the Northern Unitary is 89 councillors and the Southern Unitary is 73 councillors.

#### Conclusion

In conclusion, Option A1 represents a thoughtful and community-sensitive modification to the original Option A proposal for Local Government Reorganisation in Derbyshire. By splitting Amber Valley between the northern and southern Unitary Councils along these parish boundaries, Option A1 achieves a more balanced distribution of population, economic strength, and Council Tax base, while also respecting the lived experiences and social connections of local communities. This approach not only meets Government criteria for effective, efficient, and sustainable governance, but also fosters stronger community engagement, neighbourhood empowerment, and opportunities for growth for both Unitary Councils. By prioritising both technical metrics and the voices of residents, Option A1 offers a credible, future-ready model that enhances the integrity of the base proposal and is well-positioned to gain support from stakeholders and central government alike.

#### **Councils proposing this option**

This option is proposed by:	
{Council Names}	{Logo
Signed	
Leader:	



#### Option B: Amber Valley being part of the southern Unitary Council

#### **Summary**

Option B proposes the creation of two new Unitary Councils using the District and Borough Councils as building blocks, with Amber Valley in the southern Unitary.

This option offers Derbyshire residents and businesses sustainable growth in both northern and southern unitary authorities, with opportunities to maximise employment, skills and housing.

Fundamental to this, Option B will allow Derby City to grow in all directions, unlike Option A which constrains the northern boundary of the City.

The population levels under Option B will meet the Government's criteria for establishing two balanced and sustainable Unitary Councils. This alignment with Government criteria is further strengthened by the consultation findings. Across both weighted and unweighted data within the consultation, there is a correlation between respondents who prefer either Option A or Option B and respondents who agree with reducing the number of councils in Derbyshire and wider Government plans for the reorganisation of local government. However, the correlation is stronger for Option B. For Option A, 45% of the respondents (unweighted) or 41% (weighted) agree with proposal to reduce the number of councils, whereas with Option B it is 51% (unweighted) and 58% (weighted).



**Unitary 1**: High Peak, Derbyshire Dales, North East Derbyshire, Chesterfield, Bolsover (456k)

**Unitary 2:** South Derbyshire, South Derbyshire, Erewash, Amber Valley, Derby City (622k)

A north/south split of the county, with Amber Valley being part of the southern unitary.

Option B has emerged as the preferred option overall among all consultation respondents with 39% favouring Option B (when weighted to ensure fair representation of all respondents<sup>1</sup>) compared with 32% for Option A and 21% for Option A1 (consulted as Option C), Option B stands out as the most popular choice. It is important to note that Option B1 has not been subject to public consultation.



Support for Option B has been particularly strong in individual council areas as well as across Derbyshire overall with Option B being the preferred option of respondents from the city council and five of the eight district and borough councils. This reflects a clear preference and a shared sense of identity within the communities in the two proposed Unitary Councils. Notably, analysis of the consultation findings indicated that residents within the proposed boundary of the southern and northern Unitary Councils access services for work or leisure within the northern and southern Unitary Councils as proposed in Option B. This indicates that Option B represents the optimum balance in meeting resident's preferences and their work and leisure requirements.

We envision a future where two councils will operate in harmony, each robust and financially sound. These councils are not rivals, but rather complementary allies, both resilient and capable, dedicated to serving and uplifting their communities.

By strategically balancing population and economic growth, Option B will equip both Unitary Councils with the necessary tools and resources for residents to thrive. The new councils will naturally align with the community infrastructure connections that people depend on and the economic landscapes that drive opportunity, embodying the character of their local regions.

#### Movement across Derbyshire — Amber Valley residents

Mani places visited by residents during day-to-day activities

- Amber Valley (93%)
- Derby City (54%)
- Derbyshire Dates (50%)
- Erewash (26%)



#### Movement across Derbyshire — Erewash and South Derbyshire

**Erewash residents** 

- Erewash(88%)
- Derby (56%)
- Amber Valley(37%)
- Outside Derbyshire (28%)

South Derbyshire residents

- South Derbyshire (89%)
- Derby (56%)
- Outside Derbyshire (28%)
- Amber Valley (16%)



For example, this option will specifically allow those residents that travel to Derby City (for work, study and/or leisure) to have a say in the running of Derby City and contribute to the costs of the southern Unitary through Council Tax.

In evaluating all options, Option B was assessed as being the best option, (equal with Option B1) for the following reasons:

- By respecting the existing district boundaries, it is a less complex and deliverable route to reorganisation.
- It was the preferred option from the consultation.
- It offers significant growth opportunities for both Unitary Councils, without constraining the city of Derby.
- It supports closer functional ties for local residents with existing travel to work, study and leisure
  opportunities in the north and south



#### Criteria 1: The establishment of a single tier of local government for the whole area

Option B meets the MHCLG criteria of around 500k population for both authorities and although the two Unitary Councils' populations are not perfectly balanced with the North (456k) and the South (622k), they do represent the best balance in relation to the functional requirements of residents, partners and businesses. Within this option population is expected to grow relatively evenly across the North (4.2%) and the South (4.8%) which provides a better balance than Option A with North (5.2%) and South (3.8%).

The geography (North: 1,838 sq. km; South: 791 sq. km), is more balanced in Option B than with Option A (North: 2103 sq. km; South: 526 sq. km). However, given that the north of Derbyshire contains large rural areas (particularly in High Peak and Derbyshire Dales), the geography remains unequal for all the options considered.

This option has the best alignment to existing Derbyshire Housing Market Areas, with the North Derbyshire HMA and the Peak Sub-Region HMA (Chesterfield, Bolsover, North East Derbyshire, High Peak and Derbyshire Dales) aligning with the northern unitary proposed in this option and the Derby HMA (Amber Valley, Derby City, South Derbyshire) aligning with the southern unitary.

Option B also offers the greatest opportunity for housing growth around Derby City by building on the Derby Housing Market Area (HMA) collaboration and enabling Derby City to grow in all directions without constraint or additional administrative complexity. Derby City is the economic centre for the southern unitary and this will support its continued growth by helping to increase housing supply and meet local needs, including the future housing growth plans set out within the existing Derby Housing Market Area (HMA).

Amber Valley's inclusion in the Derby HMA reflects real-life functional relationships, particularly housing markets and travel-to-work patterns. Amber Valley has strong commuting and housing ties with Derby, especially in towns like Belper, Ripley, and Heanor, which are closely connected to Derby's urban area.

A single Local Plan in the southern unitary which encompasses the entirety of the Derby HMA and which in future would also include Erewash, would be mirrored by a single Local Plan in the northern unitary encompassing the entirety of the High Peak, Derbyshire Dales and Northern HMAs that would articulate a coherent spatial strategy for Derbyshire and a shared vision for housing growth, infrastructure, environment, and economic growth across the respective HMAs.

Removal of the existing Local Planning Authority boundaries within the existing HMAs which can only be achieved through base Option B would eliminate the need for formal cross-boundary agreements such as Statements of Common Ground and Duty to Cooperate. Planning Applications and Local Plans could be processed more quickly under these single governance structures accelerating housing delivery.



Skills is a major factor in boosting employment growth for both the northern and southern unitary authorities. Insight from Derby College indicates that access to post 16 learning is consistent with Option B. 3,500 students<sup>1</sup>, 34% of their 24/25 intake, travel from Amber Valley (13%), Erewash (12%) and South Derbyshire (8%), with only 654 (6%) from Derbyshire Dales or northern areas, suggesting that colleges in Chesterfield and Buxton better serve northern communities. Access to skills is driven by localised industrial needs, relevant employers and transport links, Option B provides the most appropriate route for 16- to 24year-olds and indeed people of all working age in the north and south to maximise their potential.

#### Criteria 2: the right size to achieve efficiencies, improve capacity and withstand financial shocks

Option B will offer a strong foundation for financial stability for both Unitary Councils. Under this option each of the Councils will be closely balanced in terms of total resources per head, and each will have sufficient reserves to implement reorganisation and drive transformation.

#### **Total Resources (per head)**



<sup>\*</sup>data used in 2026/27 forecast funding allocations

The breakeven analysis for Option B assesses when cumulative savings from reorganisation outweigh the one-off implementation costs and the analysis indicates that breakeven is achieved in Year 4, after which cumulative savings exceed implementation costs. This represents a payback period of 3.55 years, which exactly mirrors the payback period for Option A.

Note: 1. Derby College analysis of 24/25 student numbers 181



Option B	Unitary 1	Unitary 2	Total
Population	455,846	622,063	1,077,909
Annual savings (from year 6)	18,606	25,390	43,997
Implementation Costs (one off)	(26,391)	(36,014)	(62,406)
Disaggregation Costs (one off)	(1,269)	(1,731)	(3,000)
District Disaggregation (one off)	0	0	0
Payback (years)	3.55	3.55	3.55

As this option does not seek to split a district, it reduces the projected one-off disaggregation costs of implementation by approx. £1 million.

#### Criteria 3: the delivery of high quality and sustainable public services

Because the two Unitary Councils are of a significant size, we would expect the high-quality services currently delivered by the existing councils to continue. Therefore, we have selected several metrics to give an indication of the likely demands on each of the two new councils, to assess whether there may be an imbalance in the demands on services.

Over 65-year-olds are a cost driver for adult social care, and these populations are balanced in both Councils. For Option B the 65-year-olds population is balanced with 108k in the North and 118k in the South, offering a more equal distribution of needs. When looking at a cost driver for Children's Services, we have used the percentage of children in low-income families (North: 22%; South: 25%). These are only 'proxy' indicators of potential demand, but the higher tax base in the south should offset the potential increased costs associated with higher demand for Children's services in the south.

Option B would not involve unnecessary fragmentation of services as it uses the existing District and Borough boundaries as building blocks, allowing resources to be targeted immediately on service continuity and improving long term delivery through avoiding disaggregation of an existing principal authority.

#### Criteria 4: a view that meets local needs and is informed by local views

Not only does Option B represent a strong option in terms of population, financial stability and service delivery it also best represents local residents' identity, cultural and functional requirements. The results from the public consultation found that overall, the weighted result of the consultation identified that 39% of respondents favoured Option B. This was seven percentage points higher than the percentage of respondents who favoured Option A. Option B was also favoured by respondents from the City council and five of the eight District and Borough councils (this was true for both the weighted and unweighted results).



Respondents that favoured Option B felt it was a fairer more balanced geographical split with a more equitable division of districts, and a better balance in terms of size, workload and resources. They also recognised the ties around culture, transport, work and administrative links.

The engagement activities with both residents and stakeholders are detailed within the core proposal and although the key stakeholders that were consulted have not expressed a preference for any of the options they did recognise the benefits of larger Unitary Councils as equal partners.

Our sub criteria for meeting local needs included the 'Housing Market Area' metric that is defined as "Unitaries" have housing that is affordable to meet demand, a supply that is sufficient to match current and future needs, and ability to address specific challenges such as affordability issues or overcrowding." Option B scored higher on this measure as well as significantly higher on the 'Population Growth' metric. Option B demonstrates evenly balanced population growth across the North and South Unitaries, whereas Option A shows a significant difference of population growth between North (5.2%) and South (3.8%) as well as a lower score for the Housing Market Area metric which places the north at a disadvantage in relation to meeting local needs and addressing the challenges of housing affordability and overcrowding.

Additionally in relation to our sub criteria "Alignment with NHS and Fire, and Police Boundaries", data linked to 9 out of 17 GP practices, provided by Chesterfield Royal Hospital evidences that a greater proportion of Amber Valley residents (from Amber Valley GP Practices) access elective (62.27%) and non-elective (55.07%) healthcare at the University Hospitals of Derby and Burton NHS Foundation Trust rather than Chesterfield Royal Hospital. This indicates that Amber Valley residents are already accessing key public services in the south of Derbyshire.

#### Criteria 5: support devolution arrangements

The two similarly sized Unitary Councils would be equal partners and constituent members of EMCCA and able to support the elected mayor in the delivery of her missions, the outcomes of EMCCA's groundbreaking Inclusive Growth Commission, and EMCCA's recently launched Local Growth Plan.

Streamlining local plans and identifying the infrastructure and funding needed to unlock housing delivery within the Derby HMA in the south and the equivalent HMAs in the north would underpin the development of EMCCA's emerging Spatial Development Strategy and Transport Strategy and support growth at a regional level.



# Criteria 6: enable stronger community engagement and deliver genuine opportunity for neighbourhood empowerment

Option B would ensure that those residents who travelled into the City (for work and/or leisure) from surrounding areas had a say in the running of the City and the services it delivered as well as contributing to the costs of the southern Unitary through their Council Tax.

Both Unitary Councils are in a strong position to build on the goodwill of residents to further enhance community engagement and neighbourhood empowerment, for example through the establishment of Neighbourhood Area Committees for local decision making.

#### Council size

The proposed Council size for the Northern Unitary is 72 councillors and the Southern Unitary is 90 councillors.

#### Conclusion

Option B has emerged as the strongest base option for Derbyshire's future governance, offering a robust, evidence-based solution that aligns with the County's strategic needs.

It is the strongest base option for Derbyshire because it best meets the needs of communities, delivers on the criteria set out for Local Government Reorganisation, and has enjoyed clear support from consultation and technical analysis. It provides a balanced, forward-looking foundation for sustainable growth, effective service delivery, and empowered local governance.

#### **Councils proposing this option**

This option is proposed by:	
(Council Names)	{Logo}
Signed	
Leader:	



# Option B1: (Modification request): Amber Valley being split between the northern and southern Unitary Councils

#### **Compliance statement**

Option B (described above) constitutes the Base Proposal and is based on whole district boundaries, prepared in accordance with Part 1 of the Local Government and Public Involvement in Health Act 2007 and the February 2025 invitation. It is a statutory base proposal and not the final proposal being advanced. Our substantive proposal is Option B1 described here.

#### **Summary**

#### Background to the base proposal, Option B

Option B proposes the creation of two Unitary Councils in Derbyshire, using District and Borough Councils as building blocks, with Amber Valley included in the southern Unitary. This option meets the Government criteria and offers strong potential for sustainable growth, alignment with local needs, and is the favoured option from consultation respondents.

Economically, Option B aligns with existing Housing Market Areas in both the northern and the southern unitary and therefore supports strategic housing growth. It also allows Derby City to expand without constraints, enhancing employment, skills, and housing opportunities.

Public consultation confirmed that Option B had strong support from respondents and was the preferred option for both Derbyshire overall and the Derby City Council area and five out of eight District and Borough Council areas. Option B has emerged as the preferred option overall among all consultation respondents with 39% favouring option B (when weighted to ensure fair representation of all respondents compared with 32% for Option A and 21% for Option A1 (consulted as Option C), Option B stands out as the most popular choice. This reflects a clear preference and a shared sense of identity within the communities in the two proposed Unitary Councils.

The size of the two Unitary Councils has been carefully developed to meet all the criteria set out by MHCLG including establishing Unitary Councils with a population of around 500k population. Option B achieves this for both Unitary Councils with the North being 456k and the South 622k.

Option B creates two financially viable Unitary Councils that achieve breakeven after 3.55 years, with the lowest transition costs of the options (alongside Option A).

Service delivery would benefit from utilising existing boundaries to avoid service fragmentation and well-balanced social wellbeing across the two Unitary Councils. Service demand indicators are well balanced with deprivation (0.12 vs. 0.12), unemployment (3.5% vs. 3.9%) and a recognition that where there is a small imbalance (such as children in relatively low income: 22% v 26%) the potential higher demand is matched against the higher tax base in the southern unitary,



Strategically, Option B is well-positioned to support devolution. It fits naturally within the geography of the East Midlands Combined County Authority (EMCCA), enabling the creation of four equal constituent members.

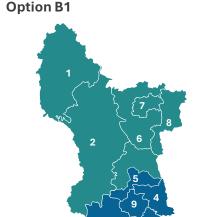
Importantly, Option B also reflects the functional requirements of residents by ensuring that those residents who travel into Derby City in the south or Chesterfield in the north (for work and/or leisure) from surrounding areas have a say in the running of Derby or Chesterfield and the services they deliver, as well as contributing to the costs of the Unitary Councils through their Council taxes. Notably, analysis of the consultation findings indicated that residents within the proposed boundary of the southern and northern Unitary Councils access services for work or leisure within the northern and southern Unitary Councils as proposed in Option B.

Suggested modification – Option B1

Option B1 is a request for a modification to the base case of Option B, re-drawing the boundary through Amber Valley, using parish councils as the building blocks, but compared with Option A1 it includes a different configuration of parishes between north and south:

#### Parish Council split for Option B1 and comparison with A1

<u>North</u>	<u>South</u>
Aldercar and Langley Mill	Belper (in the north for A1)
Alderwasley	<b>Denby</b> (in the north for A1)
Alfreton	Duffield
Ashleyhay	Holbrook
Codnor	Horsley
Crich	Horsley Woodhouse
Dethick, Lea and Holloway	Kedleston
Hazelwood	Kilburn (in the north for A1)
Heanor and Loscoe	Kirk Langley
Idridgehay and Alton	Mackworth
Ironville	Mapperley
Pentrich	Quarndon
Ripley	Shipley (in the north for A1)
Ravensdale Park (in the south for A1)	Smalley
Shottle and Postern	
Somercotes	
South Wingfield	
Swanwick	
Turnditch (in the south for A1)	
Weston Underwood (in the south for A1)	
Windley (in the south for A1)	



**Unitary 1**: High Peak, Derbyshire Dales, North East Derbyshire, Chesterfield, Bolsover (539k)

**Unitary 2:** Derby city, Erewash, South Derbyshire, part of Amber Valley, (538k)

A north/south split, with Amber Valley being split between the northern and southern unitary.



In recognition of the advice offered by MHCLG in relation specifically to requests for a modification, Option B1 continues to demonstrate financial sustainability and delivery of strong public services. It is a better fit to the Government criteria because it builds on the strengths of the base proposal B, whilst enhancing it further. The key benefits of this modification include:

- A near perfect balanced population between the northern and southern Unitary Councils with more equal Council Tax bases.
- Greater parity of Gross Value Added with sustainable growth opportunities for both Unitary Councils, including key aspects of housing development
- More closely aligned geographical areas for north and south, yet still allowing Derby City to grow in all directions
- A fair distribution of need across key demographics such over 65 population, homelessness and lowincome families across north and south Unitary Councils
- This option would also allow those residents in the south of Amber Valley who travel to Derby City (for work and/or leisure) to have a say in the running of the City and contribute to the costs of the southern Unitary through Council Tax, whilst allowing those Amber Valley residents who feel a closer affinity to the north of Derbyshire to have their say in the Northern Unitary.

Option B1 demonstrates a commitment to reflecting actual social and geographical realities and helps to ensure that communities remain connected to the areas they naturally gravitate toward, preserving a sense of belonging and shared purpose into the future.

This option will also allow those residents in the south of Amber Valley who gravitate towards Derby City for work, recreation, or leisure, to benefit from and have a say in the running of the southern unitary. Similarly, residents with a stronger affinity to northern Derbyshire will be able to do likewise in the northern unitary. This option recognises that effective governance is not just about efficiency; it's about fostering belonging, pride, and connection to place.

Overall, this option addresses specific community concerns without undermining the overall structure and intent of the Option B base proposal. In doing so, it enhances the base proposal's credibility, demonstrating responsiveness, with a more rounded, people-centred modification that presents a compelling case for a bright future for decades to come.

#### Criteria 1: The establishment of a single tier of local government for the whole area

Option B1 meets MHCLG's requirement for a minimum of 500k population for both Unitary Councils with a near perfect balance of populations between the North (539k) and the South (538k). GVA has a better balance than in Option B (North: £12.6bn; South: £14.2bn), as does the Council Tax base which has the best balance of all the options under consideration (North: 180k; South: 162k). The functional geography is more balanced than Option B (North: 2,012 sq. km; South: 617 sq. km).



Evidence suggests this option aligns more closely with established commuter flows, housing market areas, and natural business clusters, enabling each new council to design targeted strategies for investment. In particular, Option B1 offers the opportunity for growth around Derby City, with the City able to grow in all directions, and provides greater potential to meet housing requirements. As with Option A1, by splitting Amber Valley the southern Unitary does not become a significantly larger authority than the northern one.

Suggested visits for work and/or leisure by respondents to the LGR consultation:

#### Movement across Derbyshire — Amber Valley residents

Mani places visited by residents during day-to-day activities

- Amber Valley (93%)
- Derby City (54%)
- Derbyshire Dates (50%)
- Erewash (26%)



#### Movement across Derbyshire — Erewash and South Derbyshire

#### Erewash residents

- Erewash(88%)
- Derby (56%)
- Amber Valley(37%)
- Outside Derbyshire (28%)

#### South Derbyshire residents

- South Derbyshire (89%)
- Derby (56%)
- Outside Derbyshire (28%)
- Amber Valley (16%)

#### 1 7 8 2 6 8 9 4 3

# Criteria 2: the right size to achieve efficiencies, improve capacity and withstand financial shocks

Under this option each of the Councils will be closely balanced in terms of resources per head, and each will have sufficient reserves to implement reorganisation and drive transformation.

#### **Total Resources (per head)**



<sup>\*</sup>data used in 2026/27 forecast funding allocations



The breakeven analysis for Option B1 assesses when cumulative savings from reorganisation outweigh the one-off implementation costs. There are additional costs for disaggregating the services in Amber Valley, which increases the payback period for Option B1 to 3.58 years overall (compared with 3.55 for Option B).

Option A1	Unitary 1	Unitary 2	Total
Population	536,800	541,109	1,077,909
Annual savings (from year 6)	21,910	22,086	43,997
Implementation Costs (one off)	(31,078)	(31,328)	(62,406)
Disaggregation Costs (one off)	(1,494)	(1,506)	(3,000)
District Disaggregation (one off)	(660)	(381)	(1,042)
Payback (years)	3.59	3.57	3.58

The financial analysis indicates that breakeven is achieved in Year 4 after which cumulative savings exceed implementation costs. This represents a payback period of 3.58 years.

#### Criteria 3: the delivery of high quality and sustainable public services

Because the two Unitary Councils are similar in size, we would expect the high-quality services currently delivered by the existing councils should continue. Therefore, we have selected several metrics to give an indication of the likely demands on each of the two new councils, to assess whether there may be an imbalance in the demands on services.

The over 65-year-olds population is imbalanced with 132k in the North and 94k in the South which is a slightly better position than that for Option A1. When this is set against the percentage of children in low-income families (North: 22%; South: 26%) it could be argued there is a reasonable balance between the Unitary Councils in terms of demand for social care services. These are only 'proxy' indicators of potential demand, and whilst the geographical area of the north is not quite as large as in Option A or Option A1, it is still large and the high number of over 65-year-olds may add additional demand and cost pressures to the northern Unitary.

#### Criteria 4: a view that meets local needs and is informed by local views

The engagement activities with both residents and stakeholders are detailed within the core document. Stakeholders consulted have not expressed a preference for any of the options but recognise the benefits of larger Unitary Councils as equal partners.

Option B1 was not included in the consultation and has been developed based on further analysis and deliberations.



Option B1 is very similar to Option A1, and respondents in agreement with Option A1 felt that it made geographical sense, with a fairer division between north and south providing for balanced councils, populations and resources. They also recognised closer alignment with existing patterns of service and amenity use, as well as ties around culture, transport, work and administrative links. The consultation findings indicate that places visited for working or leisure purposes are closely linked to neighbouring areas, for example, 54% of respondents in Amber Valley visiting the Derby City area, 50% Derbyshire Dales area and 26% Erewash area which is consistent with Option B1.

Respondents that disagreed with Option A1 felt that the division could undermine the culture and history of the region, and the process of division could affect service delivery.

#### Criteria 5: support devolution arrangements

The two similarly sized Unitary Councils would be equal partners and constituent members of EMCCA and able to support the elected mayor in the delivery of her missions, the outcomes of EMCCA's groundbreaking Inclusive Growth Commission, and EMCCA's recently launched Local Growth Plan.

Streamlining local plans and identifying the infrastructure and funding needed to unlock housing delivery within the Derby HMA in the south and the equivalent HMAs in the north would underpin the development of EMCCA's emerging Spatial Development Strategy and Transport Strategy and support growth at a regional level.

# Criteria 6: enable stronger community engagement and deliver genuine opportunity for neighbourhood empowerment

Option B1 better represents the interests (compared with Option A1) of those residents in the south of Amber Valley who travel into Derby City (for work and/or leisure) from surrounding areas. It would give these residents more of a say in the running of the City and the services delivered by the southern Derbyshire unitary as well as contributing to its costs through Council Tax, whilst at the same time allowing those residents in the north of Amber Valley to maintain their links with the north of the county.

Both Unitary Councils are in a strong position to build on the goodwill of residents to further enhance community engagement and neighbourhood empowerment, for example through the establishment of Neighbourhood Area Committees for local decision making.

#### Council size

Option B1 would create two Unitary Councils of 83 councillors in the northern Unitary and 79 councillors in the Southern Unitary.

If this option is pursued, Government is likely to seek formal advice from the Local Government Boundary Commission on the proposal.





# **Appendix 3: Options (cont.)**

#### Conclusion

Option B1 is the best option because it builds on all the opportunities offered by Option B, yet offers a more balanced population, equal Council Tax base and more functional geography between the northern and southern Unitary Councils i.e. better reflecting how people in Derbyshire live their lives. This pragmatic and people-centred boundary modification would create two financially sustainable councils able to serve local communities in Derbyshire effectively.

#### **Councils proposing this option**

This option is proposed by:	
(Council Names)	{Logo}
Signed	
eader:	

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Public Perspectives Report Proposed changes to councils in Derby and Derbyshire

**Consultation report** 

September 2025





Research, consultation and evaluation for the public and charitable sectors.

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# Proposed changes to councils in Derby and Derbyshire - Consultation report

# **Executive Summary**

#### Introduction and background

- 1. In February 2025, as part of the Government's local government reorganisation plans, it contacted local councils in areas such as Derbyshire to work together to draw up initial proposals to reduce the number of councils by replacing two-tier councils with larger unitary councils.
- 2. Following considering key criteria and a range of potential options, Derbyshire's eight district and borough councils submitted a joint interim proposal to Government in March 2025. Together with Derby City Council they propose to create two new unitary councils one in the north and one in the south that would be responsible for all council services in their areas.
- 3. An important part of the local government reorganisation process is engaging with residents and stakeholders. This report relates to a consultation on the councils' proposal to replace the ten existing councils with two new unitary councils, including three different options for the configuration of the new councils. The councils have been supported to conduct the consultation by independent research and consultation organisation, Public Perspectives.
- 4. The results of the consultation will be used to inform the development of the councils' final proposal for the future of local councils in Derbyshire, alongside a range of evidence. This must be submitted to Government by 28 November 2025, and feedback on how any proposal will be taken forward for Derbyshire is expected in 2026, and then subject to statutory consultation by Government.

#### **Approach to the consultation**

- 5. The consultation was conducted over a six-week period ending on Sunday 10 August 2025.
- 6. The main mechanism for capturing responses was an online consultation questionnaire open to all interested parties, promoted through councils' websites, communication channels and promotional/marketing activity. The questionnaire was also available in alternative formats such as paper copies, Easyread and BSL, alongside e-mail and phone support.
- 7. Local councils also supported some 27 community outreach and engagement events across Derbyshire, promoting the consultation and engaging with over 500 residents and stakeholders, including businesses.
- 8. In addition, local councils drew-up a list of key stakeholders who were directly contacted and invited to participate in the consultation. This included town and parish councils, VCSE organisations and local businesses, as well as strategic and pan-Derbyshire organisations such as health, police, fire, Peak District Park Authority, Derby University, East Midlands Chamber, and the East Midlands Combined County Authority. A 'stakeholder' pack was produced and circulated amongst stakeholders and other interested parties, and referenced in the consultation questionnaire, providing information about the proposal and options to help inform responses.
- 9. Relatedly, in-depth stakeholder interviews were conducted with 9 of these strategic and pan-Derbyshire partners.

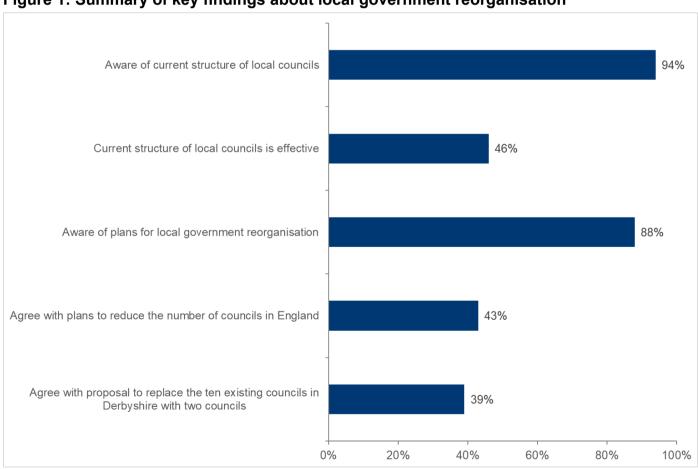
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10. In total, the consultation questionnaire received 7,335 responses, plus an additional 7 submissions via e-mail/letter.<sup>1</sup>

#### **Key findings**

- 11. The following summarises some of the key findings of the consultation, highlighting often polarised views and a balanced debate:
  - Overall, 94% of respondents are aware of the current structure of local councils, including 36% that have a reasonable amount of knowledge and 25% that know a lot about it
  - 46% perceive the current structure of local councils and approach to service delivery to be effective, while 26% believe it is ineffective.
  - 88% of respondents are aware of the Government's plans for local government reorganisation, including 29% that know a reasonable amount about it and 14% that know a lot about it.
  - 43% of respondents agree with the plans to reduce the number of councils in England, while 38% disagree.
  - 39% of respondents agree with the proposal to replace the ten existing councils in Derbyshire with two councils – one in the north and one in the south – while 45% disagree.

Figure 1: Summary of key findings about local government reorganisation



<sup>&</sup>lt;sup>1</sup> As is the nature with self-selecting/open-access questionnaires, the responses are not proportional to the population sizes in each of the local council areas. Consequently, the results in the main report are analysed and presented both as they are and also re-weighted to be in-line with the population sizes in each local council area. The results in Figure 1 above are all non-weighted i.e. they have not been changed to reflect the actual population sizes of a local council area.

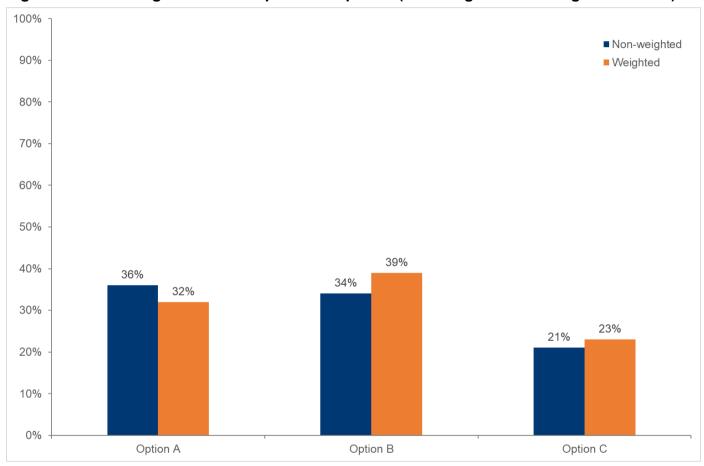
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- 12. There is a close relationship between perceptions of effectiveness and agreement for the Government's plans to reorganise local government and local proposals to replace the existing ten councils in Derbyshire with two councils. In other words, those that consider the current system ineffective are more likely to state there is a case for change.
- 13. Likewise, those that tend to agree with the Government's plans also tend to agree with local proposals to reduce the number of councils, highlighting 'in principle' agreement/disagreement with the plans and proposals. Relatedly, those that agree with the plans and proposals around local government reorganisation are also more likely to agree with any given option.
- 14. Awareness and knowledge levels of the current council structure and/or local government reorganisation also influence agreement levels, with those most knowledgeable more likely to agree with the plans, proposals and options to a lesser or greater degree. Relatedly, some of those that are neutral said they lacked sufficient information or knowledge to form a firm opinion or had mixed experiences or perceptions. This highlights the importance of effective communications and presentation of the evidence base and business case for change.
- 15. Alongside perceptions and experiences of the current system and approach to service delivery as well as awareness and knowledge levels, the main reasons driving agreement with the plans and proposals for local government reorganisation are that it would modernise local government, improving efficiency, reducing duplication and streamlining councils. This could result in cost-savings while making it easier to navigate councils, and access services as well as improve the quality and consistency of service delivery. Key stakeholders tended to support the case for reorganisation, recognising the aforementioned potential benefits, including promoting strategic planning and partnership working.
- 16. Those that disagree with the plans and proposal for local government reorganisation are concerned about the loss of local representation and knowledge, resulting in less responsive and tailored services to meet local needs and priorities, as well as a system and services that could be harder to navigate and access. There are also concerns that larger councils could lead to inequity and inconsistencies in access to services and service quality in rural areas compared with urban areas, especially where a large urban area is at the centre of the new council. Similarly, some raised concerns about loss of sense of place and identity. Relatedly, there are concerns that in practice any changes will not lead to positive impacts in terms of efficiencies or cost-savings. Some that disagreed felt that there is not a case for change or that any changes or improvements to local councils should be made in-situ rather than as part of large-scale reorganisation.
- 17. Businesses are slightly more in agreement with the plans to reduce the number of councils across England (48% agree compared with 43% of other respondents) and proposal to replace the existing ten councils in Derbyshire with two new unitary councils (43% agree compared with 39% of other respondents).
- 18. Businesses that agree with local government reorganisation note **opportunities to improve efficiencies and reduce duplication, alongside streamlining and improving access to services and support.** This includes reducing red tape and bureaucracy on issues that affect businesses, as well as inconsistencies due to operating across multiple local councils with different approaches and policies, such as business support, access to finance and grants, and planning. Ultimately, businesses are keen that any **changes support economic growth** in the proposed new councils, across Derbyshire and the wider region.

#### **Specific points relating to Options**

- 19. The aforementioned points tended to come through in discussions about specific options, with concerns coming to the fore depending on the local council area.
- 20. The following chart summarises the level of agreement with each of the potential options. The results in Figure 2 below are presented both as they are (i.e. non-weighted) and also re-weighted to be in-line with the population sizes in each local council area. This is because the level of response varied between local council areas, while there are differences between some of the areas regarding their level of agreement with each of the potential options.

Figure 2: Level of agreement with potential options (non-weighted and weighted results)



#### **Option A**

Option A - a north / south split of the county, with Amber Valley being part of the northern council along with High Peak, Derbyshire Dales, North-East Derbyshire, Chesterfield and Bolsover District. The southern council would include South Derbyshire, Erewash and Derby City

- 21. Respondents living in **Amber Valley council area are much more likely to agree with this option** (56%) than respondents in other areas. Also, to a lesser extent, respondents living in the Derbyshire Dales council area are more positive than some other respondents with 38% in agreement with Option A.
- 22. Those that agreed with Option A primarily said it is a logical/natural division that makes geographical sense in keeping with local identities and connections, is a neat split and reflects that the north and south of Derbyshire are naturally distinct in terms of needs, identity, or infrastructure (this was particularly stressed by Amber Valley respondents and also Derbyshire Dales respondents).
- 23. In contrast, those that disagreed with Option A said it does not reflect natural community ties, separating areas that tend to have close associations with one another such as some parts of Derby and Erewash from some parts of Amber Valley. Some respondents suggest that Derbyshire is too diverse (e.g. urban and rural) and complex to be divided neatly and simply into a north and south option. Similarly, some said it is imbalanced as the northern council is too large relative to the southern council with a consequent impact on resources and service delivery for the south. Likewise, the north could be too large, with too broad a mix of communities to manage effectively and therefore undermine potential benefits. There was also concern that Derby City could dominate the southern council. This includes concern that funding and resources would be pulled into Derby City, leaving outlying communities under-served. This is a particular concern of respondents from South Derbyshire.

#### **Option B**

Option B - a north / south split of the county, with Amber Valley being part of the southern council along with South Derbyshire, Erewash and Derby City. The northern council would include High Peak, Derbyshire Dales, North-East Derbyshire, Chesterfield and Bolsover District

- 24. The reasons for agreement include it being a fairer and more balanced division compared to Option A, which has the potential to be more sustainable and achieve more equitable benefits for both areas. It is also considered by those that agree with the option to maintain the close and natural connections between areas such as Derby, Amber Valley and Erewash (although some respondents in Amber Valley said that they do not have connections with Derby and look northwards). Those that agree tend to see it as the best of the three options and a good compromise between options A and C.
- 25. Those that disagreed with Option B cited urban-rural concerns that risk forcing rural areas (such as those in Amber Valley) into a structure dominated by urban centres with a consequent negative impact on meeting local needs, and service access and delivery. There are also concerns about Derby City dominance and not wanting to be in a council with Derby City at its centre. This is partly due to lack of connections and partly due to concern that it could dominate the southern council in terms of voice, funding and resources, leading to neglect of surrounding rural or suburban areas (these concerns were particularly cited by Amber Valley and South Derbyshire respondents).
- Respondents living in the **High Peak council area (55% agree) and Derby City council area (49% agree) are more likely to agree with this option** than other respondents. In the case of High Peak respondents, this is because they tended to see Option B as a fairer

- and more balanced approach in terms of size and resources, while they also felt it is a more natural split in terms of geography, identity and connections. Similar reasons are also expressed by Derby City respondents.
- 27. In contrast, respondents in the Amber Valley council area (22% agree) and South Derbyshire council area (23% agree) are less likely than other respondents to agree. In the case of Amber Valley respondents this is largely to do with their preference for Option A, which in part is driven by concerns around being in a southern council with a large urban centre in the form of Derby City, while Amber Valley respondents also said their connections and identity are more north than south (which is in slight contrast with some of the findings in section 2 around movement across Derbyshire). South Derbyshire respondents tended to not agree with Option B because they saw it as a worse option than A, creating a perceived too-large and unbalanced unitary authority that risks diluting South Derbyshire's voice and resources due to the inclusion of Amber Valley. Likewise, respondents in South Derbyshire felt less connected to Amber Valley than other nearby areas. They are also concerned about being in a council with Derby City, as per the reasons outlined relating to Option A.

#### **Option C**

Option C - a north / south split of the county, with different parishes from Amber Valley joining each of the two councils, depending on where they best fit. The northern council would include High Peak, Derbyshire Dales, North-East Derbyshire, Chesterfield, Bolsover District and some parishes of Amber Valley. The southern council would include South Derbyshire, Erewash, Derby City and other parishes of Amber Valley

- 28. Those in agreement with Option C felt that it makes the most geographical sense and is the more even north-south split providing for balanced councils, population and resources. Respondents living in the Derby City council area were more likely than other respondents to agree with Option C (36% agree) because it groups together urban/suburban areas closely tied to Derby, recognising, as they perceive it, that large parts of Amber Valley function as suburbs of the city. Consequently, these respondents feel that Option C reflects the real geography of daily life and would align council boundaries with existing patterns of service and amenity use. Derby City respondents often contrasted Option C favourably with Options A and B, which they felt did not adequately reflect Derby's urban pull. Rural and district residents, on the other hand, leaned toward Options A or B, which they viewed as simpler and less disruptive to community boundaries.
- 29. Most respondents that disagree with Option C said that they oppose splitting-up Amber Valley. The reasons for this are two-fold firstly it is in part about identity and connections towards the north and also splitting Amber Valley is considered 'divisive', 'unnatural' and 'unfair'. Secondly, there are concerns that restructuring under Option C would be more problematic as it would involve dividing an existing council, which could result in higher costs and practical issues undermining the potential benefits of reorganisation. In addition, similar points were made by those that disagree with Option C as those raised with other options including concerns over the urban-rural divide and Derby City dominance. Likewise, some that disagreed mentioned they simply prefer the other options to Option C.

#### **Next steps and points for consideration**

- 30. Whilst the consultation findings are polarised and the discussions balanced, raising as many challenges and questions as clear ways forward, there are a number of important points and considerations raised through the consultation (including by key stakeholders and businesses) that can help shape future decisions and actions, including the implementation of new councils:
  - Stakeholders want to remain closely involved in helping shape the future proposals
    and supporting their implementation. This is partly about managing the changes and
    making sure the benefits of reorganisation are realised, and also about using their
    experience and expertise to develop, test and challenge proposals. Similarly, some
    stakeholders said that this consultation should be part of an ongoing dialogue with
    them and their sectors, including local businesses, and town and parish councils.
  - Relatedly, stakeholders are generally agnostic about each of the options, albeit broadly supportive of local government reorganisation. On a practical level, all strategic and pan-Derbyshire partners interviewed said they would adapt relatively easily to whatever option is selected, partly because several are organised around local authority areas and/or in a north-south structure and it would just be a matter of 'repositioning the building blocks'.
  - This said, stakeholders want to work closely with the councils to achieve a smooth transition and said that mechanisms needed to be in place to support the implementation process. This includes maintaining the Chief Executives' meetings and other partnership boards as well as practical liaison between those involved in dayto-day operations, helping maintain existing key relationships and working practices.
  - Whilst acknowledging that the timescale is ambitious and the process is understandably political, there was a call to action to 'get it done' and coalesce behind an agreed option, following the consultation. This is because stakeholders can already see that local government reorganisation is a distraction politically and strategically as well as eating up officer time, while concern around change and jobs will be a further distraction. The stakeholders and businesses were keen to ensure 'the wheels keep turning' and that 'business as usual' continues, with disruption kept to a minimum.
  - There was a further call to action to focus on positive outcomes and impacts, developing a vision and strategy for the new councils to help create the conditions for residents and businesses to thrive. Some stakeholders said that at the moment it feels like the focus has been on administrative boundaries and practicalities rather than on making the most of the opportunities that local government reform will present. This is not a criticism as stakeholders acknowledged that it is early in the process and naturally a political issue, but that down the line they would want the process to become focussed on visioning and strategy to achieve positive social and economic outcomes.
  - Relatedly, stakeholders said it is vitally important that the two new councils have a
     'pan-Derbyshire' outlook and work in close partnership with one another in the
     interests of benefiting the whole county. Likewise, they expected the councils to
     work closely at a regional level, including with the Combined Authority.
  - This includes the potential to create a single, pan-Derbyshire vision, strategy and priorities, as well as a commitment and mechanisms for partnership working to deliver these shared priorities.

- Similarly, businesses want the new councils to create business-friendly
  environments and prioritise economic growth and the support provided to
  businesses. With this in mind, they want the two new local councils to work closely
  together with a 'pan-Derbyshire' and regional mind-set, including developing a singular
  economic growth strategy.
- Businesses also see an opportunity for local government reorganisation to help improve the local planning system, making it more efficient, streamlined, clear and easier to access with the aim of supporting economic growth rather than acting as a barrier. This can be achieved by developing consistent planning approaches, policies and service provision rather than working with multiple local councils and associated different approaches.
- This said, the approach should be sufficiently nuanced to take account of the
  different issues and needs of local communities and businesses, including different
  equality groups, urban-rural communities and businesses, communities and businesses
  in different localities, and different business sectors and sizes.
- Relatedly, there were some concerns about the impact on local area/neighbourhood working/priorities as many of the partners have such practices and believe that services should be tailored to local needs and priorities, especially in diverse, affluent/deprived, and rural/urban communities. Consequently, they want to see mechanisms in place to ensure this continues and thrives in future arrangements. This can include local area forums, research and consultation to identify local issues and priorities, and working closely with town and parish councils.
- Some stakeholders said that the changes and implementation should be mindful of other reform/changes/reorganisation taking place across the public space to promote coordination, achieve synergies and maximise benefits, such as NHS reform, evolution around the role of the Combined Authority and also potential DEFRA reform around the governance of National Parks.
- Throughout the consultation results, there are differences in experience, perceptions
  and opinion by different demographic groups. The reasons for this are not unpicked in
  this consultation report, although it highlights the importance of understanding local
  issues and priorities and tailoring services and support to different communities
  (both equality groups, different localities and urban-rural communities) as part of
  any future arrangements.

# Proposed changes to councils in Derby and Derbyshire - Consultation report

# Main report

### **Section 1: Introduction**

#### Introduction and background

- 1.1. Derbyshire is a two-tier area served by eight district and borough councils and a county council. The city of Derby is contained within the boundary of Derbyshire, but all council services are provided by Derby City Council, which is already a unitary council. In total, 10 different councils provide services across the county.
- 1.2. In February 2025, as part of the Government's local government reorganisation plans, it contacted local councils in areas such as Derbyshire to work together to draw up initial proposals to reduce the number of councils by replacing two-tier councils with larger unitary councils.
- 1.3. Following considering key criteria and a range of potential options, Derbyshire's eight district and borough councils submitted a joint interim proposal to Government in March 2025. Together with Derby City Council they propose to create two new unitary councils one in the north and one in the south that would be responsible for all council services in their areas.
- 1.4. An important part of the local government reorganisation process is engaging with residents and stakeholders. This report relates to a consultation on the councils' proposal to replace the ten existing councils with two new unitary councils, including three different options for the configuration of the new councils. The councils have been supported to conduct the consultation by independent research and consultation organisation, Public Perspectives.
- 1.5. The results of the consultation will be used to inform the development of the councils' final proposal for the future of local councils in Derbyshire, alongside a range of evidence. This must be submitted to Government by 28 November 2025, and feedback on how any proposal will be taken forward for Derbyshire is expected in 2026, and then subject to statutory consultation by Government.

#### Approach to the consultation

- 1.6. The consultation was conducted over a six-week period ending on Sunday 10 August 2025.
- 1.7. The main mechanism for capturing responses was an online consultation questionnaire open to all interested parties, promoted through councils' websites, communication channels and promotional/marketing activity. The questionnaire was also available in alternative formats such as paper copies, Easyread and BSL, alongside e-mail and phone support. The questionnaire is available in the appendices.
- 1.8. Local councils also supported some 27 community outreach and engagement events across Derbyshire, promoting the consultation and engaging with over 500 residents and stakeholders, including businesses.
- 1.9. In addition, local councils drew-up a list of key stakeholders who were directly contacted and invited to participate in the consultation. This included town and parish councils, VCSE organisations and local businesses, as well as strategic and pan-Derbyshire organisations such as health, police, fire, Peak District Park Authority, Derby University, East Midlands Chamber, and the East Midlands Combined County Authority. A 'stakeholder' pack was produced and circulated amongst stakeholders and other interested parties, and referenced in the consultation questionnaire, providing information about the proposal and options to help inform responses.
- 1.10. Relatedly, in-depth stakeholder interviews were conducted with 9 of these strategic and pan-Derbyshire partners. The stakeholder discussion guide is available in the appendices.
- 1.11. In total, the consultation questionnaire received 7,335 responses, plus an additional 7 submissions via e-mail/letter.
- 1.12. The following table summarises the background of respondents:

Figure 1.1: Background of respondent\*

A resident living in Derbyshire	93%
Someone who works in Derbyshire	23%
A local councillor	2%
A business owner or business leader operating in Derbyshire	3%
A voluntary or community organisation	2%
A Housing Association	0%
A Town or Parish Council	1%
A District / Borough / City / County Council employee	9%
Another public sector organisation	1%
Other	1%

<sup>\*</sup>Respondents could select more than one answer, hence why responses add up to over 100%.

1.13. The following table shows the local council area in which respondents live and compares this to the population sizes in each local council area. As is the nature with self-selecting/open-access questionnaires, the responses are not proportional to the population sizes in each of the local council areas. Consequently, the results are analysed and presented both as they are and also re-weighted to be in-line with the population sizes in each local council area.

Figure 1.2: Location of respondents

Location	Respondents	Population
Amber Valley Borough Council area	24%	11.9%
Bolsover District Council area	7%	7.6%
Chesterfield Borough Council area	9%	9.8%
Derby City Council area	8%	24.7%
Derbyshire Dales District Council area	16%	6.7%
Erewash Borough Council area	14%	10.6%
High Peak Borough Council area	5%	8.5%
North-East Derbyshire District Council area	7%	9.7%
South Derbyshire District Council area	8%	10.4%
Outside of Derbyshire	2%	N/A

1.14. There is a spread of responses across different demographic groups, albeit a skew towards older and more affluent groups.

Figure 1.3: Demographic profile of respondents (only asked to those that live in Derbyshire)

Sex	
Female	49%
Male	46%
Another term	0%
Prefer not to say	4%
Age	
Under 16	0%
16-17	0%
18-24	1%
25-34	7%
35-44	13%
45-54	19%
55-64	24%
65-74	20%
75+	11%
Prefer not to say	5%
Disability	
Yes, which reduce my ability to carry out my day-to-day activities a lot	6%
Yes, which reduce my ability to carry out my day-to-day activities a little	9%
Yes, but they don't reduce my ability to carry out my day-to-day activities at all	10%
No	65%
Prefer not to say	9%
Ethnicity	
White British-Irish	88%
Non-White British-Irish	4%
Prefer not to say	9%
Housing situation	I
Owner-occupier	82%
Privately renting	5%
Renting from the council or housing association	4%
Other	2%
Prefer not to say	7%

#### Reporting

- 1.15. The rest of this report presents the key findings from the consultation. The results have been analysed against all demographic and key variables/questions to identify any important differences in opinion between different groups. In particular, the focus is on geography i.e. the local council area respondents live in.
- 1.16. In addition, the open-ended comments received in the questionnaire have been reviewed and key themes presented in the report.
- 1.17. The report is organised in-keeping with the structure of the consultation questionnaire, as follows:
  - · Section 2: Living and working in Derbyshire
  - Section 3: The current structure of councils in Derbyshire
  - Section 4: Local government reorganisation in England
  - Section 5: Local government reorganisation across Derbyshire

### Section 2: Living and working in Derbyshire

#### **Introduction**

2.1. This section presents findings about living and working in Derby and Derbyshire, including movement across the area and sense of place.

# Thinking about your day-to-day activities, what parts of Derbyshire do you visit?

The Derbyshire Dales area is the most visited, followed by the Amber Valley and Derby City areas, with places visited influenced by home location and proximity to neighbouring areas

2.2. 46% of respondents visit the Derbyshire Dales area, 41% Amber Valley, 40% Derby City and 31% Chesterfield Borough Council area. The least visited areas are Bolsover District Council area (14%) and the South Derbyshire District Council area (18%).

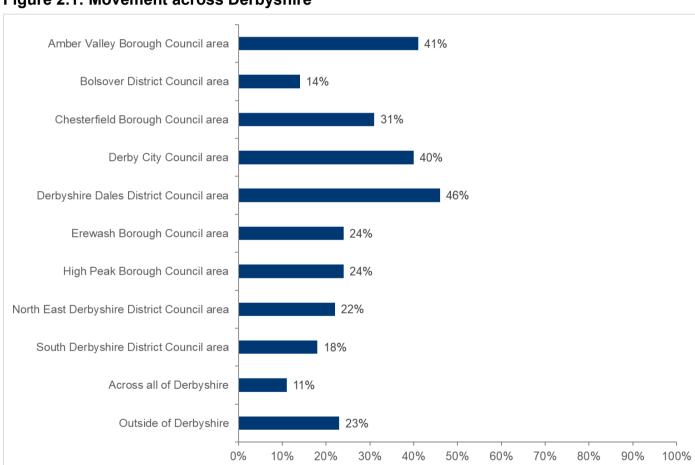


Figure 2.1: Movement across Derbyshire

Number of respondents: 7,304 (Non-weighted data i.e. the results have not been changed to reflect the actual population sizes of a local council area. This is the case for all graphs and tables in this report. The weighted data is referenced in separate paragraphs and clearly indicated).

- 2.3. Places visited are closely linked to area lived in and proximity to neighbouring areas with residents most likely to visit areas they live in<sup>2</sup>. The following are the main places visited by each council area in which the respondent lives:
  - Amber Valley residents (AV): 93% Amber Valley area, 54% Derby City area, 50% Derbyshire Dales area and 26% Erewash area.
  - **Bolsover residents (B):** 89% Bolsover area, 64% Chesterfield area, 35% North-East Derbyshire area and 26% outside of Derbyshire.
  - Chesterfield residents (C): 95% Chesterfield area, 54% North-East Derbyshire area, 45% Derbyshire Dales area and 31% Bolsover area.
  - **Derby City (DC):** 91% Derby City area, 42% Amber Valley area, 37% South Derbyshire area and 31% Erewash area.
  - **Derbyshire Dales (DD):** 94% Derbyshire Dales area, 38% High Peak area, 37% Chesterfield area and 31% Amber Valley area.
  - **Erewash (E):** 88% Erewash area, 56% Derby City area, 37% Amber Valley area and 28% outside of Derbyshire.
  - **High Peak (HP):** 92% High Peak area, 42% Derbyshire Dales area, 33% outside of Derbyshire and 12% Chesterfield area.
  - North-East Derbyshire (NED): 86% North-East Derbyshire area, 76% Chesterfield area, 40% Derbyshire Dales area and 29% Bolsover area.
  - **South Derbyshire (SD):** 89% South Derbyshire area, 56% Derby City area, 28% outside of Derbyshire and 23% Derbyshire Dales area.

Figure 2.2: Movement across Derbyshire by area

	AV	В	С	DC	DD	E	HP	NED	SD
Amber Valley Borough Council area	93%	21%	9%	42%	31%	37%	4%	18%	16%
Bolsover District Council area	7%	89%	31%	4%	3%	4%	2%	29%	2%
Chesterfield Borough Council area	18%	64%	95%	6%	37%	7%	12%	76%	3%
Derby City Council area	54%	11%	7%	91%	30%	56%	3%	10%	56%
Derbyshire Dales District Council area	50%	25%	45%	29%	94%	25%	42%	40%	23%
Erewash Borough Council area	26%	4%	2%	31%	3%	88%	1%	2%	14%
High Peak Borough Council area	20%	15%	21%	11%	38%	12%	92%	19%	10%
North-East Derbyshire District Council area	14%	35%	54%	4%	18%	6%	7%	86%	3%
South Derbyshire District Council area	10%	4%	2%	37%	9%	19%	2%	3%	89%
Across all of Derbyshire	12%	11%	10%	12%	9%	15%	6%	10%	12%
Outside of Derbyshire	17%	26%	19%	15%	22%	28%	33%	24%	28%

<sup>&</sup>lt;sup>2</sup> A similar pattern exists in terms of where people work. Likewise, respondents tend to work in areas closest to where they live.

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2.4. Given the variations by council area lived in, when the data is re-weighted by council area to be proportionate to population sizes across Derbyshire the overall results for places visited change as follows:

• Amber Valley: 33%

Bolsover: 15%Chesterfield: 30%Derby City: 45%

• Derbyshire Dales: 38%

Erewash: 22%High Peak: 23%

North-East Derbyshire: 22%

• South Derbyshire: 23%

Across all of Derbyshire: 11%Outside of Derbyshire: 22%

Thinking about where you currently live, please list all the places you would name to describe where you are from if asked by someone that does not live near you?

Residents reference a combination of local towns and villages alongside larger urban centres (in and out of the county), county-references, and local landmarks and landscapes, all influenced by proximity

2.5. Respondents were asked which places they would name to describe where they are from when speaking to someone unfamiliar with the area (only asked to respondents that live in Derbyshire – 6,284 responses). In summary, across Derbyshire place identity and sense of place is layered with respondents using immediate localities, well-known towns/cities, and county or landscape references depending on which is most recognisable to outsiders (and depending on who they may be talking to). In urban centres, such as Chesterfield and Derby, residents' identity is strongly anchored in the main town or city. In more rural areas such as Derbyshire Dales and High Peak, residents highlight market towns and the Peak District landscape, alongside county or cross-regional identifiers. In mixed urban-rural areas, such as, Amber Valley, Bolsover, Erewash, North-East Derbyshire, South Derbyshire, respondents draw on a combination of local towns/villages, county identity, and nearby larger centres. There is also a tendency for residents living on the edges of the county to reference towns and areas outside of the county, such as Nottingham, Nottinghamshire, and Sheffield.

#### 2.6. The following summarises the responses by each council area:

#### **Amber Valley Borough Council area**

Residents regularly mention the main towns such as Alfreton, Belper, Heanor and Ripley. Broader references to Derbyshire and Derby are also common, providing wider recognition. There are also references to smaller villages and areas such as Codnor, Holbrook, Kilburn, and Horsley. This reflects a pattern of local village/town references combined with county or nearby city references.

#### **Bolsover District Council area**

Respondents often cite Chesterfield, even more than Bolsover itself, highlighting the influence of the nearby larger centre. Bolsover (including Bolsover Castle) and other local centres such as Shirebrook and Clowne are mentioned, alongside reference to Derbyshire. Places along the Nottinghamshire border such as Worksop and Mansfield are also referenced. This indicates a hybrid approach, spanning local, county, and cross-border associations.

#### **Chesterfield Borough Council area**

Chesterfield dominates responses, showing a very strong town-based association. Broader reference to Derbyshire is common, while Sheffield appears occasionally due to proximity and external recognition. Local areas such as Staveley, Walton, and Brimington are also mentioned. This reflects a town-centred approach reinforced by county and neighbouring city links.

#### **Derby City Council area**

The overwhelming majority of residents identify simply as being from Derby. Some supplement this with Derbyshire for clarity, while others mention Nottingham and recognisable landmarks such as East Midlands Airport. This shows a single-city focused approach with occasional use of wider regional markers.

#### **Derbyshire Dales District Council area**

Reference is spread across several market towns, with Ashbourne and Matlock most prominent. Many respondents also highlight Derbyshire and the Peak District. Other towns and areas such as Bakewell, Wirksworth, and Cromford also feature. The pattern reflects a blend of market town identity with strong geographic, county and landscape association.

#### **Erewash Borough Council area**

Respondents frequently cite Derby, Nottingham, and Derbyshire, with local towns Ilkeston and Long Eaton also heavily mentioned. Smaller places such as Sandiacre, Breaston, and Sawley feature too. This reflects a dual orientation towards both Derby, Derbyshire and Nottingham, alongside local towns and areas.

#### **High Peak Borough Council area**

The most commonly cited places are Buxton and Glossop, alongside Derbyshire and the Peak District. Proximity to Greater Manchester is evident, with Manchester, Stockport, and Sheffield also named. Towns such as Whaley Bridge and New Mills appear as local anchors. The pattern highlights a mixed approach —local market towns, natural landscape, county references and connections to nearby metropolitan areas.

#### **North-East Derbyshire District Council area**

Respondents often name Chesterfield, despite it being in a neighbouring borough. Sheffield and Derbyshire are also cited, while Dronfield, Clay Cross, Wingerworth, and other settlements are more local references. The pattern reflects a mixed approach centred on Chesterfield, with local towns and regional associations.

#### **South Derbyshire District Council area**

The strongest mentions are Swadlincote, Derby, South Derbyshire, and Derbyshire. Border proximity influences responses, with frequent references to Burton-upon-Trent (in Staffordshire), alongside local towns and villages such as Hilton, Etwall, Hatton, and Melbourne. This reflects a mix of local references alongside larger regional and county associations.

2.7. A point raised by some stakeholders in the in-depth interviews (and also by other respondents through the consultation questionnaire) is for the **future local councils to be aligned with, and maintain, local connections and sense of place.** This is both so that the new councils 'make sense' in terms of geography, identity and connections, but also so that they align with the way people live and access services and amenities, as well as generating a sense of buy-in and community pride in the new council areas. As one stakeholder said:

"The challenge, as I see it, is for the new councils to be built around and maintain local connections and that all important sense of place. They have to reflect the way people live as otherwise they'll just be working against the tide and not be as effective or impactful as we'd like."

## Section 3: The current structure of councils in Derbyshire

#### Introduction

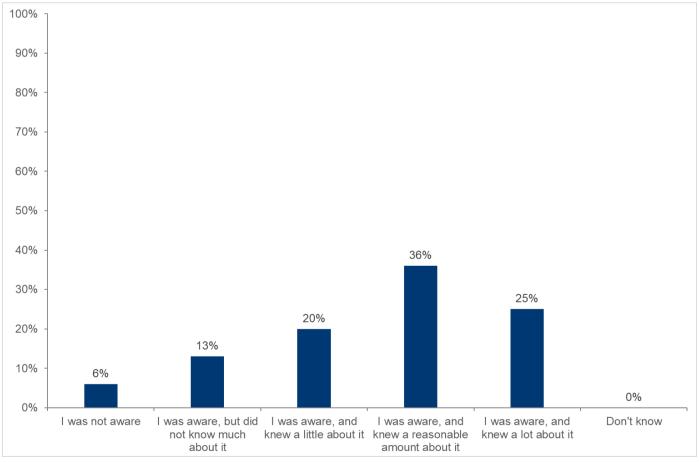
3.1. This section presents findings about the current structure of councils in Derbyshire, including awareness and knowledge, and perceptions of effectiveness.

Before today, were you aware, and how much did you know about, the current structure of councils in Derbyshire and the different services delivered by each council?

Most respondents were aware of the current structure of councils and the different services delivered, and had varying levels of knowledge

3.2. 94% of respondents were aware of the current structure of councils, including 25% that knew a lot about it, 36% a reasonable amount, 20% a little and 13% not much about it. 6% were not aware of the current structure of councils in Derbyshire before responding to the consultation questionnaire.

Figure 3.1: Awareness and knowledge of the current structure of councils in Derbyshire



Number of respondents: 7,321.

3.3. Whilst there is some minor variation between different areas, awareness and knowledge of the structure of councils in each of the council areas is within a few percentage points of each other and/or the average.

Figure 3.2: Awareness and knowledge of the current structure of councils in Derbyshire by area

	AV	В	С	DC	DD	E	HP	NED	SD
I was not aware	7%	5%	5%	7%	5%	5%	6%	7%	9%
I was aware, but did not know much about it	14%	15%	12%	16%	12%	13%	14%	15%	11%
I was aware, and knew a little about it	20%	18%	15%	21%	18%	23%	17%	20%	22%
I was aware, and knew a reasonable amount about it	36%	33%	37%	31%	39%	39%	35%	35%	33%
I was aware, and knew a lot about it	23%	29%	31%	24%	25%	19%	27%	24%	25%
Don't know	1%	0%	0%	0%	0%	0%	0%	0%	0%

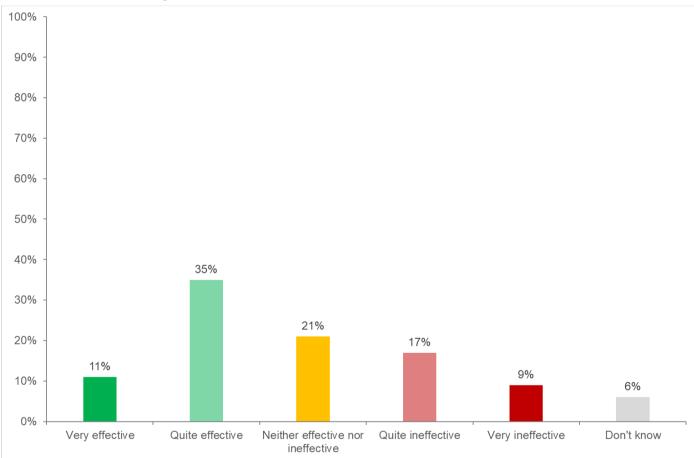
- 3.4. Consequently, when the data is re-weighted by council area to be proportionate to population sizes across Derbyshire there is little change in the results the only changes are that 14% of respondents in the weighted sample are aware, but do not know much about it (compared with 13% in the non-weighted sample), while 35% are aware and know a reasonable amount in the weighted sample (compared to 36% in the non-weighted sample).
- 3.5. Respondents with lower levels of awareness and knowledge of the current structure of councils and the different services delivered are:
  - Women (7% not aware and 17% aware but do not know much about it) compared with men (5% not aware and 10% aware but do not know much about it).
  - Aged under 35 (11% not aware and 15% aware but do not know much about it) compared with older respondents (5% not aware and 13% aware but do not know much about it).
  - People living with a disability that affects them a lot (12% not aware and 15% aware but do not know much about it) compared with others (5% not aware and 13% aware but do not know much about it).
  - Non-white British-Irish (11% not aware and 18% aware but do not know much about it) compared with White British/Irish respondents (6% not aware and 13% aware but do not know much about it).
  - Private renters (10% not aware and 17% aware but do not know much about it) and social renters (12% not aware and 23% aware but do not know much about it) compared with owner-occupiers (5% not aware and 13% aware but do not know much about it).

# How effective do you think the current structure of councils is in Derbyshire and the approach to service delivery?

# More respondents said the current structure and approach to service delivery in councils across Derbyshire is effective than ineffective with some variations by area

- 3.6. 46% of respondents said the current structure and approach to service delivery is at least quite effective (35% quite effective and 11% very effective).
- 3.7. 21% are neutral stating it is neither effective nor ineffective. 26% said it is at least quite ineffective, including 9% that said it is very ineffective.
- 3.8. Respondents that knew a lot about the current structure and approach to service delivery were polarised in their views about its effectiveness, albeit just over half are positive 53% said it is at least quite effective while 31% said it is ineffective.

Figure 3.3: Effectiveness of the current structure and approach to service delivery in councils across Derbyshire



Number of respondents: 7,321.

3.9. There is some variation by area with Derby City (36% effective and 33% ineffective) and High Peak (33% effective and 38% ineffective) councils having lower positive ratings and higher negative ratings. While Derbyshire Dales (58% effective and 20% ineffective) have more positive results, as too to a lesser extent South Derbyshire (52% effective and 24% ineffective).

Figure 3.4: Effectiveness of the current structure and approach to service delivery in councils across Derbyshire by area

	AV	В	С	DC	DD	E	HP	NE	SD
Very effective	11%	15%	11%	5%	14%	11%	7%	13%	15%
Quite effective	36%	33%	32%	31%	44%	34%	26%	35%	37%
Neither effective nor ineffective	22%	19%	22%	21%	17%	25%	23%	20%	19%
Quite ineffective	16%	13%	18%	23%	14%	17%	25%	15%	16%
Very ineffective	8%	13%	11%	10%	6%	8%	13%	10%	8%
Don't know	7%	7%	6%	8%	5%	6%	6%	8%	5%

- 3.10. When the data is re-weighted by council area to be proportionate to population sizes across Derbyshire there are minor changes to the overall results with them becoming slightly less positive 10% very effective, 34% quite effective, 21% neither effective nor ineffective, 18% quite ineffective, 10% very ineffective, and 7% don't know.
- 3.11. Respondents that rated lower the effectiveness of the current system are:
  - Aged under 35 (39% effective and 31% ineffective) compared with older respondents (47% effective and 25% ineffective).
  - Non-White British/Irish (35% effective and 37% ineffective) compared with White British/Irish respondents (47% effective and 25% ineffective).
- 3.12. Whilst stakeholders in the in-depth interviews generally said that they had positive experiences and relationships with local councils, they could also appreciate that there are opportunities for change and improvement, especially in terms of promoting partnership working and consistency of approach/service delivery:

"We work well with each of the councils and I think in the main they all do a good job and work well together. However, I can see that there is sometimes duplication and that reducing the tiers and number of councils could make life easier for everyone and promote collaboration. I think where it can have the biggest benefit is around consistency in approach and quality. Some councils are better at doing some things than others. Having a single, combined and more strategic approach could benefit everyone."

3.13. Respondents were asked to explain their answers to help understand the reasons behind their perceptions about effectiveness with 63% of respondents providing further explanation. In summary, those rating the system effective tend to highlight service reliability, local knowledge and responsiveness, local representation, resilience and adaptability despite funding and service pressures, and a sense that the current system is fit for purpose. Those who said neither effective or ineffective often

expressed mixed experiences, or uncertainty/lack of clarity. Those rating the system ineffective emphasised confusion, duplication, lack of joined-up/partnership working, inefficiency, and inequity and inconsistency in services between different local councils, with some advocating for change and unitary authorities.

3.14. The following provides more detail on the reasons alongside volume of opinion:

#### Reasons for rating the current system as effective:

- **Satisfaction with services** (cited by approximately 10% of respondents): Service provision is generally considered effective and satisfactory including key services such as bin collections, highways maintenance, and schools working well.
- Resilience and adaptability (cited by approximately 10%): Despite funding, budget and service pressures councils have worked effectively to maintain satisfactory service delivery. In part this is because of their understanding of their local area and the priorities of residents and consequent ability to adapt to changing needs and issues.
- Local knowledge and responsiveness (cited by approximately 5%): Smaller/more localised councils such as District/Borough councils allow services to be tailored to local need and priorities, and be more aware of, and responsive to, issues as they emerge at the neighbourhood level.
- **Representation** (cited by approximately 5%): Councils are closer to their communities and there is greater local accountability and political representation, reflecting local needs/priorities.
- **Familiarity, stability and continuity** (cited by approximately 3-4%): The current approach works sufficiently well and does not need to change, just potentially improved in-situ.

#### Reasons for rating the current system as neither effective nor ineffective:

- **Mixed experiences and views** (cited by approximately 5% of respondents): Some services/aspects work well and others could be improved. This includes an appreciation that there is scope for change and improvement, allied with concerns that change could be disruptive or not lead to positive benefits in practice.
- Lack of knowledge, information or understanding of the current structure or approach to services (cited by approximately 5%): This meant that respondents could not form a firm or clear opinion regarding effectiveness.

#### Reasons for rating the current system as ineffective:

- **Confusion** (cited by approximately 10% of respondents): The two-tier structure makes the system difficult to navigate, as well as creating a lack of accountability between councils.
- **Duplication and inefficiency** (cited by approximately 10%): The two-tier structure is inefficient with resource duplication between councils, unnecessary tiers of management and staffing resulting in wasted resources, added bureaucracy and negative consequences for service delivery/quality as well as cost-effectiveness.
- Service delivery issues (cited by approximately 10%): Mixed experiences of service delivery and quality, with scope for improvement.
- Joined-up/partnership working (cited by approximately 5%): The current two-tier system
  makes coordination challenging between councils and partners across the different tiers of
  local government, with scope to improve partnership working.
- **Inequity and lack of consistency** (cited by approximately 5%): Experiences of inconsistent services depending on location and challenges accessing services in neighbouring areas, as well as some concerns around a bias to service provision in urban areas compared to more rural areas.

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## Section 4: Local Government Reorganisation in England

#### **Introduction**

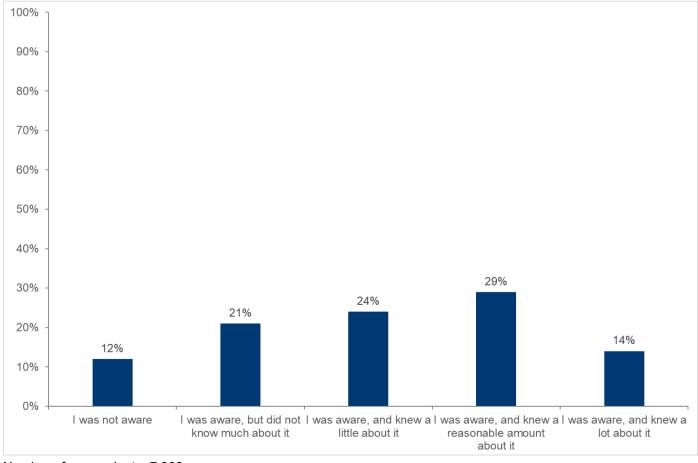
4.1. This section presents findings about the Government's plans for reorganisation of local government across the country, including awareness and knowledge, and levels of agreement with these plans.

Before today, were you aware, and how much did you know about, the reorganisation of councils across England?

Most respondents are aware of the reorganisation of councils across England, and had varying levels of knowledge, albeit towards the lower end

- 4.2. 88% of respondents are aware of the reorganisation of councils across England, including 14% that knew a lot about it, 29% a reasonable amount, 24% a little and 21% not much about it. 12% were not aware at all before responding to the consultation questionnaire.
- 4.3. There is a close relationship between awareness and knowledge of the current structure of councils and that of the reorganisation of councils across England. For example, 63% that were not aware of the current structure of councils are also not aware of the reorganisation of councils. Similarly, 48% that were aware and know a lot about the current structure of local councils are also equally aware and knowledgeable about the reorganisation of councils across England.

Figure 4.1: Awareness and knowledge of local government reorganisation across England



Number of respondents: 7,308.

4.4. Awareness and knowledge of local government reorganisation across England amongst respondents in different council areas tends to be within a few percentage points of each other and/or the average, although noting that awareness is greatest amongst respondents that live in Erewash and High Peak.

Figure 4.2: Awareness and knowledge of local government reorganisation across England by area

	AV	В	С	DC	DD	E	HP	NED	SD
I was not aware	14%	14%	10%	14%	12%	7%	9%	19%	18%
I was aware, but did not know much about it	22%	20%	21%	20%	21%	23%	14%	20%	19%
I was aware, and knew a little about it	25%	22%	21%	24%	26%	28%	22%	22%	22%
I was aware, and knew a reasonable amount about it	27%	29%	31%	27%	28%	30%	34%	28%	25%
I was aware, and knew a lot about it	11%	15%	17%	15%	12%	11%	21%	11%	16%
Don't know	1%	0%	0%	0%	0%	0%	0%	0%	1%

- 4.5. Consequently, when the data is re-weighted by council area to be proportionate to population sizes across Derbyshire there is little change in the results the only changes are that 13% of respondents in the weighted sample are unaware (compared with 12% in the non-weighted sample), 20% are aware, but do not know much about it (compared with 21% in the non-weighted sample), and 28% are aware and know a reasonable amount in the weighted sample (compared to 29% in the non-weighted sample).
- 4.6. Respondents with lower levels of awareness and knowledge of local government reorganisation across England are:
  - Women (15% not aware and 24% aware but do not know much about it) compared with men (11% not aware and 18% aware but do not know much about it).
  - Aged under 35 (24% not aware) compared with older respondents (12% not aware).
  - People living with a disability that affects them a lot (18% not aware) compared with others (12% not aware).
  - Non-white British-Irish (22% not aware) compared with White British/Irish respondents (12% not aware).
  - Private renters (21% not aware and 19% aware but do not know much about it) and social renters (20% not aware and 29% aware but do not know much about it) compared with owner-occupiers (12% not aware and 21% aware but do not know much about it).

4.7. Stakeholders in the in-depth interviews were all aware of the national and local plans and proposals for reorganisation and felt sufficiently engaged in the process to date. That said, several said it would be important to increase the intensity and scale of engagement to ensure effective implementation and maximise benefits, especially around partnership and local area working:

"I feel like to a lesser or greater degree that we've been informed, been able to share our views and influence things to date and that there are mechanisms in place to continue this, such as the Chief Executives' meetings."

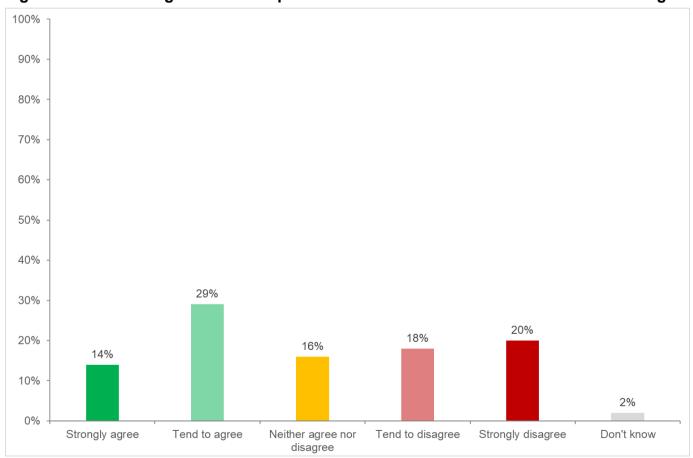
"When we move towards implementation we will need to increase the level of engagement between ourselves and the councils, beyond my level to managers, team leaders and people on the ground. We want to make sure that we maintain those relationships and working practices that are at the heart of our joint-working and tailoring our services at a neighbourhood level."

# To what extent do you agree or disagree with plans to reduce the number of councils across England?

Views are generally polarised, albeit with a slightly higher proportion agreeing with the plans to reduce the number of councils across England, with views affected by levels of knowledge, and perceptions of the effectiveness of the current system and services

- 4.8. 43% of respondents agree with the plans to reduce the number of councils across England, including 29% that tend to agree and 14% that strongly agree.
- 4.9. 18% are neutral stating they neither agree nor disagree. 38% disagree with the plans to reduce the number of councils across England, including 20% that strongly disagree.
- 4.10. The more respondents are aware/have knowledge of the plans the more likely they are to agree with them. For example, 33% that were not aware agree with the plans compared with 54% that know a lot about the plans.
- 4.11. There is also a relationship between perceptions of the effectiveness of the current system and levels of agreement with plans to reduce the number of councils. For example, 36% of those that said the current structure of local councils is effective agree with plans to reduce the number of councils across England compared with 70% of those that said the current system is ineffective i.e. in other words, those that consider the current system ineffective are more likely to state there is a case for change.

Figure 4.3: Level of agreement with plans to reduce the number of councils across England



Number of respondents: 7,310.

4.12. There is some variation by area with respondents in Derby City (52% agree) and High Peak (53% agree) more likely to agree with the plans for local government reorganisation in England (the two areas that rated lowest the effectiveness of the current system). While South Derbyshire respondents are less likely to agree (31%) – an area that rated the effectiveness of the current system relatively highly.

Figure 4.4: Level of agreement with plans to reduce the number of councils across England by area

	AV	В	С	DC	DD	E	HP	NED	SD
Strongly agree	12%	17%	17%	18%	13%	13%	15%	16%	14%
Tend to agree	28%	24%	28%	34%	34%	27%	38%	31%	17%
Neither agree nor disagree	17%	18%	17%	16%	14%	17%	18%	15%	12%
Tend to disagree	20%	18%	16%	16%	17%	19%	16%	18%	21%
Strongly disagree	20%	20%	19%	14%	21%	22%	11%	17%	34%
Don't know	3%	3%	3%	3%	1%	2%	2%	3%	2%

- 4.13. When the data is re-weighted by council area to be proportionate to population sizes across Derbyshire there are minor changes to the overall results with them becoming slightly more positive 15% strongly agree, 29% tend to agree, 16% neither agree nor disagree, 18% tend to disagree, 19% strongly disagree and 3% don't know.
- 4.14. Respondents that are less likely to agree with the plans to reduce the number of councils across England are:
  - Women (39% agree) compared with men (50% agree).
  - People living with a disability that affects their lives a lot (37% agree) compared with other respondents (45% agree).
  - Social renters (33% agree) compared with other respondents (45% agree).
- 4.15. Respondents were asked to explain their answers to help understand the levels of agreement for plans to reduce the number of councils across England with 68% of respondents providing further explanation. In summary, those that agreed with the plans tended to state that it would lead to efficiencies and cost savings, making the system more streamlined, modern and consequently easier to navigate and access services. Those that are neutral are uncertain about the potential benefits and impacts. Those that disagree are concerned about the loss of local representation and local knowledge, resulting in less responsive and tailored services to meet local needs and priorities, as well as a system and services that will be harder to navigate and access. Relatedly, there are concerns that in practice any changes will not lead to positive impacts in terms of efficiencies or cost-savings.

4.16. The following provides more detail on the reasons alongside volume of opinion:

#### Reasons for agreement:

- Efficiencies, streamlining and cost-savings (cited by approximately 25% of respondents): Fewer councils would reduce duplication and bureaucracy with less waste and administrative layers resulting in cost-savings.
- **Simplification of system and services** (cited by approximately 15%): Related to the above, a single layer/simplified structure could be easier for residents to navigate and access services, as well as partners to engage with.
- Modernisation (cited by approximately 5%): The current system is outdated and not fit for purpose, and change to a more modern and efficient form of government is overdue, especially in light of funding, budget and service pressures.
- **Issues with the current system and services** (cited by approximately 5%): Poor experiences of the current system or services, or concerns about local areas and quality of life provide a case for change in the hope of improvements.

#### Reasons for neutrality:

- **Uncertain about impact or benefits** (cited by approximately 5% of respondents): Whilst there is an appreciation that changes may have a positive impact, there is also scepticism that these will be realised in practice. This is related to concerns about effective implementation of changes and/or the negative impact of short-term disruption.
- Lack of knowledge, information or understanding of the plans to reduce councils (cited by approximately 2-3%): This meant that respondents could not form a firm or clear opinion regarding agreement with the plans or were uncertain in practice what the changes would entail and the potential benefits.
- **General indifference or resignation** (cited by approximately 2-3%): These changes will take place regardless of the views of residents and stakeholders and in practice will not make a major difference to their lives.

#### Reasons for disagreement:

- Loss of local representation and knowledge (cited by approximately 20% of respondents):
   Merging councils would increase the distance between decision-makers and communities, and
   reduce accountability and local connections. This could result in less responsive and tailored
   services to meet local needs and priorities, as well as a system and services that will be harder
   to navigate and access.
- Concerns over efficiency and complexity (cited by approximately 15%): Scepticism that larger councils will be more efficient, simpler to navigate and improve access to services but rather in practice would add complexity and bureaucracy.
- Value for money concerns (cited by approximately 10%): Relatedly, the potential lack of
  efficiencies combined with the costs of reorganisation could outweigh financial and other
  benefits. Similarly, concern that the proposed benefits may be over-stated and not backed by
  evidence.
- **Preference for reform within existing structure** (cited by approximately 5%): As a result of the above concerns, some respondents said existing councils should be improved rather than replaced.
- No need for change (cited by approximately 5%): The system is not broken, so there is not a need to fix it, especially with risk that any changes could lead to less effective councils and services.

## Section 5: Local Government Reorganisation across Derbyshire

#### **Introduction**

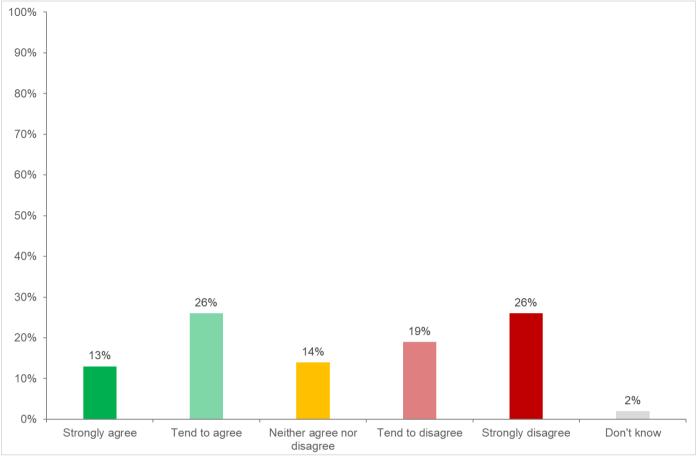
5.1. This section presents the proposals for reorganisation of local government across
Derbyshire, including the proposal to replace the ten existing councils with two councils in
Derbyshire and three options for the proposed new councils.

To what extent do you agree or disagree with the proposal to replace the ten existing councils with two councils to run local government across the whole of Derbyshire?

Views are polarised, albeit with slightly more disagreeing with the proposal to replace the ten existing councils with two councils across Derbyshire, with responses influenced by perceptions of the effectiveness of the current system and in principle agreement or otherwise with the wider Government plans to reorganise local government across England

- 5.2. 39% of respondents agree with the proposal, including 26% that tend to agree and 13% that strongly agree.
- 5.3. 14% are neutral stating they neither agree nor disagree. 45% disagree with the proposal to replace the ten existing councils with two councils across Derbyshire, including 26% that strongly disagree.
- 5.4. There is a relationship between perceptions of the effectiveness of the current system and levels of agreement with the proposal. For example, 24% of those that said the current structure of local councils is effective agree with the proposal to reduce the number of councils in Derbyshire compared with 64% of those that said the current system is ineffective. i.e. in other words, those that consider the current system ineffective are more likely to state there is a case for change.
- 5.5. Likewise, those that agree with the Government's plans to reorganise local government are more likely to agree with the proposal in Derbyshire to reduce the number of councils. For example, 79% that agree with the Government's plans also agree with the proposal in Derbyshire compared with just 4% of those that disagree with the Government's plans, highlighting 'in principle' agreement/disagreement with the plans and proposals.

Figure 5.1: Level of agreement with proposal to replace ten existing councils with two across Derbyshire



Number of respondents: 7,318.

5.6. There is similar variation by area as in the previous question regarding the wider plans for local government reorganisation. Derby City (51% agree) and High Peak (55% agree) respondents are more likely to agree with the proposals in Derbyshire. While South Derbyshire respondents are less likely to agree (28%). Part of the reason for these variations are that respondents in Derby City and High Peak tend to perceive the current structure and approach to service delivery as benefiting from change, while those in South Derbyshire are more positive about the current system. Relatedly, Derby City respondents said they can see the benefits of a unitary local council approach given they already live within a unitary authority. In the case of South Derbyshire there appear to be some concerns about a loss of local representation and knowledge, alongside concerns that rural areas may not be served as well in a larger authority with a core urban centre such as Derby City.

Figure 5.2: Level of agreement with proposal to replace ten existing councils with two across Derbyshire by area

	AV	В	С	DC	DD	E	HP	NED	SD
Strongly agree	10%	12%	19%	21%	11%	12%	15%	15%	13%
Tend to agree	24%	25%	23%	30%	29%	24%	40%	27%	15%
Neither agree nor disagree	15%	13%	15%	15%	12%	14%	15%	14%	9%
Tend to disagree	20%	20%	17%	16%	20%	19%	14%	17%	18%
Strongly disagree	28%	28%	24%	16%	26%	29%	15%	24%	44%
Don't know	3%	2%	2%	2%	2%	2%	1%	3%	1%

- 5.7. When the data is re-weighted by council area to be proportionate to population sizes across Derbyshire there are minor changes to the overall results with them becoming slightly more positive 15% strongly agree, 26% tend to agree, 14% neither agree nor disagree, 18% tend to disagree, 25% strongly disagree and 2% don't know.
- 5.8. Respondents that are less likely to agree with the proposal to replace ten existing councils with two across Derbyshire are (similar patterns as per the previous question on wider plans for reorganisation across England):
  - Women (35% agree) compared with men (45% agree).
  - People living with a disability that affects their lives a lot (33% agree) compared with other respondents (41% agree).
  - Social renters (31% agree) compared with other respondents (41% agree).
- 5.9. Stakeholders in the in-depth interviews were generally supportive of local government reorganisation (both in general and locally), in part as a way of modernising and also as they could see the potential benefits for streamlining and partnership working:

"Reorganisation is just a thing that happens in the public sector or indeed in any sector. You can't stand still. It's just about modernising. It is something that the health sector does regularly, so it is not a surprise or anything new."

"On paper there are obvious benefits to reorganisation and having less councils and larger councils. The two-tier system has some advantages around local area and neighbourhood working, but there is duplication and unnecessary layers. There's obvious benefits to making everything more streamline. From my perspective it will make partnership working a lot easier."

"We prefer to work with two larger local authorities. The more partners there are, there more organisations there are, it makes it more difficult around things like referrals and discharges, which can then lead to variability and inconsistencies, as well as duplication, wasted resources and poor communication."

5.10. Several stakeholders also said they **tended to organise in a north-south way** and therefore the proposal for two local authorities (one in the north and one in the south) seems appropriate and will help lead to further benefits:

"In principle, we support reorganisation and the move to unitary councils to support strategic working, partnership working and efficiencies. The two local authority model seems sensible in being organised north and south, so it is now just a matter of where the line is drawn. One of the benefits of the two council model is that it will resolve issues like the footprint in the south, where hospitals are split between two authorities currently, which has issues because there are currently different processes with referrals and discharges."

"The north-south model makes sense and seems both intuitive and also fits with the way we organise, which itself is based around the flows of residents. So it feels both natural and appropriate and would work well with us and local people. Within this, I'm fairly agnostic about the detail of the options and which parts of Derbyshire are in which new authority. We just have our building blocks, our neighbourhood teams, and will adjust accordingly."

- 5.11. Respondents were asked to explain their answers to help understand the levels of agreement for the proposal to replace the ten existing councils with two across Derbyshire with 65% of respondents providing further explanation. In summary, many of the reasons are similar to those provided in the preceding questions about the wider plans for local government reorganisation in England. In addition, some that disagreed raised concerns that larger councils could lead to inequity and inconsistencies in access to services and service quality in rural areas compared with urban areas, especially where a large urban area is at the centre of the new council. Similarly, some raised concerns about loss of sense of place and identity.
- 5.12. Some respondents (approximately 2-3%) also said that a **one council solution should be considered across the whole of Derbyshire to maximise the benefits and avoid splitting the county into two.** That said, some of these respondents and others noted that two councils could be a pragmatic "middle ground" between keeping the current system and moving to one large unitary and/or that Derbyshire is too big for a single council, so two may balance efficiency with manageability.
- 5.13. In addition, some respondents said that the plans and proposals are a **Government** agenda being imposed on local areas with limited benefit to local residents (cited by approximately 2-3%).

5.14. The following provides more detail on the reasons alongside volume of opinion:

#### **Reasons for agreement:**

- Efficiencies, streamlining and cost-savings (cited by approximately 20% of respondents): Fewer councils would reduce duplication and bureaucracy with less waste and administrative layers resulting in cost-savings and potentially improved services.
- **Simplification of system and services** (cited by approximately 10%): Related to the above, a single layer/simplified structure could be easier for residents to navigate and access services, as well as partners to engage with (resulting in more joined-up/partnership working, including between the two new councils).

#### Reasons for neutrality:

- Balanced views (cited by approximately 5% of respondents): Whilst there is an appreciation
  that changes may have a positive impact, there is also scepticism that these will be realised in
  practice. Similarly, some respondents said that the current system works satisfactorily and that
  change is not essential, even if it leads to improvements.
- Lack of knowledge, information or understanding of the proposals (cited by approximately 2-3%): This meant that respondents could not form a firm or clear opinion regarding agreement with the proposals or were uncertain in practice what the changes would entail and the potential benefits.

#### Reasons for disagreement:

- Loss of local representation, knowledge and accountability (cited by approximately 20% of respondents): Concern that two councils would be more detached from local communities and not responsive to local issues, needs and priorities. This includes less access to councillors and decision-makers, with the concern heightened in more rural areas located away from urban centres.
- Rural inequality and urban-rural divide (cited by approximately 10%): Concern that larger councils will not be able to tailor services to suit rural areas and that rural areas will receive inconsistent service provision or be deprioritised compared to urban areas, as well as suffer from some of the challenges in urban areas and councils currently serving those areas. This view is heightened in areas (such as South Derbyshire and potentially Amber Valley) where a relatively large urban area will be at the centre of the new council in the case of Derby City, and where some respondents in these areas do not want to take on the problems and challenges experienced by the council. Relatedly, some respondents suggested that Derby City could be a separate council in its own right to avoid some of these concerns and provide services specific to an urban area.
- Feels unintuitive and not linked to natural boundaries (cited by approximately 5%): Related to the above point, there are some concerns that established local authority boundaries linked to sense of place, history and culture will be eroded.
- Concerns about implementation (cited by approximately 5%): Scepticism that proposed benefits may not be realised and concern that disruption and confusion in making changes may outweigh benefits, at least in the short-term. This includes not realising the potential financial benefits and making it harder to navigate councils and access services.

#### To what extent do you agree or disagree with Option A?

(Option A is a north / south split of the county, with Amber Valley being part of the northern council along with High Peak, Derbyshire Dales, North-East Derbyshire, Chesterfield and Bolsover District. The southern council would include South Derbyshire. Erewash and Derby City)

More respondents disagree than agree with Option A with local area variations influencing responses alongside, to a lesser extent, in principle agreement or otherwise with local government reorganisation

- 5.15. 36% of respondents agree with Option A, including 22% that tend to agree and 14% that strongly agree.
- 5.16. 16% are neutral stating they neither agree nor disagree. 46% disagree with Option A, including 24% that strongly disagree.
- 5.17. Those that agree with the proposal to reduce the number of councils in Derbyshire (and also those that agree with the wider Government plans for reorganisation of local government in England) are more likely to agree with Option A. For example, 45% that agree with the proposal to reduce the number of councils also agree with Option A compared with 28% of those that disagree with the proposal to reduce the number of councils.

100% 90% 80% 70% 60% 50% 40% 30% 24% 22% 22% 20% 16% 14% 10% 3% 0% Strongly agree Tend to agree Neither agree nor Tend to disagree Strongly disagree Don't know disagree

Figure 5.3: Level of agreement with Option A

Number of respondents: 7,287.

5.18. Respondents living in Amber Valley council area are much more likely to agree with this option (54%) than respondents in other areas. Also, to a lesser extent, respondents living in the Derbyshire Dales council area are more positive than some other respondents with 38% in agreement with Option A.

Figure 5.4: Level of agreement with Option A by area

	AV	В	С	DC	DD	E	HP	NED	SD
Strongly agree	28%	10%	8%	9%	15%	8%	8%	9%	6%
Tend to agree	26%	15%	22%	20%	23%	17%	19%	23%	22%
Neither agree nor disagree	11%	23%	19%	16%	18%	17%	20%	20%	14%
Tend to disagree	14%	25%	26%	26%	23%	24%	28%	25%	19%
Strongly disagree	19%	25%	21%	26%	19%	32%	21%	20%	37%
Don't know	3%	3%	4%	3%	2%	2%	3%	3%	3%

- 5.19. When the data is re-weighted by council area to be proportionate to population sizes across Derbyshire there are changes to the overall results with lower levels of agreement 11% strongly agree, 21% tend to agree, 17% neither agree nor disagree, 23% tend to disagree, 25% strongly disagree and 3% don't know.
- 5.20. Levels of agreement are broadly similar across different demographic groups.
- 5.21. Respondents were asked to explain their answers to help understand the levels of agreement for Option A with 62% of respondents providing further explanation. The following outlines the reasons alongside volume of opinion:

#### **Reasons for agreement:**

- **Logical/natural division** (cited by approximately 15% of respondents): Makes geographical sense, in keeping with local identities and connections and reflects that the north and south of Derbyshire are naturally distinct in terms of needs, identity, or infrastructure (this was particularly stressed by Amber Valley respondents and also Derbyshire Dales respondents).
- **Fair and balanced** (cited by approximately 5%): Offers a more balanced split in terms of size, workload, and resources with a clean line between north and south (especially cited by Amber Valley and Derbyshire Dales respondents).
- Maintains local council boundaries (cited by approximately 5%): Avoids any splitting of
  existing local councils (a particular concern of Amber Valley respondents), which could be
  challenging and problematic, affecting the successful implementation and undermining the
  potential benefits. Likewise, there is alignment with existing services or partnership
  arrangements, making it a lower risk option by supporting a degree of continuity.
- **Rural focus** (cited by approximately 5%): Avoids being part of a new local council that would have a large urban centre at its heart in the form of Derby City with consequent perceived risks of rural inequity and inconsistency in service access and delivery (this is a particular concern of Amber Valley respondents).

#### Reasons for neutrality:

- Balanced views (cited by approximately 2-3% of respondents): Can see the pros and cons of Option A in that it offers a neat split, but with flaws such as an imbalance in size.
- Lack of knowledge or information (cited by approximately 2-3%): This meant that respondents could not form a firm or clear opinion regarding Option A.

#### Reasons for disagreement:

- Geographical and community misfit (cited by approximately 20% of respondents): Option A
  does not reflect natural community ties, separating areas that tend to have close associations
  with one another such as some parts of Derby and Erewash from some parts of Amber Valley.
  Some respondents suggest that Derbyshire is too diverse (e.g. urban and rural) and complex
  to be divided neatly and simply into a north and south option.
- **Imbalanced** (cited by approximately 15%): The north council is too large relative to the south council with a consequent impact on resources and service delivery for the south. Likewise, the north could be too large, with too broad a mix of communities to manage effectively and therefore undermine potential benefits.
- **Derby City dominance** (cited by approximately 5%): Derby City could dominate the southern council, leading to neglect of surrounding rural or suburban areas. Concern that funding and resources would be pulled into Derby City, leaving outlying communities under-served. This is a particular concern of respondents from South Derbyshire.
- Other options preferred and/or general opposition to change (cited by approximately 5%).

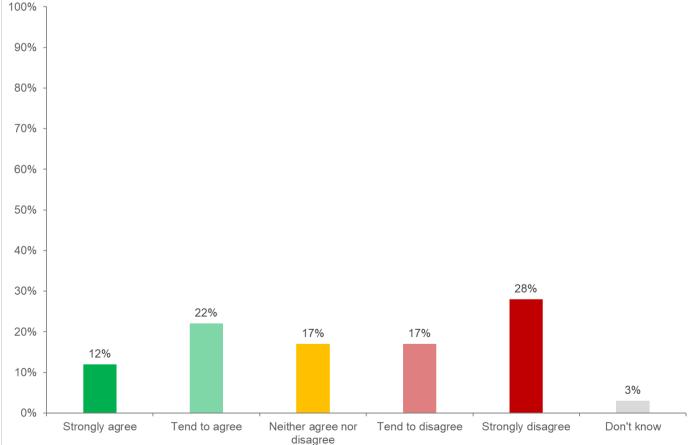
#### To what extent do you agree or disagree with Option B?

(Option B is a north / south split of the county, with Amber Valley being part of the southern council along with South Derbyshire, Erewash and Derby City. The northern council would include High Peak, Derbyshire Dales, North-East Derbyshire, Chesterfield and Bolsover District)

As with Option A, more respondents disagree than agree with Option B with local area variations influencing responses alongside in principle agreement or otherwise with local government reorganisation

- 5.22. 34% of respondents agree with Option B, including 22% that tend to agree and 12% that strongly agree.
- 5.23. 17% are neutral stating they neither agree nor disagree. 45% disagree with Option B, including 28% that strongly disagree.
- 5.24. As with Option A, those that agree with the proposal to reduce the number of councils in Derbyshire (and also those that agree with the wider Government plans for reorganisation of local government in England) are more likely to agree with Option B. For example, 51% that agree with the proposal to reduce the number of councils also agree with Option B compared with 20% of those that disagree with the proposal to reduce the number of councils.

Figure 5.5: Level of agreement with Option B 100%



Number of respondents: 7,264.

- 5.25. Respondents living in the High Peak council area (55% agree) and Derby City council area (49% agree) are more likely to agree with this option than other respondents. In the case of High Peak respondents, this is because they tended to see Option B as a fairer and more balanced approach in terms of size and resources, while they also felt it is a more natural split in terms of geography, identity and connections. Similar reasons are also expressed by Derby City respondents.
- 5.26. In contrast, respondents in the Amber Valley council area (22% agree) and South Derbyshire council area (23% agree) are less likely than other respondents to agree. In the case of Amber Valley respondents this is largely to do with their preference for Option A, which in part is driven by concerns around being in a southern council with a large urban centre in the form of Derby City, while Amber Valley respondents also said their connections and identity are more north than south (which is in slight contrast with some of the findings in section 2 around movement across Derbyshire). South Derbyshire respondents tended to not agree with Option B because they saw it as a worse option than A, creating a perceived too-large and unbalanced unitary authority that risks diluting South Derbyshire's voice and resources due to the inclusion of Amber Valley. Likewise, respondents in South Derbyshire felt less connected to Amber Valley than other nearby areas. They are also concerned about being in a council with Derby City, as per the reasons outlined relating to Option A.

Figure 5.6: Level of agreement with Option B by area

	AV	В	С	DC	DD	E	HP	NED	SD
Strongly agree	9%	10%	14%	20%	12%	12%	21%	11%	9%
Tend to agree	13%	25%	27%	29%	24%	24%	34%	30%	14%
Neither agree nor disagree	11%	22%	21%	15%	22%	17%	20%	22%	17%
Tend to disagree	15%	18%	16%	17%	20%	19%	13%	15%	17%
Strongly disagree	49%	22%	18%	17%	20%	26%	9%	19%	41%
Don't know	2%	2%	5%	2%	2%	2%	4%	4%	2%

- 5.27. When the data is re-weighted by council area to be proportionate to population sizes across Derbyshire there are changes to the overall figures resulting in higher levels of agreement 14% strongly agree, 25% tend to agree, 18% neither agree nor disagree, 17% tend to disagree, 24% strongly disagree and 3% don't know.
- 5.28. Levels of agreement are broadly similar across different demographic groups.

5.29. Respondents were asked to explain their answers to help understand the levels of agreement for Option B with 59% of respondents providing further explanation. The following outlines the reasons alongside volume of opinion:

#### **Reasons for agreement:**

- **Fairer and more balanced** (cited by approximately 15% of respondents): Compared to Option A, this option is a fairer and more balanced division between north and south, which has the potential be more sustainable and achieve more equitable benefits for both areas.
- More natural geography and connections (cited by approximately 10%): Option B maintains
  the close and natural connections between areas such as Derby, Amber Valley and Erewash
  (although some respondents in Amber Valley said that they do not have connections with
  Derby and look northwards).
- **Middle ground option** (cited by approximately 5%): Seen as the best of the three options and a good compromise between options A and C.

#### **Reasons for neutrality:**

- Balanced views and all options have some merit (cited by approximately 5% of respondents): Can see the pros and cons of Option B, primarily that it is more balanced, but also that it may have challenges as indicated below.
- Lack of knowledge or information (cited by approximately 2-3%): This meant that respondents could not form a firm or clear opinion regarding Option B.

#### **Reasons for disagreement:**

- **Urban-Rural concerns** (cited by approximately 15% of respondents): Option B risks forcing rural areas (such as those in Amber Valley) into a structure dominated by urban centres with a consequent negative impact on meeting local needs, and service access and delivery.
- **Derby City dominance** (cited by approximately 10%): Relatedly, as similar with Option A, some respondents did not want to be linked with Derby City. This is partly due to lack of connections and partly due to concern that it could dominate the southern council in terms of voice, funding and resources, leading to neglect of surrounding rural or suburban areas (these concerns were particularly cited by Amber Valley and South Derbyshire respondents).
- Lack of connection with the south and/or Derby City (cited by approximately 5%):
   Respondents look north rather than south, especially some in Amber Valley, in terms of connections and identity.
- Other options preferred and/or general opposition to change (cited by approximately 5%).

#### To what extent do you agree or disagree with Option C?

(Option C is a north / south split of the county, with different parishes from Amber Valley joining each of the two councils, depending on where they best fit. The northern council would include High Peak, Derbyshire Dales, North-East Derbyshire, Chesterfield, Bolsover District and some parishes of Amber Valley. The southern council would include South Derbyshire, Erewash, Derby City and other parishes of Amber Valley)

# Over half disagree with Option C and just over a fifth agree, with Derby City respondents more positive than others

- 5.30. 21% of respondents agree with Option C, including 13% that tend to agree and 8% that strongly agree.
- 5.31. 18% are neutral stating they neither agree nor disagree. 58% disagree with Option C, including 38% that strongly disagree.
- 5.32. As with Options A and B, those that agree with the proposal to reduce the number of councils in Derbyshire (and also those that agree with the wider Government plans for reorganisation of local government in England) are more likely to agree with Option C than other respondents (although only a minority agree). For example, 33% that agree with the proposal to reduce the number of councils also agree with Option C compared with 12% of those that disagree with the proposal to reduce the number of councils.

100% 90% 80% 70% 60% 50% 38% 40% 30% 20% 18% 20% 13% 8% 10% 4% 0% Strongly disagree Don't know Strongly agree Tend to agree Neither agree nor Tend to disagree disagree

Figure 5.7: Level of agreement with Option C

Number of respondents: 7,258.

5.33. Respondents living the Derby City council area (36% agree) are more likely to agree with this option than other respondents.

Figure 5.8: Level of agreement with Option C by area

	AV	В	С	DC	DD	E	HP	NED	SD
Strongly agree	10%	5%	8%	12%	6%	7%	4%	7%	5%
Tend to agree	13%	12%	11%	24%	14%	12%	10%	13%	12%
Neither agree nor disagree	12%	23%	26%	15%	19%	18%	24%	21%	15%
Tend to disagree	13%	21%	20%	20%	21%	24%	25%	22%	21%
Strongly disagree	49%	35%	30%	25%	35%	37%	32%	32%	44%
Don't know	2%	4%	5%	4%	5%	3%	5%	5%	2%

- 5.34. When the data is re-weighted by council area to be proportionate to population sizes across Derbyshire there are changes to the overall figures resulting in slightly higher levels of agreement 8% strongly agree, 15% tend to agree, 18% neither agree nor disagree, 20% tend to disagree, 34% strongly disagree and 4% don't know.
- 5.35. Levels of agreement are broadly similar across different demographic groups.
- 5.36. Respondents were asked to explain their answers to help understand the levels of agreement for Option C with 59% of respondents providing further explanation. Most respondents that disagree with Option C said that they oppose splitting-up Amber Valley (cited by approximately 20% of respondents). The reasons for this are two-fold firstly it is in part about identity and connections towards the north and also splitting Amber Valley is considered 'divisive', 'unnatural' and 'unfair'. Secondly, there are concerns that restructuring under Option C would be more problematic as it would involve dividing an existing council, which could result in higher costs and practical issues undermining the potential benefits of reorganisation. In addition, similar points were raised by those that disagree with Option C as those raised with other options including concerns over the urban-rural divide and Derby City dominance. Likewise, others that disagreed mentioned they prefer the other options to Option C.
- 5.37. Those in agreement with Option C felt that it makes the most geographical sense and is the more even north-south split providing for balanced councils, population and resources. Respondents living in the Derby City council area were more likely than other respondents to agree with Option C because it groups together urban/suburban areas closely tied to Derby, recognising, as they perceive it, that large parts of Amber Valley function as suburbs of Derby. Consequently, these respondents feel that Option C reflects the real geography of daily life and would align council boundaries with existing patterns of service and amenity use. Derby City respondents often contrasted Option C favourably with Options A and B, which they felt did not adequately reflect Derby's urban pull. Rural and district residents, on the other hand, leaned toward Options A or B, which they viewed as simpler and less disruptive to community boundaries.

#### Reflecting on the three options, which option do you prefer?

(Question only asked to residents that live or work in Amber Valley)

# Option A is the preferred approach by almost half of Amber Valley respondents, followed by Option B

5.38. 47% of Amber Valley respondents prefer Option A, 21% Option B and 16% Option C, while 12% do not have a preference and 4% do not know.

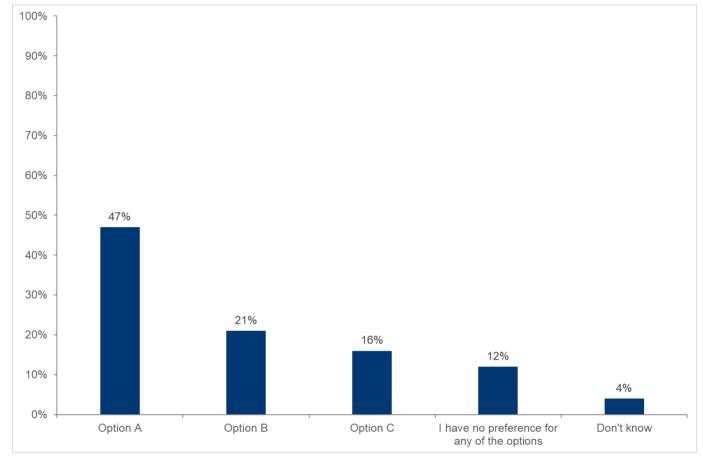


Figure 5.9: Preferred option (Amber Valley)

Number of respondents: 1,875.

- 5.39. Respondents were asked to explain their answers with 57% of Amber Valley respondents providing further explanation. The comments tended to reflect those made earlier about the plans, proposals and specific options. **Option A** is (often reluctantly) preferred because it is the 'least disruptive' or 'simplest' option and/or 'more natural' or 'geographically makes sense' reflecting connections, identity and practical ties. **Option B** was supported by those who felt it balanced population and resources better, but criticised it for creating unnatural divisions. Some Amber Valley respondents viewed **Option C** as the fairest split by geography and identity, but others strongly opposed it for 'splitting Amber Valley' or breaking community links.
- 5.40. A strand of responses **rejected all three options outright**, describing them as unnecessary, potentially undermining local representation, and service access and delivery, while the financial and service benefits may be difficult to achieve in practice.

5.41. Some stakeholders in the in-depth interviews said that inclusion of Amber Valley in the north (Option A) did not necessarily fit with their use of services:

"From a health perspective, Amber Valley residents tend to look south rather than north, accessing healthcare providers in the south. It will be more impactful if health and local authorities are aligned, for example if people use hospitals in the south then it would make sense that their discharge is managed by the local authority in the south rather than the one in the north."

#### The view from businesses

- 5.42. 232 business owners or leaders from across Derbyshire responded to the consultation questionnaire, alongside an in-depth interview with East Midlands Chamber and two engagement events Destination Chesterfield Business Breakfast (attended by c25 local businesses) and a session hosted by Marketing Derby (attended by over 50 Derby-based businesses). The following represent key points and themes from businesses:
  - Businesses are slightly more in agreement with the plans to reduce the number of councils across England (48% agree compared with 43% of other respondents) and proposal to replace the existing ten councils in Derbyshire with two new unitary councils (43% agree compared with 39% of other respondents). Businesses tended to share similar views as residents about the specific options, although some mentioned that the final decision should include consideration of economic links and opportunities to promote economic growth.
  - Businesses that agree with local government reorganisation note opportunities to improve efficiencies and reduce duplication, alongside streamlining and improving access to services and support. This includes reducing red tape and bureaucracy on issues that affect businesses, as well as inconsistencies due to operating across multiple local councils with different approaches and policies, such as business support, access to finance and grants, and planning.
  - Businesses want the new councils to create business-friendly environments and
    prioritise economic growth and the support provided to businesses. With this in
    mind, they want the two new local councils to work closely together with a 'panDerbyshire' and regional mind-set, including developing a singular economic growth
    strategy.
  - This said, they want such a strategy to be sufficiently nuanced to provide appropriate economic growth interventions and business support that helps different types of businesses - both rural and urban businesses, as well as different business sectors and sizes.
  - Businesses also see an opportunity for local government reorganisation to help improve the local planning system, making it more efficient, streamlined, clear and easier to access with the aim of supporting economic growth rather than acting as a barrier. This can be achieved by developing consistent planning approaches, policies and service provision rather than working with multiple local councils.
  - However, businesses also raised concerns that the proposed changes could temporarily disrupt services that businesses rely on, while there was also some scepticism whether the changes would achieve the proposed benefits in practice.

#### **Next steps and points for consideration**

5.43. **Stakeholders in the in-depth interviews** were fairly agnostic about the different options. They were just keen that **decision-making is relatively quick and collaborative**, **implementation is smooth and that the benefits and impacts are maximised:** 

"I don't really have a preferred option. The right option will really be in the implementation, creating a positive environment to deliver quality services, economic growth and improving the quality of life of local residents."

"To date this has felt a very political process, understandably. But it needs to move on to looking to get this thing done and done as well as possible. I'd like to see the councils put the politics to one side now and move ahead collaboratively and speedily. It's then about making the process as painless as possible and building a new structure and approach, which leads to positive outcomes."

"The sooner we know the way forward, the sooner we can start planning ourselves both practically and strategically. There needs to be a smooth transition both strategically and operationally. Practically to make sure we adapt to whatever changes they make and strategically to make sure we're aligned in our approaches with the new councils and have the right relationships and partnerships in place."

"We don't have a strong opinion either way, we'll just work with it. Our only concern is that the National Park isn't split between two different authorities, which would make it very difficult for us."

5.44. This said, some stakeholders said that **Option C** is more problematic for them because they are broadly organised around local authority boundaries, although this would not be insurmountable:

"My only view is on Option C really. I find that slightly curious as it just feels a bit more difficult to deliver, splitting an existing local council and all the administrative aspects that go with that. It would also be more of a challenge for us as we are organised around the local authority boundaries mainly. It wouldn't be a major challenge, but we'd have to consider if we'd need to restructure slightly to adapt to this."

"The one option I'm less convinced about is the one in which Amber Valley is split (Option C). I can see why on paper this may look attractive and balanced, but in practice I'm concerned about splitting historical communities and established local services, organisations and relationships. It just seems more challenging, although not something that can't be managed if it's felt to be the best option."

5.45. Relatedly, stakeholders are **practical and pragmatic about adapting to any future changes regardless of the option selected**, although they would appreciate as much support and preparation as possible to minimise disruption:

"We will just adapt. We will make any necessary tweaks and slot in with whatever is decided. Our focus will mainly be on just getting on with our day-to-day business. It will help us to have as much notice and support required to adapt so we can do this smoothly."

"We're experienced around transformation and will just dock in with the new structures as appropriate. We just need to make sure the structures are in place to achieve this such as maintaining the conversations at a strategic level, but also establishing sub-groups with key colleagues across organisations on specific changes or issues. We just need to work together to manage the transition."

5.46. Some stakeholders said that the **changes and implementation should be mindful of other reform/changes/reorganisation taking place across the public space**, such as NHS reform, evolution around the role of the Combined Authority and also potential DEFRA reform around the governance of National Parks:

"I don't have any major concerns around adapting, we'll just plug in as required. That said, colleagues should be mindful that the NHS is also undergoing reform currently, including around the ICB, to make things more seamless and efficient. I'm also aware of changes and reform regionally with the Combined Authority. So any changes should be aware of this and ideally they would be managed alongside each other to achieve synergies and maximise benefits."

5.47. Stakeholders wanted the councils to focus now more strategically, at a pan-Derbyshire and regional level (including the two new councils working well with each other and the Combined Authority), as well as on generating positive social and economic impacts:

"The conversation to date has felt quite detail focussed, small picture stuff and practical, but I'd now like the councils to start thinking bigger picture. What will be the vision for these new councils and the areas they operate in? How will they work in partnership with each other and with local partners, as well as regional partners such as the Combined Authority? What are the mechanisms for this? The focus has now got to be on creating an environment that allows businesses and people to flourish."

"I'm more interested in the bigger picture and outcomes rather than the small politics of it. There's a risk that this could all just become about changing organisations and an elaborate boundary review rather than about fundamentally improving service delivery to meet the needs of local areas and people. This includes ensuring that the new councils have good economic strategies and are able to adapt services to support different communities, including urban and rural communities."

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"We provide a county-wide service and think regionally. So any new arrangement should be doing the same. For it to have the impact that's intended, the councils need to start thinking county-wide and strategically, about overarching priorities and working closely together for the benefit of the county and to deliver pan-Derbyshire infrastructure and services. They need to move away from the politics of it and work collaboratively and not in competition. There may be two new councils but they need a singular pan-Derbyshire and regional strategy rather than risk working in isolation and separately."

5.48. Alongside the above points, several stakeholders said that it is important to **ensure that local area working and neighbourhood models are supported within the new council structures.** Some stakeholders said this is currently easier to achieve under the district based system and there is a risk it could be lost in larger councils, although there are also opportunities for greater flex and adaptation under larger councils:

"Working at the local level, neighbourhood working, is really important to us and local people. There's a risk that larger councils will struggle to deliver this and there will be incongruence between our neighbourhood models and larger unitary councils. This can be managed, but will require the new councils to prioritise this issue and ensure there are mechanisms to support neighbourhood models and working closely with us at the local level."

"There's an opportunity around the neighbourhood model. On one level there's a risk this gets lost in larger councils, but if the structures and systems are in place then it could be better under larger councils as we'd be less restricted by local authority boundaries and can organise around neighbourhoods and their local needs and priorities, around natural neighbourhoods."

5.49. These key stakeholders want to remain **closely involved in the process** to ensure effective implementation and **minimise disruption**, **allowing partners to get on with their day-to-day business**:

"They should keep us closely involved. They should be using us and our experience. It's not just about making sure the approach and implementation work for us, but we have lots of experience around transformation and reorganisation so they should be using us to test their ideas, develop their evidence bases, and challenge them. But this all needs to be done quickly and well, with the focus being on making improvements to the benefits of our communities."

"There's a lot going on in this space currently, lots of reform and reorganisation. It's difficult to plan around it all and coordinate it all, so the best we can ask is that it's done in earnest and done well, as smoothly as possible and that communication and collaboration remains in place. The long-term aim of these reforms and reorganisations is that they have positive benefits, but we can't allow them to be a distraction from our daily business as usual."

# **Appendices**

#### Appendix 1: Stakeholder in-depth interview discussion guide

The interviews provide an opportunity for key stakeholders to discuss in-depth the following:

- Their understanding of, and perspectives on, the proposed changes.
- The potential impact and implications of the proposed changes on their organisation/sector.
- The way in which their organisation/sector will adapt to the proposed new arrangements, including any support to mitigate challenges/issues around reorganisation.
- Views on each of the options, including any concerns, challenges or benefits of each option.

In addition, the interviews will explore how they can promote the consultation amongst their networks and how they would like to remain engaged in the process in the future.

The interviews are timed to last up to 45 minutes.

In each interview, participants will be introduced to the purpose of the interview and their anonymity and confidentiality stressed, along with a request to record the conversation for research purposes.

Please note: These questions will be used flexibly and depending on the flow of discussion. Not every question will be asked or worded as below, but the key subjects will be covered.

#### **Key lines of questioning:**

#### Warm-up/context (c2 minutes)

- Could you briefly describe your role and that of your organisation?
- What has been your/your organisation's involvement with local government reorganisation to date?

# <u>Understanding of local government reorganisation in general and in Derbyshire (c5 minutes)</u>

- What is your understanding of local government reorganisation in England?
- What do you think in general about local government reorganisation?

#### Prompt/probe:

- What do you think of the current structure of local government?
- How does the current structure/approach of local government facilitate partnership working with you/your organisation/your sector? And how does it facilitate effective service delivery?
- Are they positive changes? Why?
- Are they negative changes? Why?
- What general impact or implications does it have for you/your organisation/your sector?
- What is your understanding of the proposals for local government reorganisation in Derby and Derbyshire?

#### <u>Impact and implications of proposed changes (c10 minutes)</u>

- What do you think in general about the proposed changes to local councils in Derby and Derbyshire?
- How, if at all, do these proposed changes affect you/your organisation/your sector?

#### Prompt/probe:

- What do you think of the current structure of local government across Derbyshire, and the way services are delivered?
- How does the current structure/approach of local government in Derby and Derbyshire facilitate partnership working with you/your organisation/your sector? And how does it facilitate effective service delivery?
- Are they positive changes? Why?
- Are they negative changes? Why?
- How will these changes affect the way you work in partnership with local councils/in the local area in the future? Or affect your service delivery?
- What impact do you think these proposed changes will have on any of the following:
  - Access to services
  - Quality of services
  - o Savings/efficiencies/reducing duplication/making delivery more streamline
  - o Capacity and resilience of local councils and financial sustainability
  - o Local democracy accountability, responsiveness, meeting need, connectedness
  - Empowering local leaders and organisations
  - Improving local areas

#### Adapting to changes (c10 minutes)

 How, if at all, will you/your organisation/your sector adapt to the proposed changes to local councils in Derby/Derbyshire?

#### Prompt/probe:

- Strategic changes?
- Structural changes?
- Practical changes?
- What support, if any, do you/your organisation/your sector require to help you better understand the proposals and/or adapt to the proposed changes/help mitigate any issues associated with the changes?

#### Specific options (c5 minutes)

 Do you have any specific comments, including any concerns, challenges or benefits, about each of the 3 options?

#### Prompt/probe:

- Which, if any, of the options impact you/your organisation/your sector the most? Why?
- Which, if any, of the options have implications for your partnership working with local councils/in the local area? Or service delivery?

#### Summing-up (c5 minutes)

- Are there any interesting lessons or good practice you're aware from elsewhere in the country around local government reorganisation and how you/your organisation/your sector has adapted?
- Do you have any other comments you would like to make?
- How are you/your organisation/your sector planning to respond to the consultation?
- How are you/your organisation/your sector promoting the consultation amongst your networks?
- How would you like to remain involved and engaged in the process in the future?

# **Appendix 2: Consultation questionnaire**

Note: This is the paper version of the consultation questionnaire.



# Proposed changes to councils in Derby and Derbyshire - Consultation Questionnaire

#### Introduction

The way local councils in England are organised is being fundamentally changed for the first time in 50 years. Your views will help shape how local services are delivered in Derbyshire in the future.

Derbyshire's eight district and borough councils and Derby City Council are working together to propose a new structure that best meets the needs of local communities. This consultation will help inform their final proposals to Government.

This consultation questionnaire will take about 10 minutes to complete. **Please complete it by:** Sunday 10 August 2025.

The consultation is being conducted with support from Public Perspectives, an independent organisation that works with local councils and communities.

Your personal details are managed securely and within data protection laws. Your responses are anonymous and confidential. This means your personal information will not be reported alongside your answers. Each of the partner council privacy notices will apply and anonymised data will be shared between councils. Please visit the following to read Public Perspectives' privacy notice:

www.publicperspectives.co.uk/data-security-and-privacy/

#### Information in a different format:

If you need help or support to respond to the consultation, or the questionnaire in an alternative format (large print, British Sign Language etc.) or language, please contact Public Perspectives via e-mail on: Derbyshire@publicperspectives.co.uk or Freephone: 0800 533 5386 (please leave a message and we will call you back).

#### Access for Deaf people contact numbers:

Relay UK: 18001 0800 533 5386

BSL Signing Service www.derby.gov.uk/signing-service

Text: 07774 333412

#### Returning the questionnaire:

Once you have completed the questionnaire, you can return it via the Freepost address at the end of this questionnaire.

If you have not already, you may wish to view the background information before responding to the consultation questionnaire. This is available on your local council website or visit: bit.ly/Backgroundinformation

# Living and working in Derbyshire

# Q1a. Are you responding as . . .? Please select all relevant answers. These questions help us understand who is responding to the consultation. A resident living in Derbyshire Someone who works in Derbyshire A local councillor A business owner or business leader operating in Derbyshire A voluntary or community organisation A Housing Association A Town or Parish Council A District / Borough / City / County Council employee Another public sector organisation Other If 'Other', please state: Please state the name of the organisation or business you represent (if relevant): Q1b. If representing an organisation or business, in which of the following areas does your organisation mainly operate? Please select all relevant answers. Amber Valley Borough Council area ☐ Bolsover District Council area Chesterfield Borough Council area Derby City Council area Derbyshire Dales District Council area Erewash Borough Council area High Peak Borough Council area ■ North East Derbyshire District Council area South Derbyshire District Council area

Across all of DerbyshireOutside of Derbyshire

☐ Don't know

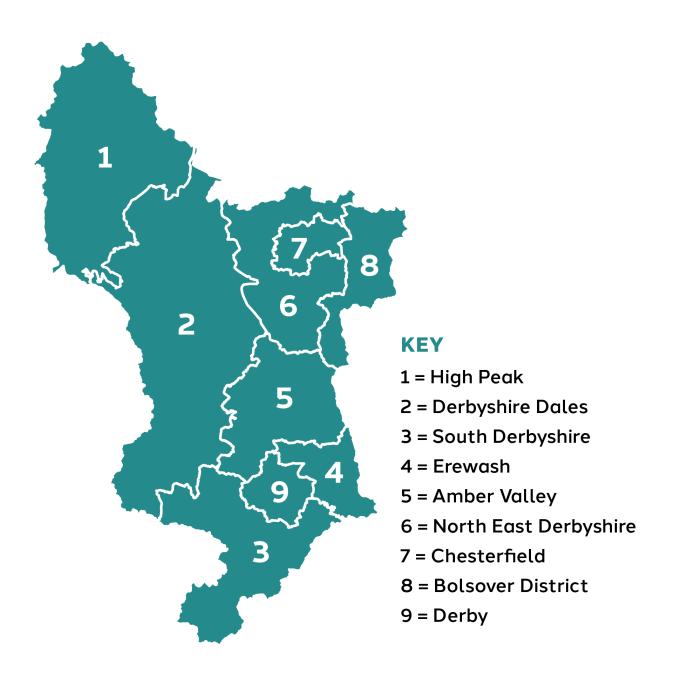
#### Q2a. Where do you live?

Q3.

If you are uncertain which council covers your area, visit the following website and enter your postcode: www.gov.uk/find-local-council Please select one answer only. Amber Valley Borough Council area Bolsover District Council area Chesterfield Borough Council area ☐ Derby City Council area Derbyshire Dales District Council area Erewash Borough Council area High Peak Borough Council area North East Derbyshire District Council area South Derbyshire District Council area Outside of Derbyshire ☐ Don't know Q2b. If you live in Derbyshire, what is your postcode? (This is asked so we can analyse the results by different areas. We will not be able to identify you personally) Where is your main place of work? Please select all relevant answers. Amber Valley Borough Council area ☐ Bolsover District Council area Chesterfield Borough Council area Derby City Council area Derbyshire Dales District Council area Erewash Borough Council area High Peak Borough Council area North East Derbyshire District Council area South Derbyshire District Council area Across all of Derbyshire Outside of Derbyshire Don't know Not applicable - not currently in work / retired

If 'Outside of Derbyshire', where is your main place of work?

To help you answer the following questions, this map shows the boundaries of the local councils in Derbyshire:



A	a a alast all malayant anayyana
	se select all relevant answers.
_	mber Valley Borough Council area
B	olsover District Council area
□ C	hesterfield Borough Council area
$\Box$ D	erby City Council area
$\Box$ D	erbyshire Dales District Council area
□ E	rewash Borough Council area
□ H	igh Peak Borough Council area
$\square$ N	orth East Derbyshire District Council area
	outh Derbyshire District Council area
A	cross all of Derbyshire
□ 0	utside of Derbyshire
□ 0	ther
□ D	on't know
If 'Ot	her', please state:
the p	u live in Derbyshire, thinking about where you currently live, please list blaces you would name to describe where you are from if asked by eone that does not live near you?

Q4a. Thinking about your day-to-day activities, what parts of Derbyshire do you visit?

#### The current structure of councils in Derbyshire

Local services in most of Derbyshire are currently delivered under what is known as a 'two-tier' council structure.

This means that some services in your local area are delivered by a borough or district council (e.g. bins, housing, planning, leisure centres) and others are provided by Derbyshire County Council (e.g. social care for children and adults, education services and highways). If you live outside of Derby, the council tax you pay helps to fund county, borough and district council services, with a portion also going to the local police and fire service.

Within Derbyshire, we also have Derby City Council which is a 'unitary' council providing all services to the communities it serves. If you live in Derby, the council tax you pay helps to fund the city council's services, with a portion also going to the local police and fire service.

In total, 10 different councils provide services across the county (not including town and parish councils and these councils aren't included in the reorganisation).

	day, were you aware, and how much did you know about, the current of councils in Derbyshire and the different services delivered by ncil?
Please se	lect one answer only.
☐ I was n	ot aware
☐ I was a	ware, but did not know much about it
☐ I was a	ware, and knew a little about it
I was a	ware, and knew a reasonable amount about it
☐ I was a	ware, and knew a lot about it
☐ Don't k	now
	ctive do you think the current structure of councils is in Derbyshire
and the a	ctive do you think the current structure of councils is in Derbyshire pproach to service delivery?  lect one answer only.
and the a	pproach to service delivery? lect one answer only.
and the a	pproach to service delivery?  lect one answer only.  fective
Please se	pproach to service delivery?  lect one answer only.  fective
Please se Very ef Quite e Neither	pproach to service delivery?  lect one answer only.  fective  ffective
Please se Very ef Quite e Neither Quite ir	pproach to service delivery?  lect one answer only.  fective  ffective  effective nor ineffective
Please se Very ef Quite e Neither Quite ir	pproach to service delivery?  lect one answer only.  fective  ffective  effective nor ineffective  neffective  effective
Please se Very ef Quite e Quite ir Very inc	pproach to service delivery?  lect one answer only.  fective  ffective  effective nor ineffective  neffective  effective  how
Please se Very ef Quite e Quite ir Very inc	pproach to service delivery?  lect one answer only.  fective  ffective  effective nor ineffective  neffective  effective
Please se Very ef Quite e Quite ir Very inc	pproach to service delivery?  lect one answer only.  fective  ffective  effective nor ineffective  neffective  effective  how

#### **Local Government Reorganisation**

The Government wants to change the way local councils are structured in places that have the two -tier system described in the previous section. This is known as 'local government reorganisation'.

This structure dates back to 1974. The way we live our lives has changed substantially since then, and Government believes services could be delivered more efficiently and effectively by having fewer councils.

Local councils have therefore been asked to work together to draw up initial proposals for new organisations that are:

- Simpler and more efficient
- Better value for money
- Closer to communities
- Able to deliver joined-up services

The proposals, which will include a range of evidence alongside the feedback from this consultation, must be submitted by November 2025.

Ultimately, Government will make the final decision on how new council arrangements are implemented.

Q7. Before today, were you aware, and how much did you know about, the reorganisation of councils across England? Please select one answer only. ☐ I was not aware ☐ I was aware, but did not know much about it I was aware, and knew a little about it I was aware, and knew a reasonable amount about it I was aware, and knew a lot about it ☐ Don't know To what extent do you agree or disagree with plans to reduce the number of Q8. councils across England? Please select one answer only. Strongly agree Tend to agree Neither agree nor disagree Tend to disagree Strongly disagree ☐ Don't know Why have you answered i this way?

#### **Local Government Reorganisation across Derbyshire**

Q9.

All councils in Derbyshire (except Derbyshire County Council) worked together to develop an initial proposal about how councils could be restructured in Derbyshire. This was submitted to the Government in March 2025. Since then, further work has taken place to review options and develop more evidence to inform the proposals.

The proposals would see Derbyshire's 10 existing councils be replaced by two new authorities (one in the north and one in the south). Each of the two new councils would deliver all local government services in their area.

You can see a summary of the different options later on in this consultation questionnaire.

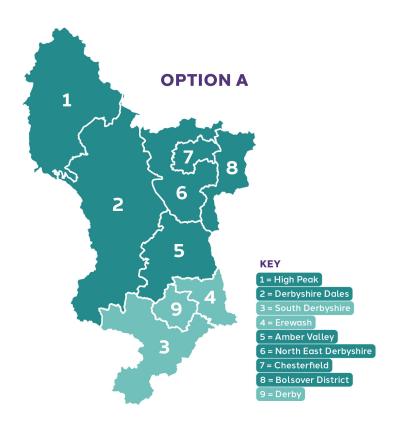
Strongly agree
Ottorigiy agree
Tend to agree
Neither agree nor disagree
Tend to disagree
Strongly disagree
Don't know

Three options have been identified.

This includes how the area currently covered by Amber Valley Borough Council could be included in the new structure: placed entirely in the northern council, entirely in the southern council, or with different parishes joining each of the two councils, depending on where they may best fit.

# **Option A**

Option A is a north / south split of the county, with Amber Valley being part of the northern council along with High Peak, Derbyshire Dales, North East Derbyshire, Chesterfield and Bolsover District. The southern council would include South Derbyshire, Erewash and Derby City:

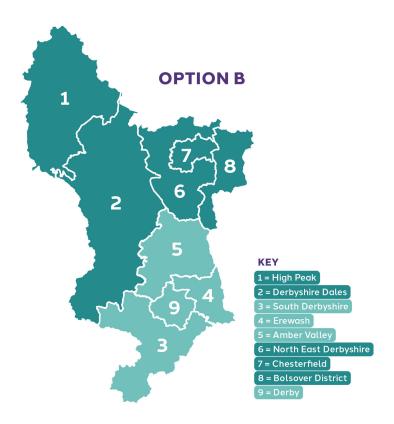


#### Q10. To what extent do you agree or disagree with Option A?

Please select one answer only.
☐ Strongly agree
☐ Tend to agree
☐ Neither agree nor disagree
☐ Tend to disagree
☐ Strongly disagree
☐ Don't know
Why have you answered in this way?

# **Option B**

Option B is a north / south split of the county, with Amber Valley being part of the southern council along with South Derbyshire, Erewash and Derby City. The northern council would include High Peak, Derbyshire Dales, North East Derbyshire, Chesterfield and Bolsover District:



#### Q11. To what extent do you agree or disagree with Option B?

Please select one answer only.	
☐ Strongly agree	
☐ Tend to agree	
☐ Neither agree nor disagree	
☐ Tend to disagree	
Strongly disagree	
☐ Don't know	
Why have you answered in this way?	
Why have you answered in this way?	
Why have you answered in this way?	
Why have you answered in this way?	
Why have you answered in this way?	

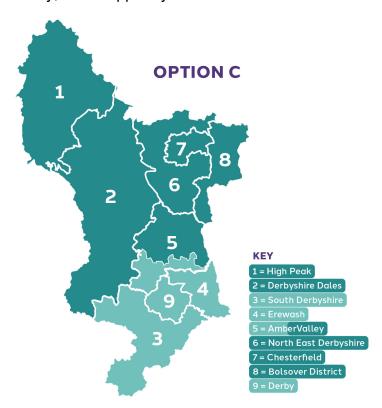
#### **Option C**

Q12.

Option C is a north / south split of the county, with different parishes from Amber Valley joining each of the two councils, depending on where they best fit.

The northern council would include High Peak, Derbyshire Dales, North East Derbyshire, Chesterfield, Bolsover District and the following parishes of Amber Valley: Shipley, Heanor and Loscoe, Denby, Kilburn, Belper, Hazelwood, Shottle and Postern, Idridgehay and Alton, Ashleyhay, Alderwasley, Ripley, Codnor, Aldercar and Langley Mill, Ironville, Riddings, Somercotes, Alfreton, Swanwick, Pentrich, South Wingfield, Crich, Dethick, Lea, and Holloway.

The southern council would include South Derbyshire, Erewash, Derby City and the following parishes of Amber Valley: Kirk Langley, Mackworth, Kedleston, Ravensdale Park, Weston Underwood, Quarndon, Turnditch and Windley, Duffield, Holbrook, Horsley, Horsley Woodhouse, Smalley, and Mapperley.



answer only.
☐ Strongly agree
☐ Tend to agree
☐ Neither agree nor disagree
☐ Tend to disagree
☐ Strongly disagree
☐ Don't know
Why have you answered in this way?
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# Q12a. If you are a resident of Amber Valley or a stakeholder that works with or in Amber Valley, please answer the following question:

Reflecting on the three options, which option do you prefer?
Please select one answer only.
☐ Option A
☐ Option B
☐ Option C
☐ I have no preference for any of the options
☐ Don't know
Why have you answered in this way?

# **Other comments**

Please make comments below:
How did you bear about this consultation?
How did you hear about this consultation?
How did you hear about this consultation? Please select all relevant answers.
Please select all relevant answers.
Please select all relevant answers.  Council website
Please select all relevant answers.  Council website  Council e-mail or newsletter
Please select all relevant answers.  Council website  Council e-mail or newsletter  Other council communication or event
Please select all relevant answers.  Council website  Council e-mail or newsletter  Other council communication or event  Council social media
Please select all relevant answers.  Council website  Council e-mail or newsletter  Other council communication or event  Council social media  Other social media
Please select all relevant answers.  Council website  Council e-mail or newsletter  Other council communication or event  Council social media  Other social media  Via a local councillor
Please select all relevant answers.  Council website  Council e-mail or newsletter  Other council communication or event  Council social media  Other social media  Via a local councillor  Via a local organisation
Please select all relevant answers.  Council website Council e-mail or newsletter Other council communication or event Council social media Other social media Via a local councillor Via a local organisation Poster or flyer Direct e-mail or letter
Please select all relevant answers.  Council website  Council e-mail or newsletter  Other council communication or event  Council social media  Other social media  Via a local councillor  Via a local organisation  Poster or flyer
Please select all relevant answers.  Council website  Council e-mail or newsletter  Other council communication or event  Council social media  Other social media  Via a local councillor  Via a local organisation  Poster or flyer  Direct e-mail or letter  An advert in a local newspaper

# Please select one answer only. Yes No If 'Yes', please provide your contact details below. We will only use this information to update you about progress with proposals for the future of local councils in Derbyshire. Your details will be stored securely, kept separate from your questionnaire responses, and will not be published. Name: E-mail: Address:

Would you like to be kept informed of progress with proposals about the

The following questions are only relevant if you live or work in Derbyshire.

#### **About you**

□ No

Prefer not to say

We would like to ask you some questions about yourself and your household. This will help councils understand the opinions and impact of the proposals on different groups of people that live or work in Derbyshire. Please be assured that your answers are confidential and will be treated anonymously. This means that we will not report your answers alongside your personal details in such a way that you can be identified and the information you provide will only be used for the purposes of this consultation. All your answers and personal information will be managed securely and in accordance with data protection laws.

This information is **optional**. Q15. Are you . . .? Please select one answer only. Female Male Another term Prefer not to say Q16. What is your age group? Please select one answer only. Under 16 ☐ 16-17 18-24 25-34 35-44 45-54 55-64 65-74 □ 75+ Prefer not to say Q17. Do you have any physical or mental health conditions or illnesses lasting or expected to last 12 months or more? Please select one answer only.

Yes, which reduce my ability to carry out my day-to-day activities a lotYes, which reduce my ability to carry out my day-to-day activities a little

Yes, but they don't reduce my ability to carry out my day-to-day activities at all

Q18.	Which of the following best describes your ethnic group or background? Please select one answer only.
	☐ White British or Irish
	☐ Central or Eastern European
	Other White background
	Asian or Asian British
	☐ Black, Black British, Caribbean or African
	☐ Mixed background
	Other ethnic group
	Prefer not to say
Q19.	Which of the following best describes your current housing situation? Please select one answer only.
	Owner-occupier
	Privately renting
	Renting from the council or housing association
	Other
	☐ Prefer not to say
Nex	t steps
You'r	e nearly finished – thank you for taking part so far.
	e you send your response, please take a moment to read the information below about happens next.
analys	ving the close of the consultation on Sunday 10 August 2025 we will be collating and sing all of the questionnaire responses received from across Derbyshire, to understand the of everyone who has taken part.
	esults will be used to inform the development of the councils' final proposal for the future of councils in Derbyshire alongside a range of evidence.
will be	nust be submitted to Government by 28 November 2025, and feedback on how any proposale taken forward for Derbyshire is expected in early 2026. Your local council will keep you ed as things progress.
1	ase complete the questionnaire and put it in the post by: Sunday 10 just 2025.
1	the questionnaire in an envelope and send to the following Freepost ress (no stamp needed):
C/O RUE PO St. /	byshire consultation Public Perspectives Ltd ER–BYCU–TEJA Box 1340 Albans 9NT

<del>287</del>

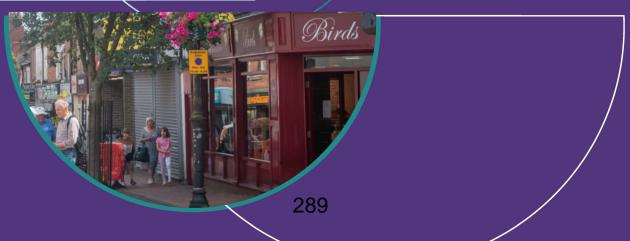












# Appendix 3

# **Equality Impact Assessment – Local Government Reorganisation (Proposal)**

Proposal	Local Government Re-organisation (LGR) in Derbyshire and Derby
Reason for proposal	The initial proposals for LGR prepared by the eight district and borough councils of Derbyshire plus Derby
	City Council.
Date of assessment	June 2025 (prior to consultation)
	September and October 2025 (assessed in relation to proposal development)

# **EIA Team**

Name	Job title	Organisation	
eather Greenan	Director of Corporate Management	Derby City Council	
Pinden Vernon	Head of Democratic Services	High Peak Borough Council	
Ann Webster	Equality Lead	Derby City Council	
Emma Lees	Consultation Officer	Derby City Council	
Katy Marshall	Policy and Partnerships Manager	Chesterfield Borough Council	
Colin Handley	Community Engagement Officer	Erewash Borough Council	
Claire Allen	Corporate Policy Officer	Derbyshire Dales District Council	
Kath Drury	Information & Improvement Manager	North East Derbyshire District Council	
Sally Price	Head of Communities	Amber Valley Borough Council	
Tracy Bingham	Executive Director of Resources and Transformation	South Derbyshire District Council	
Sarah Kay	Interim Director of Planning, Devolution & Corporate Policy	Bolsover District Council	

# Step 1 - setting the scene

Make sure you have clear aims and objectives on what you are impact assessing – this way you keep to the purpose of the assessment and are less likely to get side- tracked.

What are the main aims, objectives, and purpose of the decision you want to make?

This Equality Impact Assessment (EIA) is a working document, considering the anticipated impact of the Local Government Reorganisation (LGR) Proposal being developed by the eight district and borough councils of Derbyshire and Derby City Council, as a result of the English Devolution White Paper and subsequent legislative stages.

The EIA was previously undertaken when planning community and stakeholder consultation on potential options for LGR. We are now reviewing the EIA again in light of the consultation responses, further technical assessments and the development of four possible options for government to consider. These have been developed further using a wider evidence base compiled for the Proposal. The final decision on the implementation of LGR will be made by Government, therefore this decision is to submit the Proposal for consideration.

We will not be able to assess with any certainty the extent of any impact until we know the Government decision about the model. As more detailed implementation and delivery plans are developed further this EIA will be reviewed to ensure we continue paying due regard to equality and inclusion issues as the LGR transition progresses.

This equality analysis sets out the headline issues that all partners need to be aware of as we move into the planning phase for this work. As we do not yet know what decision the Government will make on the form unitary local government will take in Derbyshire, much of this analysis is provisional and general in nature.

Alongside the changes for our communities, LGR will involve the reorganisation of the workforce of all the upper and lower tier authorities in Derbyshire. While detailed analysis of impacts of this on staff will not be possible until implementation plans for the new authorities are developed, we also set out here key considerations that will need to be kept in mind to avoid unequal impacts on different groups of employees.

In response to the Government's English Devolution White Paper, collaboration has taken place between Derby City Council and the eight district and borough councils of Derbyshire - Amber Valley Borough Council, Bolsover District Council, Chesterfield Borough Council, Derbyshire Dales District Council, Erewash Borough Council, High Peak Borough Council, North East Derbyshire District Council and South Derbyshire District Council - to develop an interim proposal for Local Government Reorganisation (LGR). The County Council are developing their own proposals.

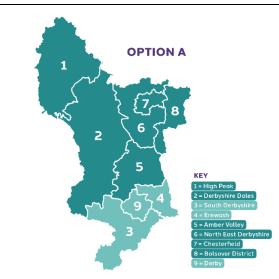
This EIA examines the possible impacts arising from the proposals for LGR and identified options that were subject to consultation and has been developed further in light of the wider evidence base compiled for the Proposal. It will be updated throughout the LGR process.

Our LGR Proposal would see Derbyshire's 10 existing Councils be replaced by two new Unitary Council authorities which would deliver all local authority services:

- A Council for northern Derbyshire
- A Council for southern Derbyshire

Four potential variations have been developed, three of which were included in the consultation. Option B1 has emerged in response to evidence gathering and further deliberations.

# Option A – a north/south split of the county, with Amber Valley Council being part of the northern Council



# Key statistics

Unitary Council 1: Amber Valley, Derbyshire Dales, High Peak, Bolsover, Chesterfield, North East Derbyshire

Population: 584,000
 Area (sq. km): 2,103

Council Tax Base: 194,804

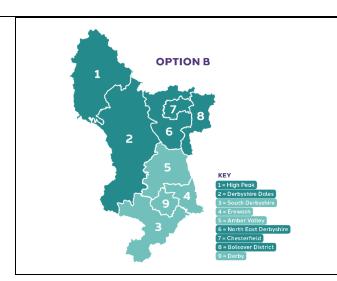
Unitary Council 2: Derby City, South Derbyshire, Erewash

Population:494,000Area (sq. km): 526

Council Tax Base: 147,434

Option B – a north/south split of the county, with Amber Valley Council being part of the southern Council





### Key statistics

Unitary Council 1: High Peak, Derbyshire Dales, North East Derbyshire, Chesterfield, Bolsover

Population: 456,000Area (sq. km): 1,838

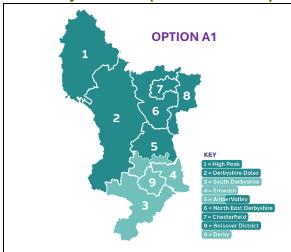
• Council Tax Base: 152,247

Unitary Council 2: South Derbyshire, Erewash, Amber Valley, Derby City

Population: 622,000Area (sq. km): 791

Council Tax Base: 189,991

Option A1: A north / south split of the county, with Amber Valley being split between the northern and southern Unitary Councils (Modification request from option A)



# Key statistics

Unitary Council 1: High Peak, Derbyshire Dales, Chesterfield, North East Derbyshire, Bolsover, part of Amber Valley\*

Population: 567,000Area (sq. km): 2,068

• Council Tax Base: 187,572

Unitary Council 2: Derby City, Erewash, South Derbyshire, part of Amber Valley\*

Population: 511,000Area (sq. km): 560

• Council Tax Base: 154,666

<sup>\*</sup>Amber Valley Parishes in the North - Aldercar and Langley Mill, Alderwasley, Alfreton, Ashleyhay, Belper, Codnor, Crich, Denby, Dethick, Lea and Holloway, Hazelwood, Heanor and Loscoe, Idridgehay and Alton, Ironville, Kilburn, Pentrich, Ripley, Shipley, Shottle and Postern, Somercotes, South Wingfield, Swanwick.

294	*Amber Valley Parishes in the South - Duffield, Holbrook, Mackworth, Mapperley, Quarndon, Ravensdale Park, Smale Option B1: A north / south split of the county, with A southern Unitary Councils (Modification request from OPTION B1  *Amber Valley Parishes in the North - Aldercar and Langle Crich, Dethick, Lea and Holloway, Hazelwood, Heanor and Ravensdale Park, Ripley, Shottle and Postern, Somercote Underwood, Windley.  *Amber Valley Parishes in the South - Belper, Denby, Duff Kedleston, Kilburn, Kirk Langley, Mackworth, Mapperley, Mackworth, Ma	mber Valley being split between the northern and moption B)  Key statistics  Unitary Council 1: High Peak, Derbyshire Dales, North East Derbyshire, Chesterfield, Bolsover, part of Amber Valley*  Population: 539,000 Area (sq. km): 2,012 Council Tax Base: 180,133  Unitary Council 2: Derby City, Erewash, South Derbyshire, part of Amber Valley*  Population: 538,000 Area (sq. km): 617 Council Tax Base: 162,105  Area (sq. km): 617 Council Tax Base: 162,105  Area (sq. km): 617 Council Tax Base: 162,105
Why do you need to make this decision?	The Government requires a Proposal to be submitted by 2 the letter dated 5 February 2025 and subsequent feedback Government has determined criteria which local authority	k received by Government on 15 May 2025. The
	Ultimately Government will make the final decision on the statutory consultation. Further engagement and development information can be found here - Local government regov.uk	nent of this EIA will take place throughout the process.

Who delivers/will deliver the changed service/policy including any consultation on it and any outside organisations who deliver under procurement arrangements?	The proposed new Councils will be public bodies and as such will be subject to the Public Sector Equality Duty (PSED) (both the general duty and the specific duties). Once established, the new Councils will need to consider what systems, processes, and resources it will need to put in place to ensure that it complies with the Public Sector Equality Duty as well as the Equality Act in the performance of its functions.  The existing Councils are themselves subject to the PSED and as such they will need to comply with their own respective policies and procedures as they plan, prepare for, and implement the transition of LGR. Copies of the Councils' equality and diversity policies and procedures are available on their respective websites.
Who are the main customers, users, partners, colleagues, or groups affected by this decision?	Residents in Derbyshire and Derby Businesses in Derbyshire and Derby Voluntary, community and charity organisations Councillors and members of staff Town and Parish Councils in Derbyshire Local MPs in Derby and Derbyshire Universities and colleges in Derbyshire and Derby NHS organisations in Derbyshire and Derby Derbyshire Constabulary and Police and Crime Commissioner Derbyshire Fire and Rescue Service East Midlands Chamber of Commerce East Midlands County Combined Authority

# Step 2 – collecting information and assessing impact

Who have you consulted and engaged with so far about this change, and what did they tell you? Who else do you plan to consult with? – tell us here how you did this consultation and how you made it accessible for the equality groups, such as accessible locations, interpreters and translations, accessible documents.

The consultation methods included:

- an online survey with alternative options available to meet the needs of participants (for example including translations, BSL video, paper copies and easy read versions)
- engagement with businesses, the voluntary and community sector and other stakeholders identified.
- public events to engage local residents.

A stakeholder list was collated to ensure that consistent identification and mapping has been undertaken across Derbyshire and Derby to include interested businesses, organisations, groups and individuals as part of the consultation. Each Council holds mailing lists or databases of their own partner organisations / networks and will share any consultation information through these.

Active steps were taken to promote the consultation, to encourage participation and to ensure that the consultation is accessible to all (including, for example, digitally disadvantaged people and those with protected characteristics). As part of the specification, completion of the survey was tailored to needs (for example, paper, large print, translation provided, braille, BSL video and so on).

This Equality Impact Assessment has been undertaken to assist the Councils to fully understand the relevance and effect of the Proposal and to identify the most proportionate and effective responses, particularly in relation to those with protected characteristics. Each Council will work with those groups covered by the EIA locally to ensure engagement. We recognise there is need to target communications locally to ensure we receive a cross section of responses from all areas and therefore a geographic and demographic balance. Where an area was under-represented Constituent Councils considered whether to boost responses.

The Councils used a variety of measures to promote the consultation in their areas, including resident communication, press releases and social media as well as producing surveys, explainers and FAQs, and holding meetings and stakeholder engagement sessions.

A detailed communications plan provided an outline of methods to be used to target residents. Each Constituent Council will look at the best way to target the consultation through their own networks. A list of FAQs will be devised and shared on any consultation pages created. An easy read version was also be produced to make the information more accessible. With the help of the British Deaf Association a British Sign Language (BSL) Video was produced that was able to be shared with Deaf people throughout Derbyshire.

Please list and/ or link to below any recent and relevant consultation and engagement that can be used to demonstrate clear understanding of those with a legitimate interest in the policy/ service and the relevant findings.

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An open public consultation took place to inform the development of the councils' final proposals for Local Government Reorganisation as part of their submission to Government. The consultation was live for a sixweek period from Monday 30 June to Sunday 10 August 2025.

A consultation was established by Derbyshire's eight district and borough councils with Derby City Council to examine options. The consultation was carried out by Public Perspectives on behalf of the councils.

The main mechanism for capturing responses was an online consultation questionnaire, promoted through councils' websites, communication channels and promotional/marketing activity. The questionnaire was also available in alternative formats such as paper copies, Easyread and BSL video, alongside email and phone support.

Local councils also supported 27 community outreach and engagement events (held face to face) across Derbyshire, promoting the consultation and engaging with over 500 residents and stakeholders, including businesses.

- Online response platform, which could be accessed through the website;
- Hard copy response form, which was available to print out from the website and on request;
- A written letter, sent via the Freepost address listed on the paper response form;
- By email, via a dedicated consultation email address;
- Accessible and alternative versions were available on request and
- BSL Video.

In total, the consultation questionnaire received 7,335 responses, plus an additional 7 submissions via email/letter.

Sample of stakeholder groups invited to consult (amongst many others):

- Derby City Council's Equality Hubs
- Derbyshire Deaf Community
- Voices in Action (young people forum)
- Derbyshire LGBT
- Sight Support Derbyshire
- Derbyshire Carers
- Derbyshire Mind
- Disability Direct

# Consultation Participants profile and key equality, diversity and inclusion findings

Overall, consultation findings are outlined in the Consultation Report produced by Public Perspectives. The following section sets out the participant profile against the demographic information provided by participants and shows the percentage point difference against the overall population breakdown for Derbyshire. This is followed by a breakdown of significant differences by protected characteristic to the closed questions.

Comparison of consultation respondents and 2021 population by sex

Sex	Consultation	Consultation Responses		Population of Derbyshire by Sex (Census 2021, ONS)		% point difference (Respondents - Population)	
	Number	%	Number	%			
Female	3386	49%	536,707	50%	-1%	•	
Male	3164	46%	519,293	49%	-3%	•	
Other	22	0%					
Prefer not to say	301	4%					
Total	6,873	99%	1,056,000	99%			

Comparison of consultation respondents and 2021 population by age band

Age Band	Consultation Responses	Population of Derbyshire	% point difference
		by age band (Census	(Respondents -
		2021, ONS)	Population)

Т	V	
(	0	
(	Ď	

	Number	%	Number	%		
Under 16	3	0%	186,990	18%	-18%	•
16 to 24	93	1%	100,246	9%	-8%	•
25 to 34	453	7%	131,434	12%	-5%	•
35 to 44	861	13%	126,268	12%	+1%	•
45 to 54	1309	19%	147,822	14%	+5%	•
55 to 64	1631	24%	144,768	14%	+10%	<b>^</b>
65 to 74	1358	20%	117,800	11%	+9%	•
75+	783	11%	100,672	10%	+1%	•
Prefer not to say / not provided	376	5%	11,100	1%	+4%	•
Total	6,867	100%	1,067,100	100%		

Comparison of consultation respondents and 2021 population by ethnicity

Ethnicity	Consultation responses		icity  Consultation responses  Population of Derbyshire by ethnicity (Census 2021, ONS)		% point difference (Respondents – Population)	
	Number	%	Number	%		
White British-Irish	6060	88%	958,167	90%	-2%	•
Non-White British-Irish	234	4%	97,833	9%	-5%	•
Prefer not to say / not provided	587	9%	11,100	1%	+8%	•
Total	6881	100%	1,067,100	100%		

Comparison of consultation respondents and 2021 population by disability

Disability	Consultation Response		Population of Derbyshire by disability (Census 2021, ONS)		% point difference (Respondents – Population)	
	Number	%	Number	%		
Yes, which reduce my ability to carry out my day-to-day activities a lot	395	6%	89,075	8%	-2%	<b>•</b>

Yes, which reduce my ability to carry out my day-to-day activities a little	649	9%	119,404	12%	-3%	•
Yes, but they don't reduce my ability to carry out my day-to-day activities at all	687	10%	77,013	8%	+2%	•
No	4486	65%	770,508	72%	-7%	•
Prefer not to say / not provided	644	9%	11,100	1%	+8%	•
Total	6,861	99%	1,067,100	101%		

# **Findings**

Q5. Before today, were you aware, and how much did you know about, the current structure of councils in Derbyshire and the different services delivered by each council?

Respondents with lower levels of awareness and knowledge of the current structure of councils and the different services delivered are:

- Women (7% not aware and 17% aware but do not know much about it) compared with men (5% not aware and 10% aware but do not know much about it).
- Aged under 35 (11% not aware and 15% aware but do not know much about it) compared with older respondents (5% not aware and 13% aware but do not know much about it).
- Disabled people whose impairments affect them a lot (12% not aware and 15% aware but do not know much about it) compared with others (5% not aware and 13% aware but do not know much about it).
- Non-white British-Irish (11% not aware and 18% aware but do not know much about it) compared with White British/Irish respondents (6% not aware and 13% aware but do not know much about it).
- Private renters (10% not aware and 17% aware but do not know much about it) and social renters (12% not aware and 23% aware but do not know much about it) compared with owner-occupiers (5% not aware and 13% aware but do not know much about it).
- Employees of a Council were more aware (76%) compared to other respondents (60%).

Q6. How effective do you think the current structure of councils is in Derbyshire and the approach to service delivery?

Respondents that rated lower the effectiveness of the current system are:

- Aged under 35 (39% effective and 31% ineffective) compared with older respondents (47% effective and 25% ineffective).
- Non-White British/Irish (35% effective and 37% ineffective) compared with White British/Irish respondents (47% effective and 25% ineffective).

# Q7. Before today, were you aware, and how much did you know about, the reorganisation of councils across England?

Respondents with lower levels of awareness and knowledge of local government reorganisation across England are:

- Women (15% not aware and 24% aware but do not know much about it) compared with men (11% not aware and 18% aware but do not know much about it).
- Aged under 35 (24% not aware) compared with older respondents (12% not aware).
- Disabled people whose impairment affects them a lot (18% not aware) compared with others (12% not aware).
- Non-white British-Irish (22% not aware) compared with White British/Irish respondents (12% not aware).
- Private renters (21% not aware and 19% aware but do not know much about it) and social renters (20% not aware and 29% aware but do not know much about it) compared with owner-occupiers (12% not aware and 21% aware but do not know much about it).
- Employees of a Council were more aware (65%) compared to other respondents (42%).

# Q8. To what extent do you agree or disagree with plans to reduce the number of councils across England? Please select one answer only.

Respondents that are less likely to agree with the plans to reduce the number of councils across England are:

- Women (39% agree) compared with men (50% agree).
- Disabled people whose impairments affect their lives a lot (37% agree) compared with other respondents (45% agree).
- Social renters (33% agree) compared with other respondents (45% agree).

Q9. To what extent do you agree or disagree with the proposal to replace the ten existing councils with two councils to run local government across the whole of Derbyshire?

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Respondents that are less likely to agree with the proposal to replace ten existing councils with two across Derbyshire are (similar patterns as per the previous question on wider plans for reorganisation across England):

- Women (35% agree) compared with men (45% agree).
- Disabled people whose impairments affect their lives a lot (33% agree) compared with other respondents (41% agree).
- Social renters (31% agree) compared with other respondents (41% agree).

# Q10. To what extent do you agree or disagree with Option A

Levels of agreement are broadly similar across different demographic groups. Employees

## Q11. To what extent do you agree or disagree with Option B?

Levels of agreement are broadly similar across different demographic groups.

# Q12. To what extent do you agree or disagree with Option C

Levels of agreement are broadly similar across different demographic groups.

What is the context for the local area that should be considered as part of the Proposal?

#### **About the Area**

Derbyshire, including Derby City, encompasses the stunning natural beauty of the Peak District, the UK's original National Park, alongside vibrant urban areas such as Derby City and historic market towns like Buxton and Chesterfield. According to the 2011 Rural-Urban classification, 27.0% of Derbyshire's population resides in rural areas such as High Peak and Derbyshire Dales.

# **Population**

The 2024 population estimate for Derbyshire and Derby combined is 1,096,500 an increase from 1,056,000 on census day in March 2021 and from 1,018,400 in the 2011 census. South Derbyshire experienced the largest growth in population within the county, with an increase of 13.3% between the 2011 and 2021 census.

In the 2024 population estimates the median age in Derbyshire is 45.3 years, while Derby City has a younger average age of 37.4 years.

The latest sub-national population projections (2022) project that the population for Derbyshire will increase by 6.9% in 10 years (2032) and 3.3% for Derby City. The projected increase for England is 6.4% by 2032. By 2047 Derbyshire is expected to see a 15.1% rise from 2022 and Derby City a 6.4% rise. The growth varies within the districts, with South Derbyshire projected to grow by 19.2% by 2032 and 37.8% by 2047 compared to Erewash with a smaller projected growth of 4.4% by 2047.

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Population projections show that Derbyshire and Derby have an increasingly ageing population with the population aged 65+ expected to increase significantly.

The 2021 Census estimated there were 459,000 households in Derbyshire and Derby City combined, a 5.7% increase since 2011 (3.3% increase in Derby City and 6.5% increase in Derbyshire).

Source: ONS 2024 population estimates, 2021 Census and 2022 sub-national population projections (migrant category variant)

# **Deprivation and Poverty**

15.9% of Local Super Output Area (LSOAs) in Derby and 4.5% in Derbyshire are within the most deprived 10% nationally (IMD 2019, MHCLG).

28% of children in Derby aged 0-15 are living in families in absolute low income and 32% in relative low-income families. In Derbyshire 18% of children aged 0-15 are living in families in absolute low income and 21% in relative low-income families. The rate for England as a whole is 19% for absolute and 22% for relative low-income families (Children in low income families 2023-24, DWP).

In 2023 13% of households were in fuel poverty in Derby and 12% in Derbyshire, compared to 11% for England (Fuel Poverty, LILEE Measure 2023, DESNZ). The rates for children in low-income families and fuel poverty varies significantly across areas within the city and the county.

#### Health

In Derby, life expectancy at birth for males is 77.7 years and in Derbyshire 78.9 years. For females it is 81.6 years in Derby and 82.5 years in Derbyshire. These are lower than the national averages (ONS 2021-23, PHE).

Inequality in life expectancy at birth is higher within Derby than the national average for males and females, within Derbyshire it is slightly below the national average (ONS 2021-23, PHE).

#### **Educational Attainment and Skills**

At the early years foundation stage, the proportion of children having a good level of development in Derby is 64.8% and 66.8% in Derbyshire, below the average for all English authorities which was 68.3%. Two out of the eight Derbyshire districts were above the English authority average (Early years foundation stage profile results 2023/24, DfE).

The percentage of 19 year olds qualified to level 2, including English and Maths was 67.0% in Derby and 72.9% in Derbyshire compared to 74.2% for all English authorities, with four out of eight Derbyshire districts above the English authority average (Level 2 qualified, including English and Maths 2023/24, DfE).

43.6% of adults in Derby and 44.7% in Derbyshire are qualified to NVQ level 4 and above, this is below the UK rate of 47.2%. 7.1% adults have no qualifications in Derby and 4.8% in Derbyshire, compared with 6.8% for the UK as a whole (Annual Population Survey 2024, ONS).

# **Economy**

Productivity for both Derby (£38.02) and Derbyshire (£37.44) is below the England average (£42.39) GVA per hour worked (GVA 2023, ONS).

Earnings by place of work is higher in Derby than the region and national average, for Derbyshire it is lower. For earnings by place of residence, both Derby and Derbyshire pay is lower than the England average (Gross weekly pay - full time work, ASHE 2024, ONS).

The proportion of the working age population in employment is at 69.9% in Derby and 78.1% in Derbyshire, the UK rate is 75.4% (APS April 2024 – March 2025, ONS). In Derbyshire the highest rate is in Chesterfield (83.4%) and lowest in Bolsover (74.0%).

Current unemployment levels are above the UK rate (3.9%) in Derby (5.9%) and slightly below in Derbyshire (3.4%). In Derbyshire the highest unemployment levels are currently in Bolsover (4.4%) and lowest in High Peak (2.5%) (APS modelled unemployment rate April 2024 – March 2025, ONS).

# Housing

The average house price in Derby was £210,000 in July 2025, increasing by 5.6% compared to July 2024. For the Derbyshire districts this ranges between Derbyshire Dales where the average house price is £331,000 and Bolsover where it is £174,000. This compares to the average house price of £270,000 for the UK (UK House Price Index, ONS).

The proportion of properties in Derby which are in council tax band A is 51% and 36% in Derbyshire. 93% are in council tax bands A-D in Derby and 87% in Derbyshire (VOA, 2023-24).

7.3% of dwellings in Derbyshire are local authority owned and 11.0% within Derby City (MHCLG, 2023-24).

Latest quarterly homelessness rates for households assessed as owed a prevention or relief duty is 5.4 per 1,000 households in Derby City, above the national rate of 3.4. In Derbyshire the rate varies between 3.06 in Chesterfield and 1.16 in South Derbyshire (January to March 2025, H-CLIC Performance Dashboard).

Please list or link below to any relevant

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#### **Protected Characteristics**

# Age

Children aged 0-15 represent 17.5% of the Derby and Derbyshire population combined (a higher proportion of 20% in Derby compared to 16.7% in Derbyshire). This compares to 18.4% for England overall.

The number of 16-64 year olds represent 61.4% of the combined population, for Derby City it is slightly higher at 63.7% and Derbyshire slightly lower at 60.7%. The proportion aged 16-64 for the country as a whole is 62.9%.

For Derby and Derbyshire combined the population aged 65+ represents 21% of the total resident population, this is lower in Derby at 16.2% and higher in Derbyshire at 22.6%. This is higher than the proportion for England overall which is 18.7% (2024 mid-year population estimates, ONS).

#### Sex

The latest population estimates show that Derbyshire has a slightly higher proportion of females (51%), Derby City is 50.1%. For the 16-64 age group 50.6% are male in Derby City and 49% in Derbyshire (2024 mid-year population estimates, ONS).

# **Disability**

In the 2021 census, 8.4% in of residents in Derbyshire and Derby combined (8.2% in Derby, 8.5% in Derbyshire) reported that they were disabled people under the Equality Act and their day to day activities are limited a lot. 11.3% stated that they were disabled people and their day to day activities were limited a little (10.5% in Derby and 11.6% in Derbyshire). This is above the national proportion of 7.3% and 10% (these are non agestandardised figures).

Derby City has the highest proportion of people using BSL as their main language in the country. The 2021 census reported that 0.2% of the population use British Sign Language (BSL) as their main language compared to 0.04% nationally. Source: Census 2021, ONS.

## **Ethnicity (and language)**

The proportion of the population from a minority ethnic background varies significantly between Derby City (33.8%) and Derbyshire (6.3%).

The 2021 census reported that the highest percentage of Derby City residents identified as White British (66.2%, this is lower than the national and regional rate). 15.6% of residents identified their ethnic origin within the Asian, Asian British ethnic group category (higher than regional and national rates). 4.0% Black, Black British, Caribbean or African ethnic group and 3.7% Mixed or Multiple ethnic groups category.

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In Derbyshire the highest percentage of residents identified as White British (93.7%), 1.5% of residents identified their ethnic groups within the Asian, Asian British ethnic group category and 1.4% within the Mixed or Multiple ethnic groups category (lower than regional and national rates).

According to the 2021 Census 98% of residents in Derbyshire's main language is English and 87.1%in Derby. In Derby City 4.9% of resident's identified their main language as a European language (including 1.6% Polish, 0.9% Slovak and 0.7% Romanian) and 4.9% a South Asian Language (including 2.2% Panjabi and 1.6% Urdu). In Derbyshire 1.2% of resident's identified their main language as a European language (including 0.6% Polish).

The 2021 census recorded a higher than average percentage of households in Derby where no one in the household has English as their first language (7.1%, compared to 4.7% for the East Midlands and 5.0% for England). This compares to 1.2% in Derbyshire. Source: Census 2021, ONS.

## Religion

In Derbyshire 44.6% of residents did not have a religious belief at the time of the 2021 census, this was higher than the regional (40.0%) and national rate (36.7%). For Derby City this was a lower proportion of residents at 36.6%.

In Derbyshire 47.8% described their religion as Christian, slightly above the regional (45.4%) and national rate (46.3%). In Derby City this was lower at 40.2%, 11.2% described their religion as Muslim (higher than the regional and national rate of 4.3% and 6.7% respectively). Source: Census 2021, ONS.

#### **Sexual Orientation**

In the 2021 Census a voluntary question was added on sexual orientation. In Derbyshire 91.1% identified as straight or heterosexual, 1.3% as gay or lesbian and 1.0% as bisexual. In Derby City 88.1% identified as straight or heterosexual, 1.3% identified as lesbian or gay and 1.5% as bisexual in Derby City. These rates were comparable to national averages. Source: Census 2021, ONS.

# **Gender reassignment**

Gender identity was added to the 2021 census as a voluntary question and due to concerns that some respondents may not have interpreted the question as intended, most notably those with lower levels of English Language proficiency, the ONS has issued additional information on this uncertainty and guidance on the appropriate use of these statistics. The ONS states that the gender identity estimates should not be used as precise estimates to support service delivery, but can be used to provide insight, users must refer to the specific advice before using these estimates to come to conclusions about the trans population: Census 2021 gender

	identity estimates for England and Wales, additional guidance on uncertainty and appropriate use - Office for National Statistics				
	For Derbyshire and Derby combined the 2021 census estimates show that 0.42% of the population aged 16+ identify with a gender different from their sex registered at birth (Derby 0.85% and Derbyshire 0.29% individually), however almost half (0.2%) did not specify a gender. 0.07% identified as a trans man, 0.07% as a trans woman, 0.05% as non-binary and 0.04% as a different gender identity. This compares to the overall figure of 0.55% for England who identify with a gender different from their sex registered at birth.				
	Armed Forces Veterans				
	Almost 34,000 residents in Derby and Derbyshire have previously served in the UK Armed Forces, equating to 3.9% of the population (aged 16+), 3.3% in Derby City and 4.1% in Derbyshire (compared to the England figure of 3.8%). Of the veterans, 76.6% previously served in the regular armed forces, 19.0% in the reserve forces and 4.3% served in both the regular and reserve forces. Source: Census 2021, ONS.				
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6	Children in Care				
	As at the 31 March 2024, Derby City had 598 children in care, this equates to a rate of 100 children per 10,000 individuals under the age of 18. This was higher than the national rate of 70 per 10,000 and regional rate of 65.				
	In Derbyshire this was 1,057 children which equates to a rate of 68 per 10,000 individuals under the age of 18 (Children looked after by Local Authorities SSDA903 return, 2023/24, DfE).				
	Socio Economic and Rurality				
	See earlier sections.				
Please list or link to any relevant	For more detailed demographic and socio-economic information, you can access data from:				
research, data or intelligence, or any other information that is available and will	Derbyshire Observatory: Welcome to the Derbyshire Observatory - Derbyshire Observatory				
be used to help complete the analysis?	Derby City Website: Key statistics for Derby - Derby City Council				
	LGA LG Inform Tool: Home   LG Inform				
	NOMIS Local Authority Profiles: <u>Labour Market Profile - Nomis - Official Census and Labour Market Statistics</u>				
	NOMIS Census Profiles: Nomis - 2021 Census Area Profile - Derby Local Authority, East Midlands Region and England Country				
	Information on the Derby City Council Workforce:				
	Working for Derby City Council - Equality Employment Statistics 2023/24				

Using the skills and knowledge in your assessment team or what you know yourself, and from any consultation you have done, what do you already know about the equality impact of the proposed change on particular groups? Also, use any other information you know about such as any customer feedback, surveys, national research, or data. Note that this template now includes Socio-Economic Duty (SED) local data can be found in the <a href="Corporate Insight Report Library">Corporate Insight Report Library</a>. Indicate by a 'yes' or 'no' for each protected characteristic group whether this is a negative or a positive impact. Only fill in the mitigation box if you think the decision will have a negative impact and then you'll need to explain how you are going to lessen the impact.

People with protected characteristics	What do you already know?	Positive impact	Negative impact	Mitigation (applies to all characteristics)
Age – older and younger people  307	More localised and consistent social care and education/SEND services can be better tailored to meet the specific needs of local communities, whether supporting aging populations or children in need. Two single tier unitaries may also better facilitate the design of care pathways that reflect local demographics and priorities.  A tighter geographic focus can strengthen partnerships with schools, GP practices, care providers, police and community organisations.  With more local control, services may become more responsive, reducing delays in assessments and support delivery.	Yes		As services which currently sit at district and borough level are aggregated across the proposed footprint, more work will be done to ensure greater consistency and that potential existing disparities are actively closed, whilst further disparities are prevented.  There are some general principles for mitigation that can be adopted throughout the process:
	Older adults often rely on stable, long-term care relationships. Disaggregation might lead to reassignment of cases, new care teams, or delays in services during the transition. Likewise, children and families might experience disruption if their caseworker changes or if a transition between authorities results in administrative delays.  As the new unitary authorities establish themselves, they may decide over time to adopt different eligibility criteria, service models, or care quality standards. Additionally, each authority		Yes	Being sure to follow the statutory requirements on consultation with residents, as well as ensuring we explore more detailed and nuanced opportunities for additional engagement and insight.  Ensure clear arrangements are in place to deal with the transition with no/ minimal impacts on
	might offer different early help services, family support models, or access to mental health programs. This could lead to disparities depending on which area the residents live.  Some older adults may receive services from providers based in another authority area. This may lead to more complex care			Ensuring that approaches to service reforms and alterations to polices or eligibility criteria set locally are evidence led and

People with protected characteristics	What do you already know?	Positive impact	Negative impact	Mitigation (applies to all characteristics)
	coordination arrangements across the two unitary authorities, which may create uncertainty for the residents affected.			backed by a robust catalogue of supporting data.
	Disaggregation could fragment critical services like safeguarding boards, referral pathways, and multi-agency cooperation, potentially leaving vulnerable children and adults at greater risk.  It's also important we recognise and support digital exclusion of some older people or vulnerable groups when designing services.			As part of the implementation process and development of internal policies, services can ensure that equality considerations are embedded from day one as well.
308	At this very early stage the impact of workers has not fully been assessed but will be going forwards. We do know that workforce profiles show more older workers and so there will be a need for strategic workforce development, including upskilling of colleagues to build up knowledge and experience.			As implementation plans are developed, dependencies with existing transformation and change programmes will be mapped to identify where changes arising from structural reform could exacerbate any negative impacts for these residents. This also extends to existing priorities, policies and strategies of local district and borough councils which will need to be considered.  Any negative impacts that cannot be mitigated will reviewed and information captured in future iterations of this EIA.  Feedback from further consultation and engagement activities will help
				inform mitigating activity against potential negative impacts and wider service design. These more detailed analyses will also take more in-depth looks at less targeted, more universal services, for any potential disproportionate/

People with protected characteristics	What do you already know?	Positive impact	Negative impact	Mitigation (applies to all characteristics)
				disparate implications for services users.
Disability – the effects on the whole range of disabled people, including Deaf people, hearing impaired people, visually impaired people, people with mental mealth issues, expeople with learning difficulties, people living with autism and people with physical impairments	Services being delivered by single tier authorities may be less complex and more responsive to local needs, preferences, and priorities, which may also mean they could be more adaptable to specific needs and requirements of disabled residents.  For specialist services that require specific support for different impairment groups, economies of scale may be gained through more strategic commissioning of opportunities.  There may be an opportunity to build on and extend engagement mechanisms to listen to lived experience and meet local needs, for example, Deaf-initely Women in Chesterfield or Derby City Council has a very active and knowledgeable Access, Equality and Inclusion Hub and Deaf and Deafblind People's Equality Hub and this model could be shared wider as good practice. Derby also has a successful LD Voice for people with learning difficulties.  More localised and consistent social care and education/SEND services can be better tailored to meet the specific needs of local communities. Two single tier unitaries may also better facilitate the design of care pathways that reflect local demographics and priorities.  There will be more opportunities to work across existing boundaries to access facilities for disabled people, for example, Chesterfield / Wingerworth, South Derbyshire/ Derby  For colleagues, there could be opportunities for Employee Networks to work together to share support and knowledge. For example, there could be wider access for colleagues to health and well-being support through Joined Up Care Derbyshire (currently in place at Derby City). Derby is a DWP Disability Confident Leader and it is suggested that the new authorities could seek	Yes		

People with protected	What do you already know?	Positive impact	Negative impact	Mitigation (applies to all characteristics)
characteristics				
	assessment going forward as positive commitment for disabled people.			
	Functions like public health, safeguarding, highways, or emergency planning may suffer from a lack of joined up working across new boundaries. With new boundaries being created, opportunities to learn and share best practice on how best to design services that meet specific needs might be lost, or harder to share. This could mean residents miss out on potential improvements to care or new options for support/ treatment adopted elsewhere.		Yes	Opportunities to engage and communicate with local people, service users and council staff about potential service changes.  Opportunities to help shape any changes to the provision of services.
310	Each new authority may adopt different policies, eligibility criteria, or funding levels, as well as potential impacts on capacity.  We know that for most neurodivergent people, change is a huge barrier and will need to be handled sensitively, for example changes to the delivery location of services.			Workforce colleagues will need to be reassured that their reasonable adjustments will still be maintained and any new ones in any change of working environment
				Need to make sure of consistent polices such as guaranteed interviews and Disability Confident Leader status commitments and ensure that colleagues on long term absence are updated and consulted with as appropriate.
Gender Reassignment - people who are going through or have been through gender reassignment. Please note for this characteristic - we also	We know locally and nationally at the moment our Trans community are feeling very vulnerable due to the Supreme Court Ruling on the definition of biological sex in the Equality Act. So, we know there is worry and uncertainty amongst the community about how any new Authority will respond to Trans equality issues.  See comments above regarding employee networks.		Yes	Any future changes to local government will include full engagement with organisations like Derbyshire LGBT+ (Chesterfield and Derby branches) and more opportunities to adopt the Rainbow Accreditation Scheme can be implemented. Support and commitment from any new Authority to our Trans community

People with protected characteristics	What do you already know?	Positive impact	Negative impact	Mitigation (applies to all characteristics)
voluntarily include trans and those people who don't identify with a particular gender, for example, non-binary, genderfluid, genderqueer, polygender and those who are questioning their gender or non-gendered identity.				at a very early stage will be very helpful reassurance.
Marriage and Civil Partnership – this applies to employment issues only	This only applies to employment issues and so at this early stage we've not identified any impact.			
Pregnancy and maternity – women who are pregnant or who have recently had a baby, including breast feeding mothers	The new local authorities may strengthen links between social care, health visitors, and maternity services at a community level, improving wraparound support.  As services become slightly more localised, it could mean easier access to parent-focused services (e.g. family hubs, early years care) if organised more locally.  There are opportunities to iron out variations in maternity support policies, childcare funding, or access to parenting programmes across the two authorities. Also, opportunities to widen our 'Breast feeding friendly places' initiatives.	Yes		

People with protected characteristics	What do you already know?	Positive impact	Negative impact	Mitigation (applies to all characteristics)
	Service disaggregation could lead to disruption in care could also impact joined up working with the NHS, impacting the quality of care some residents may receive.		Yes	As LGR progresses through implementation, it is important for any colleagues on maternity leave to be fully consulted on the changes, and updated as appropriate.
Race – the effects on minority ethnic communities, including newer communities, eypsies and havellers and	New authorities may develop more culturally responsive services tailored to the demographics of their specific area.  Our various Black, Asian and Minority Ethnic Employee Networks will have the opportunity to collaborate with each other and in putting on events to mark diversity such as Black History Month, Show Racism the Red Card and so on.	Yes		
the Roma community	In cases where English is not the first language in the household, there is a risk of unequal access to interpreting, translation, or culturally appropriate services if not prioritised in both authorities.  More work is needed to identify the impact of the changes on our Gypsy and Traveller Communities and will be carried out.		Yes	We will need to make sure there is a consistent approach to interpretation and translation across new authorities.
Religion or belief or none – the effects on religious and cultural communities, customers, and colleagues	Whilst it is difficult to quantify potential impacts related to this characteristic, faith communities can be important sources of support for people, including older people and newly arrived populations so consideration should be given as implementation progresses as to how to ensure we engage with faith groups where needed.  We need to make sure that any culturally sensitive services, such as some women only sessions are maintained	Yes		
	For colleagues, we need to make sure there is consistency in facilities such as a place to pray for our colleagues and acknowledgment of religious festivals where time off is needed such as Eid.			

People with protected characteristics	What do you already know?	Positive impact	Negative impact	Mitigation (applies to all characteristics)
Sex – the effects on both men and women and boys and girls	Single tier authorities and a general review of service delivery could present opportunities to review work which aims to tackle gender-based service disparities (for example domestic abuse, workforce inequality) through targeted local strategies.  Good practice networks could be extended to other areas in Derbyshire, for example Derby City Council has a Menopause Friends support network.	Yes		
313	Research suggests women are more likely to rely on county council and district and borough services (both targeted and universal) and so disruption of any significant kind to service delivery would likely disproportionately impact them.  We also know from service-level data that we have more older women than men, therefore they are more likely to be affected by any potential disruption to adult social care.  Domestic abuse / woman only services – awaiting equality guidance from the Equality and Human Rights Commission and the LGA.		Yes	Under the Public Sector Equality Duty all councils have to collect and analyse workforce statistics and the gender pay gap, so this will be reviewed proactively.
Sexual orientation – the effects on lesbians, gay men, bisexuals, pansexual, asexual and those questioning their sexuality	There is limited information available that could suggest significant impacts for residents based sexual orientation. There are some potential risks more associated with the partner organisations we are involved with and how we link up with voluntary, community and faith sector (VCFS) partners who provide support related to sexual orientation.  It is therefore likely that any significant changes in how funding streams, support services and general cooperation through these groups are impacted may have knock-on implications, particularly for LGBTQ+ people. More detailed analysis will be developed as further implementation plans are drawn up.  Local support exists both in Derby and Chesterfield from Derbyshire LGBT+ which is invaluable. There is also an			

People with protected characteristics	What do you already know?	Positive impact	Negative impact	Mitigation (applies to all characteristics)
Those who	opportunity for collaboration between the various LGBTQ+ and Allies Employee Networks and to widen the accreditation of the Rainbow Accreditation Scheme and the events we organise.  One of the new authorities may inherit a higher concentration of		Yes	More analysis could be undertaken
experience socio- economic disadvantages – this is not a protected characteristic in law, but one or more of the councils have foluntarily adopted it.	deprivation but fewer resources, leading to stretched services and reduced revenue raising potential. This risks a greater widening of unequal outcomes and disparities in the level of service delivery.  As part of the transition to two new unitary authorities, existing financial arrangements currently split between district and borough councils, Derbyshire County Council, and Derby City Council will need to be reviewed and harmonised, for example Council Tax. This could impact those with limited financial resilience.  The potential breaking up of county-wide services (e.g. youth			to understand the impact of specific changes for socio economic groups.  More analysis will need to be undertaken to understand the implications of harmonising funding arrangements once the shadow authorities are in place, with work to explore and mitigate any particular impacts for those with limited financial resilience.
	employment support, transport subsidies, or digital inclusion programmes) may reduce access or make provision more expensive per capita.  As with other more targeted services later into the implementation process, if new authorities adopt different eligibility criteria, application processes, or digital systems, low-income residents (especially those with lower digital literacy or language barriers) may struggle to engage with services.			Mapping of existing work on poverty and inequalities could assist in developing targeted strategies to support lower income groups (for example, LIFT analysis in Derby).  The new authorities could choose to adopt the socio-economic duty on a voluntary basis.
	The new unitary authorities may have the freedom to tailor social and economic policies (e.g. on housing, employment, welfare support) to better reflect the unique socio-economic needs of their populations.  Commissioning and procurement practices can be redesigned to prioritise local jobs, apprenticeships, and inclusive economic growth, with a more direct link between service planning and economic regeneration.	Yes		

People with protected	What do you already know?	Positive impact	Negative impact	Mitigation (applies to all characteristics)
characteristics				,
ω	There is also the potential that more localised services would mean a possible reduction in travel costs associated and reduced distances being travelled by residents.  Proactive work is taking place in Derby City to develop targeted strategies to reduce inequalities and poverty. For example, Derby Health Inequalities Partnership, Poverty Commission. There is also targeted work using the Low-Income Family Tracker which is assisting those eligible to access benefits they are entitled to. This could be widened out across new areas of Derbyshire by the new unitary authorities which would have a positive impact.			
this is not a protected characteristic in law, but one or more of the Councils have voluntarily	One authority may offer better access to breaks, assessments, or financial support, creating postcode inequality. This also extends to the risk related to differences in eligibility criteria and thresholds for support which might exist between the different authorities.  Carers may also struggle to find or access help during the reorganisation — especially those with limited digital access or complex caring roles.		Yes	We will need to make sure of consistency and provide extra help and reassurance through the transition
adopted it.	A closer partnership between local authorities and voluntary/community sector organisations may make carer services more accessible and better coordinated with community assets.	Yes		
Veterans - this is not a protected characteristic in law, but one or more of the Councils have voluntarily adopted it.	Whilst it is difficult to quantify potential impacts related to this characteristic, community links can be important sources of support for people, including veterans and the armed forces community, so consideration should be given as implementation progresses as to how to ensure we engage with groups where needed.  An alignment of annual civic commemorations as the two			
	authorities may be required as the new authorities are established.			

People with protected characteristics	What do you already know?	Positive impact	Negative impact	Mitigation (applies to all characteristics)
Rural communities – this is not a protected characteristic in law but DDDC have voluntarily adopted it as 80% of their population live in rural areas.	Rural communities face greater difficulties accessing services and often greater costs if able to access them, due to either increased charges or increased transport costs.  Rural communities are also more at risk from digital disadvantage with a lower level of high-speed broadband provision.  There are potentially implications associated with the disaggregation of services, though the exact details on these potential impacts won't be known until it is clear what the proposed disaggregation programme of work will involve.  At this stage, it is not possible to identify specific impacts for people in rural communities.		Yes	
	The new unitary authorities may have the freedom to tailor policies (e.g. on housing, employment, welfare support) to better reflect the unique geographic and socio-economic needs of rural populations.  Commissioning and procurement practices can be redesigned to prioritise local services, potentially meaning more localised services would mean a possible reduction in travel costs associated and reduced distances being travelled by residents.	Yes		

# Step 3 – deciding on the outcome

What outcome does this assessment mean suggest you take? You might find more than one applies.

Outcome 1		No major change needed – the EIA hasn't identified any potential for discrimination or negative impact and all opportunities to
		advance equality have been taken
Outcome 2		Adjust the proposal to remove barriers identified by the EIA or better advance equality. Are you satisfied that the proposed
		adjustments will remove the barriers you identified?
Outcome 3	X	<ul> <li>Continue the proposal despite potential for negative impact or missed opportunities to advance equality identified. You will need to make sure the EIA clearly sets out the justifications for continuing with it. You need to consider whether there are:</li> <li>sufficient plans to stop or minimise the negative impact</li> <li>mitigating actions for any remaining negative impacts</li> <li>plans to monitor the actual impact.</li> </ul>
Outcome 4		Stop and rethink the proposal when the EIA shows actual or potential unlawful discrimination

3

# Why did you come to this decision?

This is a high-level EIA and we recognise there are positives as well as challenges. At this early stage we know we have not identified all the impacts of each protected characteristic group, but this is work we are building on as we go through this huge process, with equality and inclusion integral to our work. Specific actions have been identified below to mitigate any issues identified at this stage.

Issue identified	Action required to reduce/mitigate	Timescale / responsibility	Monitoring and review
The proposed new unitary authorities will become public bodies and subject to the PSED and the Equality Act.	Consider how the new authorities will meet the requirements of the PSED as it develops, is established and take appropriate actions.	New Shadow authorities Ongoing	Ongoing monitoring, review and action during the planning, preparation and implementation of the transition to the new unitary councils.
Furthermore detailed EIAs will be required as proposals go forward.	Consider establishing a workstream for equality, diversity and inclusion to assess more detailed plans and proposals (or appropriate integration into LGR programme workstreams).	LGR Coordination Group Ongoing	Ongoing monitoring, review and action during the planning, preparation and assess more detailed plans and proposals.

Issue identified	Action required to reduce/mitigate	Timescale / responsibility	Monitoring and review
Ensuring continued interest in the development of LGR by local people, service users and colleagues from protected characteristic groups.	Agree a plan to engage with equality groups and other services users and colleagues as part of service transition and design phases.  To include reporting back on the outcome of consultation to those who took part, explaining how their feedback will be used to further shape the development of the new authority  With a suggested focus on underrepresented groups such as young people, care experienced people and faith communities.	Communications & Engagement / Service Design & Transformation Workstreams Ongoing	Ongoing monitoring, review and action during the planning, preparation and implementation of the new proposals.
Ensuring any internal or external communications regarding LGR meets the needs of equality groups.	Meeting any accessibility needs such as different languages, Deaf or Deafblind requirements and so on.	Comms & Engagement Workstream Ongoing	Ongoing monitoring, review and action during the planning, preparation and implementation of the transition to the new unitary councils.
Analysis of data at more localised level to show impact on equality groups as LGR implementation is developed further.	With particular attention in areas where data is less established such as gypsy/travellers, sexual orientation and gender reassignment).	Data and Insight Workstream Ongoing	Ongoing monitoring, review and action during the planning, preparation and implementation of the transition to the new unitary councils.

We can give you this information in any other way, style or language that will help you access it. Please contact us on 01332 643722, 07812301144 or derby.gov.uk/signing-service/

# Punjabi

ਇਹ ਜਾਣਕਾਰੀ ਅਸੀਂ ਤੁਹਾਨੂੰ ਕਿਸੇ ਵੀ ਹੋਰ ਤਰੀਕੇ ਨਾਲ, ਕਿਸੇ ਵੀ ਹੋਰ ਰੂਪ ਜਾਂ ਬੋਲੀ ਵਿੱਚ ਦੇ ਸਕਦੇ ਹਾਂ, ਜਿਹੜੀ ਇਸ ਤੱਕ ਪਹੁੰਚ ਕਰਨ ਵਿੱਚ ਤੁਹਾਡੀ ਸਹਾਇਤਾ ਕਰ ਸਕਦੀ ਹੋਵੇ। ਕਿਰਪਾ ਕਰਕੇ ਸਾਡੇ ਨਾਲ ਇੱਥੇ ਸੰਪਰਕ ਕਰੋ: 01332 64XXXX ਜਾਂ derby.gov.uk/signing-service/

## **Polish**

Aby ułatwić Państwu dostęp do tych informacji, możemy je Państwu przekazać w innym formacie, stylu lub języku. Prosimy o kontakt: **01332 64XXXX** lub **derby.gov.uk/signing-service/** 

# **Slovak**

Túto informáciu vám môžeme poskytnúť iným spôsobom, štýlom alebo v inom jazyku, ktorý vám pomôže k jej sprístupneniu. Prosím, kontaktujte nás na tel. č.: **01332 64XXXX** alebo na stránke **derby.gov.uk/signing-service/** 

## Urdu

یہ معلومات ہم آپ کو کسی دیگر ایسے طریقے، انداز اور زبان میں مہیا کر سکتے ہیں جو اس تک رسائی میں آپ کی مدد کرے۔ براہ کرم 01332 640000 یا derby.gov.uk/signing-service/

OFFICIAL



15 May 2025

# LOCAL GOVERNMENT REORGANISATION INTERIM PLAN FEEDBACK: DERBYSHIRE AND DERBY

To the Chief Executives of:

Amber Valley Borough Council
Bolsover District Council
Chesterfield Borough Council
Derbyshire County Council
Derbyshire Dales District Council
Erewash Borough Council
High Peak Borough Council
North East Derbyshire District Council
South Derbyshire District Council
Derby City Council

# **Overview**

Thank you for submitting your interim plans. The amount of work from all councils is clear to see across the range of options being considered. For the final proposal(s), each council can submit a single proposal for which there must be a clear single option and geography and, as set out in the guidance, we expect this to be for the area as a whole; that is, the whole of the area to which the 5 February invitation was issued, not partial coverage.

Our aim for the feedback on interim plans is to support areas to develop final proposal(s). This stage is not a decision-making point, and our feedback does not seek to approve or reject any option being considered.

The feedback provided relates to the following interim plans submitted by Derbyshire and Derby local authorities:

- Derbyshire District and Borough Councils Interim Proposal for Local Government Reorganisation
- Amber Valley Borough Council Interim Plan
- The Derbyshire County Council Local Government Reorganisation Interim Plan
- The letter from Derby City Council

We have provided feedback on behalf of central government. It takes the form of:

- 1. A summary of the main feedback points,
- 2. Our response to the specific barriers and challenges raised in your plans,
- 3. An annex with more detailed feedback against each of the interim plan asks.

We reference the guidance criteria included in the invitation letter throughout, a copy can be found at <u>Letter: Derbyshire and Derby – GOV.UK</u>. Our central message is to build on your initial work and ensure that the final proposal(s) address the criteria and are supported by data and evidence. We recommend that the final proposal(s) should use the same assumptions and data sets or be clear where and why there is a difference.

We welcome the work that has been undertaken across proposals to develop local government reorganisation plans for Derbyshire and Derby. This feedback does not seek to approve or discount any option or proposal, but provide some feedback designed to assist in the development of your final proposal(s). We will assess the final proposal(s) against the guidance criteria provided in the invitation letter and have tailored this feedback to identify where additional information may be helpful in enabling that assessment. Please note that this feedback is not exhaustive and should not preclude the inclusion of additional materials or evidence in the final proposal(s). In addition, your named area lead, Katrina Crookdake, will be able to provide support and help address any further questions or queries.

# **Summary of the Feedback**

We have summarised the key elements of the feedback below, with further detail provided in the annex.

- 1. The criteria ask that a proposal should seek to achieve for the whole area concerned the establishment of a single tier of local government (see criterion 1).
  - For the final proposal(s), each council can submit a single proposal for which there must be a clear single option and geography and, as set out in the guidance, we expect this to be for the area as a whole; that is, the whole of the area to which the 5 February invitation was issued, not partial coverage. Any proposal(s) that cover the Derbyshire county footprint should also have regard to the implications for Derby City as per the guidance in the invitation letter.

We recognise that plans are at an early stage and further analysis is planned in the run up to submitting your final proposal(s). Further detail, and evidence, on the outcomes that are expected to be achieved for the whole area of any preferred model would be welcomed.

2. In some of the options you are considering populations that would be below or above 500,000. As set out in the Statutory Invitation guidance and in the English Devolution White Paper, we outlined a population size of 500,000 or more. This is a guiding principle, not a hard target – we understand that there should be flexibility, especially given our ambition to build out devolution and take account of housing growth, alongside local government reorganisation. **All proposals, whether they** 

are at the guided level, above it, or below it, should set out the rationale for the proposed approach clearly.

- 3. The inclusion of indicative financial savings and efficiencies is welcome, but we note that the range of savings described varies significantly between plans. It would be helpful to see these developed further in any final proposal(s) and for the same assumptions and data sets to be used across all proposals.
- 4. The criteria ask that consideration should be given to the impacts for crucial services such as social care, children's services, SEND and homelessness, and for wider public services including public safety (see criterion 3). For any options where there is disaggregation, further detail will be helpful on how the different options might impact on these services and how risks can be mitigated.
- 5. We welcome steps taken to come together to prepare proposal(s) as per criterion a.
  - a. Effective collaboration between all councils across the invitation area and the wider East Midlands Combined County Authority (EMCCA) area will be crucial; we would encourage you to continue to build strong relationships and agree ways of working, including around effective data sharing. This will support the development of a robust shared evidence base to underpin final proposal(s).
  - b. It would be helpful if final proposal(s) use the same assumptions and data sets.
  - c. It would be helpful if final proposal(s) set out how the data and evidence support all the outcomes you have included, and how well they meet the assessment criteria in the invitation letter.
  - d. You may wish to consider an options appraisal that will help demonstrate why your proposed approach in the round best meets the assessment criteria in the invitation letter compared to any alternatives.
- 6. We welcome the consideration of the implications and benefits of unitarisation for EMCCA, including opportunities for local planning to take a more strategic approach, aligning with the spatial development priorities of EMCCA, and opportunities to support the delivery of mayoral priorities.
  - Further information would be helpful on the implications of the proposed local government reorganisation options for the governance arrangements in EMCCA. It would also be helpful to outline how each option would interact with EMCCA and best benefit the local community.

# Response to specific barriers and challenges raised

# 1. Status and expectation of Derby City Council

You asked about whether Derby City Council will be required to reorganise and the basis for its inclusion in the invitation area.

As you note, Minister McMahon's letter of 16 December set out that we will facilitate local government reorganisation in England for two-tier areas and for unitary councils where there is evidence of failure, or where their size or boundaries may be hindering their ability to deliver sustainable, high-quality public services. As a small neighbouring unitary council, Derby City Council has been included in the invitation alongside all local authorities in Derbyshire.

Any final proposal should put forward a preferred option for a single tier of local government, that is in the best interest of the whole area, including Derby City Council as a small unitary authority within the area.

# 2. Population criteria

You asked for clarity on the application of the criteria for local government reorganisation, particularly around the 500,000 population size. As set out in the Statutory Invitation guidance and in the English Devolution White Paper, we outlined a population size of 500,000 or more. This is a guiding principle, not a hard target – we understand that there should be flexibility, especially given our ambition to build out devolution and take account of housing growth, alongside local government reorganisation. All proposals, whether they are at the guided level, above it, or below it, should set out the rationale for the proposed approach clearly.

# 3. Assessment criteria and weighting

You asked whether government will be weighting the criteria against which final proposals are assessed. The criteria are not weighted. Our aim for this feedback is to support areas to develop final proposals that address the criteria and are supported by data and evidence. Decisions on the most appropriate option for each area will be judgements in the round, having regard to the guidance and the available evidence.

# 4. Spending controls for councils subject to reorganisation

We note your points about decision making on spending during the transition period. We expect councils to ensure funding decisions are prudent and represent value for money. The Government's default position is that assets and liabilities remain locally managed by councils. It is essential that councils continue to deliver their business-as-usual services and duties, which remain unchanged until reorganisation is complete. The Department will continue to operate its stewardship function to ensure councils are meeting their Best Value duty.

The invitation encourages local leaders to work together to agree appropriate voluntary agreements that support sensible decision-making on spending as proposals are developed.

#### 5. Timelines and elections

You have requested timely feedback on your interim plan and clarity on the timelines for the local government reorganisation programme to support planning, particularly for managing local elections.

This is our feedback to support you to develop your final proposal(s) and we are open to providing ongoing support to your work as it progresses between now and November. Katrina Crookdake has been appointed as your MHCLG point person and is ready to engage with the whole area on issues you wish to discuss further ahead of deadline.

As set out in the White Paper, we expect to deliver an ambitious first wave of reorganisation in this Parliament. For most two-tier areas we will be working to complete delivery of new unitary councils by 2028.

The Government will work with areas to hold elections for new unitary councils as soon as possible as is the usual arrangement in the process of local government reorganisation. District, Borough and City council elections are due to take place in Derbyshire in May 2027. We anticipate that, on the most ambitious timelines, there could be elections to 'shadow' unitary councils in May 2027, ahead of 'go live' of new councils on 1 April 2028. The exact timings and detail will depend on the proposals received and the decision taken on which proposal, if any, to implement.

Our expectation is that any local authorities dissolved as a result of local government restructuring will cease to exist on the date that new councils 'go live'.

The role of a shadow authority is to take all the necessary steps to prepare for the assumption of full local government functions and powers on vesting day and ensure continuity of public service delivery on and after this date. It does not have a role in carrying out the functions of predecessor councils except for where this is expressly provided.

# 6. Capacity funding

You have requested support from government to meet the upfront costs of local government reorganisation. £7.6 million will be made available in the form of local government reorganisation proposal development contributions, to be split across the 21 invitation areas. Further information will be provided on this funding shortly.

In terms of transitional costs, as per the invitation letter, we expect that areas will be able to meet transition costs over time from existing budgets, including from the flexible use of capital receipts that can support authorities in taking forward transformation and invest-to-save projects. We note the estimate of your transition costs and comment further on this in the table below.

# 7. Consultation and views of key stakeholders

You have asked that Government confirm whether, when and how it will formally engage and consult with key stakeholders throughout this process. It is for you to

decide how best to engage locally in a meaningful and constructive way with residents, voluntary sector, local community groups, Neighbourhood Boards, parish councils, public sector providers, such as health, police and fire, and local businesses to inform your proposal(s). We note the interim plans helpfully set out a range of engagement with stakeholders.

Once a proposal has been submitted it will be for the Government to decide on taking a proposal forward and to consult as required by statute. The Secretary of State may not implement a proposal unless she has consulted with other councils affected by it and any other appropriate person. We are happy to engage further on these consultation requirements and the likely process for areas undergoing reorganisation in due course.

# 8. Ongoing engagement - political and official

We note the request to have direct engagement and ongoing dialogue at both a political and official level. Government is committed to supporting all invited councils equally while they develop proposals.

As set out above, Katrina Crookdake has been appointed as your MHCLG point person and is ready to engage with the whole area on issues you wish to discuss further ahead of the deadline for final plans in November. Katrina can also support your engagement with wider government.

# 9. Request for a lead authority

We note your request for the Government to consider appointing a lead authority for local government reorganisation within the area. The Government is committed to supporting all invited councils equally while they develop any proposal or proposals, though we encourage councils to build strong relationships to support joint working during the process. Following any consultation, we will set out the principles of engagement for that stage.

# 10. Managing financial pressures during reorganisation

We note your points around the financial pressures some councils are facing and the challenge you highlight for councils in managing these during the reorganisation process. We welcome consideration of these issues and it would be helpful if detail on the councils' financial positions and further modelling could be set out in the final proposal(s).

We expect councils to ensure funding decisions are prudent and represent value for money. The Government's default position is that assets and liabilities remain locally managed by councils. It is essential that councils continue to deliver their business-as-usual services and duties, which remain unchanged until reorganisation is complete. The Department will continue to operate its stewardship function to ensure councils are meeting their Best Value duty.

£7.6 million will be made available in the form of local government reorganisation proposal development contributions, to be split across the 21 areas. Further information will be provided on this funding shortly.

# 11. Managing disaggregation, ensuring additional costs are not added to social care

We welcome the work that has taken place thus far to consider the impact of disaggregation particularly on critical services such as social care. We comment on this further in the table below.

We also welcome your note on the need to consider the implications of wider reforms on local government reorganisation.

Government recently consulted on funding reforms and confirmed that some transitional protections will be in place to support areas to their new allocations.

Further details on funding reform proposals and transition measures will be consulted on after the Spending Review in June.

We will not be able to provide further clarification on future allocations in the meantime but are open to discussing assumptions further if we can assist in financial planning.

# 12. Integration of services, back-office functions and staff teams.

We note you have mentioned service and back-office integration as one of the key opportunities and challenges of reorganisation and welcome the listed areas for potential efficiency savings and service transformation. We also welcome your point on the importance of staff engagement throughout the process. We comment further in the table below on what additional detail would be helpful in terms of front-line service transformation and back-office efficiencies.

# 13. Effective working with MSA

We welcome your consideration of how any new authorities will engage with EMCCA. We comment further on what additional detail would be helpful in the table below.

# 14. Community Engagement

We welcome your note on the importance of an effective community engagement and neighbourhood empowerment model. In the final proposal(s) we would welcome detail on your plans for neighbourhood-based governance, and more detail on what would be useful is in the table below.

# ANNEX: Detailed feedback on criteria for interim plan

Ask – Interim Plan Criteria	Feedback
Identify the likely options for the size and boundaries of new councils that will offer the best structures for delivery of high-quality and sustainable public services across the area, along with indicative efficiency saving opportunities.  Relevant criteria:	We welcome the initial thinking on the options for local government reorganisation in Derby and Derbyshire and the engagement that has been started with stakeholders. We note the local context and challenges outlined in the plans and the potential benefits that have been identified for the options put forward. Your plans set out your intention to undertake further analysis, and this further detail and evidence on the outcomes that are expected to be achieved for the whole area of any preferred model would be welcomed.
1 c) Proposals should be supported by robust evidence and analysis and include an explanation of the outcomes it is expected to achieve, including evidence of estimated costs/benefits and local engagement and	For the final proposal(s), each council can submit a single proposal for which there must be a clear single option and geography and, as set out in the guidance, we expect this to be for the area as a whole; that is, the whole of the area to which the 5 February invitation was issued, not partial coverage. For example, any option for a single unitary council across the Derbyshire county footprint, should include consideration of the implications for Derby City Council remaining as a separate unitary.
2 a-f) - Unitary local government must be the right size to achieve efficiencies, improve capacity and withstand financial shocks and	You may wish to consider an options appraisal against the criteria set out in the letter to provide a rationale for the preferred model against alternatives.
	As per criterion 1d, proposal(s) should describe clearly the footprint of single tier local government structures that are being put forward for the whole area.
3 a-c) Unitary structures must prioritise the delivery of high quality and sustainable public services	Where there are proposed boundary changes, the proposal will need to provide strong public services and financial sustainability related justification for the change.
to citizens	Proposal(s) should be for a sensible geography which will help to increase housing supply and meet local needs, including future housing growth plans. All proposals should set out the rationale for the proposed approach.
	Given the financial pressures you identify it would be helpful to understand how efficiency savings have been considered alongside a sense of place and local identity.

We recognise that the options outlined in the interim plans are subject to further development. In final proposal(s) it would be helpful to include a high-level financial assessment which covers transition costs and overall forecast operating costs of the new unitary councils.

We will assess final proposal(s) against the criteria in the invitation letter. Referencing criteria 1 and 2, you may wish to consider the following bullets:

- high level breakdowns for where any efficiency savings will be made, with clarity of assumptions on how estimates have been reached and the data sources used, including differences in assumptions between proposals
- information on the counterfactual against which efficiency savings are estimated, with values provided for current levels of spending
- a clear statement of what assumptions have been made and if the impacts of inflation are taken into account
- a summary covering sources of uncertainty or risks with modelling, as well as predicted magnitude and impact of any unquantifiable costs or benefits
- quantified impacts, where possible, on service provision, as well as wider impacts

We recognise that the interim plans set out the financial assessment is subject to further work. The bullets below indicate where further information would be helpful across all options. As per criteria 1 and 2 it would be helpful to see:

- data and evidence to set out how your final proposal(s) would enable financially viable councils across the whole area, including identifying which option best delivers best value for money for council taxpayers
- further detail on potential finances of new unitaries, for example, funding, operational budgets, potential budget surpluses/shortfalls, total borrowing (General Fund), and debt servicing costs (interest and MRP); and what options may be available for rationalisation of potentially saleable assets
- clarity on the underlying assumptions underpinning any modelling e.g. assumptions of future funding, demographic growth and pressures, interest costs, Council Tax, savings earmarked in existing councils' MTFS

- financial sustainability both through the period to the creation of new unitary councils as well as afterwards
- as per criterion 2f proposal(s) should reflect the extent to which debt can be managed locally, including as part of efficiencies possible through reorganisation.

For proposals that would involve disaggregation of services, we would welcome further details on how services can be maintained where there is fragmentation, such as social care, children's services, SEND, homelessness, and for wider public services including public safety. Under criteria 3c you may wish to consider:

- how each option would deliver high-quality and sustainable public services or efficiency saving opportunities
- what are the potential impacts of disaggregating services?
- what would the different options mean for local services provision, for example:
  - do different options have a different impact on SEND services and distribution of funding and sufficiency planning to ensure children can access appropriate support, and how will services be maintained?
  - what is the impact on adults and children's care services? Is there a differential impact on the number of care users and infrastructure to support them among the different options?
  - what partnership options have you considered for joint working across the new unitaries for the delivery of social care services?
  - do different options have variable impacts as you transition to the new unitaries, and how will risks to safeguarding be managed?
  - do different options have variable impacts on schools, support and funding allocation, and sufficiency of places, and how will impacts on schools be managed?
  - what are the implications for public health, including consideration of sociodemographic challenges and health inequalities within any new boundaries and their implications for current and future health service needs? What are the implications for how residents access

services and service delivery for populations most at risk?

We note from the interim plans that public service boundaries (Integrated Care Board, Safeguarding Board, Derbyshire Constabulary, Police and Crime Commissioner) align well with the Derbyshire county boundary, and that some structures are organised on a north/south, or district boundary basis. Further detail on the implications for working in partnership with these services would be welcomed.

We would encourage you to provide further details on how your proposal(s) would maximise opportunities for public service reform, so that we can explore how best to support your efforts.

Include indicative costs and arrangements in relation to any options including planning for future service transformation opportunities.

Relevant criteria:

2d) Proposals should set out how an area will seek to manage transition costs, including planning for future service transformation opportunities from existing budgets, including from the flexible use of capital receipts that can support authorities in taking forward transformation and invest-to-save projects

We welcome initial thinking on the service transformation and back-office efficiencies that may be enabled through reorganisation, and we note that there has been a successful history of operating shared services across councils e.g. the Derbyshire Building Control Partnership. We would welcome further clarity in all final proposal(s) on the assumptions and data used to calculate transition costs and efficiencies (see criterion 2d).

As per criterion 2, your final proposal(s) should set out how an area will seek to manage transition costs, including planning for future service transformation opportunities from existing budgets, including from the flexible use of capital receipts that can support authorities in taking forward transformation and invest-to-save projects.

- within this it would be helpful to provide more detailed analysis on expected transition and/or disaggregation costs and potential efficiencies of proposal(s). This could include clarity on methodology, assumptions, data used, what year these may apply and why these are appropriate
- detail on the potential service transformation opportunities and invest-to-save projects from unitarisation across a range of services - e.g. consolidation of waste collection and disposal services, and whether different options provide different opportunities for back-office efficiency savings
- where it has not been possible to monetise or quantify impacts, you may wish to provide an estimated magnitude and likelihood of impact

- summarise any sources of risks, uncertainty and key dependencies related to the modelling and analysis
- detail on the estimated financial sustainability of proposed reorganisation and how debt could be managed locally

While not mentioned in your interim plans, we note also that there is the strategic alliance between High Peak Borough Council in Derbyshire and Staffordshire Moorlands District Council in Staffordshire. In the final proposal(s) you should provide further information on how the transition to new local government structures through local government reorganisation would be managed for these two areas, given the additional complexities associated with the joint structures created through this alliance.

Include early views as to the councillor numbers that will ensure both effective democratic representation for all parts of the area, and also effective governance and decision-making arrangements which will balance the unique needs of your cities, towns, rural and coastal areas, in line with the Local Government Boundary Commission for England guidance.

Relevant criteria:
6) New unitary structures should enable stronger community engagement and deliver genuine opportunity for neighbourhood empowerment

We note reference to the recent boundary review that was undertaken for the County Council area and the proposal for a 100% increase in the number of County Councillors for a new unitary authority from 64 to 128 Councillors.

We also note the proposed split between the North and South authorities contained within the District and Borough interim plan, allowing for a total of 148 Councillors with an 83/65 split.

We welcome this early view of councillor numbers, which we will be sharing with the LGBCE. There are no set limits on the number of councillors although the LGBCE guidance indicates that a compelling case would be needed for a council size of more than 100 members.

New unitary structures should enable stronger community engagement and deliver genuine opportunity for neighbourhood empowerment.

Additional details on how the community will be engaged specifically how the governance, participation and local voice will be addressed to strengthen local engagement, and democratic decision-making would be helpful.

In final proposal(s) we would welcome detail on your plans for neighbourhood-based governance, the impact on parish councils, and the role of formal neighbourhood partnerships and area committees. Include early views on how new structures will support devolution ambitions.

Relevant Criteria:

5) New unitary structures must support devolution arrangements.

We note the benefits and opportunities that local government reorganisation provides in relation to EMCCA as outlined in your interim plans, particularly around streamlined governance and opportunities to support the delivery of mayoral priorities.

Further information would be helpful on the implications of the proposed local government reorganisation options for the governance arrangements in EMCCA. It would also be helpful to outline how each option would interact with EMCCA and best benefit the local community.

We welcome your interim updates against criterion 6,

the engagement undertaken so far, how this has

been used to inform your interim plans, and your

plans for future engagement. It is for you to decide

Include a summary of local engagement that has been undertaken and any views expressed, along with your further plans for wide local engagement to help shape your developing proposals.

Relevant criteria:
6a&b) new unitary
structures should enable
stronger community
engagement and deliver
genuine opportunity for
neighbourhood
empowerment

how best to engage locally in a meaningful and constructive way with residents, the voluntary sector, Neighbourhood Boards, local community groups and councils, public sector providers such as health, police and fire, and local businesses to inform your final proposal(s).

For proposals that involve disaggregation of services, you may wish to engage in particular with those residents who may be affected. It would be helpful to

residents who may be affected. It would be helpful to see detail that demonstrates how local ideas and views have been incorporated into the final proposal(s).

Set out indicative costs of preparing proposals and standing up an implementation team as well as any arrangements proposed to coordinate potential capacity funding across the area.

Relevant criteria:
2d) Proposals should set
out how an area will seek
to manage transition costs,
including planning for
future service
transformation
opportunities from existing
budgets, including from
the flexible use of capital
receipts that can support
authorities in taking

We note the inclusion of estimated costs in the Derbyshire County plan and proposed delivery models required to support the preparation of proposal(s) across the County and Districts and Borough interim plans.

We would welcome further detail in your final proposal(s) over the level of cost and the extent to which the costs are for delivery of the unitary structures or for transformation activity that delivers benefits.

£7.6 million will be made available in the form of local government reorganisation proposal development contributions, to be split across the 21 areas. Further information will be provided on this funding shortly.

forward transformation and invest-to-save projects.	
Set out any voluntary arrangements that have been agreed to keep all councils involved in discussions as this work moves forward and to help	We welcome the ways of working together you have outlined in the interim plans (see criterion 4). Effective collaboration between all councils, and those in the EMCCA area, will be crucial; areas will need to build strong relationships and agree ways of working, including around effective data sharing.
balance the decisions needed now to maintain service delivery and ensure value for money for council taxpayers, with those key decisions that	This will enable you to develop a robust shared evidence base to underpin your final proposal(s) (see criterion 1c). We recommend that final proposal(s) should use the same assumptions and data sets or be clear where and why there is a difference.
will affect the future success of any new councils in the area.	We would expect the final proposal(s) to have regard to the implications for the whole invitation area and mayoral strategic authority area.
Relevant criteria: 4 a-c) Proposals should show how councils in the area have sought to work together in coming to a view that meets local	

needs and is informed by local views.